# Cancer 2015 – 2017 Draft Report

DRAFT REPORT FOR COMMENT VOTING

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# **Contents**

<b>Executive Summa</b>	ry	5
Introduction		7
Trends and Po	erformance	7
NQF Portfolio of P	Performance Measures for Cancer Conditions	8
Table 1. NQF	Cancer Care Portfolio of Measures	8
National Qua	lity Strategy	9
Use of Measu	res in the Portfolio	9
Improving NC	QF's Cancer Portfolio	10
Improving NC	QF's Cancer Portfolio	10
Cancer Care Meas	ure Evaluation	11
Comments Re	eceived Prior to Committee Evaluation	11
Refining the I	NQF Measure Evaluation Process	11
Committee E	valuation	12
Table 2. Canc	er Measure Evaluation Summary	12
Overarching I	ssues	13
Summary of I	Measure Evaluation	14
References		25
Appendix A: Deta	ils of Measure Evaluation	27
Measures Red	commended	27
0219 Pos	st breast conservation surgery irradiation	27
0220 Adj	juvant hormonal therapy	30
of	iuvant chemotherapy is recommended or administered within 4 months (120 days) diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon neer	34
0225 At	least 12 regional lymph nodes are removed and pathologically examined for sected colon cancer.	
	matology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline togenetic Testing Performed on Bone Marrow	40
	matology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in tients Receiving Erythropoietin Therapy	42
	ostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate ncer Patients	45
	ostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate ncer Patients	48
	gnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in eening Mammograms	51

0509 Diagnostic Imaging: Reminder System for Screer	ning Mammograms53
0559 Combination chemotherapy is recommended or days) of diagnosis for women under 70 with AJC receptor negative breast cancer	C T1cN0M0, or Stage IB - III hormone
2930 Febrile Neutropenia Risk Assessment Prior to Ch	emotherapy59
2963 Prostate Cancer: Avoidance of Overuse of Bone Cancer Patients – Legacy eMeasure	
Measures Recommended for Inactive Endorsement With	Reserve Status65
1878 HER2 testing for overexpression or gene amplific	cation in patients with breast cancer65
1857 HER2 negative or undocumented breast cancer targeted therapies	•
Measures Not Recommended	69
0459 Risk-Adjusted Length of Stay >14 Days after Elec	tive Lobectomy for Lung Cancer69
0460 Risk-Adjusted Morbidity and Mortality for Esoph	agectomy for Cancer72
2936 Admissions and Emergency Department (ED) Vis	o ,
Measures Withdrawn from Consideration	80
Endnotes	81
Appendix B: NQF Cancer Portfolio and Related Measures	82
Patient-Focused Episode of Care Model for Cancer Care	82
Measures in the Cancer Portfolio	82
Appendix C: Cancer Portfolio—Use in Federal Programs	85
Appendix D: Project Standing Committee and NQF Staff	87
Appendix E: Measure Specifications	90
0219 Post breast conservation surgery irradiation	90
0220 Adjuvant hormonal therapy	92
0223 Adjuvant chemotherapy is recommended or adr of diagnosis to patients under the age of 80 with cancer	AJCC III (lymph node positive) colon
0225 At least 12 regional lymph nodes are removed a resected colon cancer	nd pathologically examined for
0377 Hematology: Myelodysplastic Syndrome (MDS) a Cytogenetic Testing Performed on Bone Marrow	
0378 Hematology: Myelodysplastic Syndrome (MDS): Patients Receiving Erythropoietin Therapy	
0389 Prostate Cancer: Avoidance of Overuse of Bone Cancer Patients	5 5
0390 Prostate Cancer: Adjuvant Hormonal Therapy fo Cancer Patients	
0459 Risk-Adjusted Length of Stay >14 Days after Elec	tive Lobectomy for Lung Cancer112

0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer	114
0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms	117
0509 Diagnostic Imaging: Reminder System for Screening Mammograms	119
0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer	121
1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies	124
1878 HER2 testing for overexpression or gene amplification in patients with breast cancer	127
2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy	130
2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate  Cancer Patients	133
Appendix F: Related and Competing Measures	137
Appendix G: Pre-Evaluation Comments	168
Appendix H: Previous Gaps Identified in Cancer Care	169

# Cancer 2015 - 2016

#### DRAFT REPORT

# **Executive Summary**

Cancer is the second most common cause of death in the U.S., exceeded only by heart disease.<sup>1</sup> The American Cancer Society estimated that almost 1.7 million new cases of cancer will be diagnosed in 2016. It also estimates approximately 314,000 men and 280,000 women will die from cancer in 2016<sup>2</sup>-that is more than 1,600 people a day. Furthermore, nearly half of all men and one-third of all women in the U.S. will develop cancer during their lifetime.<sup>3</sup> In addition to the loss of life, diagnosis and treatment of cancer has great economic impact on patients, their families, and society. In 2010, it was estimated that the costs for cancer care in the U.S. totaled nearly \$125 billion and could reach \$156 billion in 2020.<sup>4</sup>

The National Quality Forum's (NQF) portfolio of measures for cancer includes measures addressing cancer screening, appropriate treatment (including surgery, chemotherapy and radiation therapy), and morbidity and mortality.

For this project, the Cancer Standing Committee evaluated 3 newly-submitted measures and 15 measures undergoing maintenance review against NQF's standard evaluation criteria. Nine-Thirteen measures were recommended for endorsement, two measures were recommended for continued endorsement with reserve status, and the Committee did not recommend or reach consensus on 7 and the Committee did not recommend three measures. The 913 measures that were recommended by the Standing Committee are:

- 0219: Post Breast Conservation Surgery Irradiation (American College of Surgeons)
- 0220: Adjuvant hormonal therapy (American College of Surgeons)
- 0223: Adjuvant Chemotherapy s Recommended or Administered within 4 Months (120 days) of Diagnosis to Patients Under the Age of 80 with AJCC III (lymph node positive) Colon Cancer (American College of Surgeons)
- 0225: At Least 12 Regional Lymph Nodes are Removed and Pathologically Examined for Resected Colon Cancer (American College of Surgeons)
- 0377: Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow (American Society of Hematology)
- 0378: Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy (American Society of Hematology)
- 0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients (AMA-PCPI)
- 0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients (American Urological Association)
- 0508: Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms (American College of Radiology)

- 0509: Diagnostic Imaging: Reminder System for Screening Mammograms (American College of Radiology)
- 0559: Combination chemotherapy is recommended or administered within 4 months (120 days)
  of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB III hormone receptor
  negative breast cancer (American College of Surgeons)
- 2930: Febrile Neutropenia Risk Assessment Prior to Chemotherapy (RAND Corporation)
- 2963: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients Legacy eMeasure (AMA-PCPI)

## The Committee did not reach consensus on the following measures:

- 0220: Adjuvant Hormonal Therapy (American College of Surgeons)
- 0459: Risk Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer (Society
  of Thoracic Surgeons)
- 0460: Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer (Society of Thoracic Surgeons)
- 0509: Diagnostic Imaging: Reminder System for Screening Mammograms (American College of Radiology)
- 0559: Combination Chemotherapy is Recommended or Administered within 4 Months (120 days) of Diagnosis for Women Under 70 with AJCC T1cN0M0, or Stage IB III Hormone Receptor Negative Breast Cancer (American College of Surgeons)
- 2963: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients — Legacy eMeasure (AMA-PCPI)

The Committee recommended the following measures for continued endorsement with reserve status:

- 1878: HER2 Testing for Overexpression or Gene Amplification in Patients with Breast Cancer (American Society of Clinical Oncology)
- 1857: HER 2 Negative or Undocumented Breast Cancer Patients Spared Treatment with HER2-Targeted Therapies (American Society of Clinical Oncology)

The Committee did not recommend the following measure:

- 0459: Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer (Society of Thoracic Surgeons)
- 0460: Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer (Society of Thoracic Surgeons)
- 2936: Admissions and Emergency Department (ED) Visits for Patients Receiving Outpatient Chemotherapy (Centers for Medicare & Medicaid)

Brief summaries of the measures currently under review are included in the body of the report; detailed summaries of the Committee's discussion and ratings of the criteria for each measure are in <u>Appendix A</u>.

# Introduction

Cancer is the second most common cause of death in the U.S., exceeded only by heart disease. <sup>5</sup> The American Cancer Society estimated that almost 1.7 million new cases of cancer will be diagnosed in 2016. It also estimates approximately 314,000 men and 280,000 women will die from cancer in 2016 that is more than 1,600 people a day. Furthermore, nearly half of all men and one-third of all women in the U.S. will develop cancer during their lifetime. In addition to the loss of life, diagnosis and treatment of cancer has great economic impact on patients, their families, and society. In 2011, it was estimated that the direct medical costs for cancer in the U.S. were \$88.7 billion. <sup>8</sup>

Cancer care is complex and provided in multiple settings – hospitals, outpatient clinics, ambulatory infusion centers, radiation oncology treatment centers, radiology departments, palliative and hospice care facilities – and by multiple providers including surgeons, oncologists, nurses, pain management specialists, and social workers, to name a few. Due to the complexity of cancer, as well as the numerous care settings and providers, there is a need for quality measures that address valuable and efficient care for patients and their families.

## **Trends and Performance**

The total cancer death rate rose for most of the 20<sup>th</sup> century because of the tobacco epidemic, peaking in 1991 at 215 cancer deaths per 100,000 people. However, from 1991 to 2012, the rate dropped 23 percent because of reductions in smoking, as well as improvement in early detection and treatment. <sup>9</sup> Death rates are declining for all 4 of the most common cancer types – lung, colorectal, breast, and prostate.

The American Cancer Society provides basic statistics for the most commonly diagnosed cancers: 10

- From 2003 to 2012, breast cancer incidence rates were stable in white women and increased by 0.3 percent per year in black women. However, breast cancer death rates declined 1.9 percent per year in white women and 1.4 percent per year in black women due to improvements in early detection and treatment.
- Colorectal cancer is the third most common cancer in both men and women. Incidence rates differ by age. From 2008 to 2012, incidence rates declined by 4.5 percent per year among adults 50 years of age and older, but increased by 1.8 percent per year among those younger than age 50. Due to improvements in early detection and treatment, colorectal cancer death rates declined 2.8 percent per year from 2003 to 2012.
- Kidney cancer incidence rates increased over the past several decades, mostly due to incidental diagnoses during abdominal imaging, but stabilized from 2008-2012. Kidney cancer death rates have been decreasing by 0.7 percent per year since 1995.
- The incidence of leukemia has slowly increased over the decades with an increase of 1.3 percent per year from 2003 to 2012. Despite an increase in the incidence of leukemia, death rates have dropped 18 percent since 1980, with a steady decline of 1.0 percent per year from 2001 to 2012.
- From 2008 to 2012, the rates of liver cancer increased by 3.5 percent per year among those 50 and older, but decreased by 3.9 percent per year among adults younger than 50 years of age.

- Overall, death rates due to liver cancer have been increasing since 1980; from 2003 to 2012, rates increased 2.7 percent per year.
- The incidence of lung cancer has been decreasing since the mid-2000s. From 2008 to 2012, lung cancer incidence rates decreased by 3.0 percent per year in men and by 1.9 percent per year in women. Death rates have declined by 38 percent since 1990 in men and by 12 percent in women due to the drop in smoking prevalence. From 2008 to 2012, death rates decreased by 2.9 percent per year in men and 1.9 percent per year in women.
- Pancreatic cancer incidence rates increased by 1.2 percent per year from 2000 to 2012. Death rates have increased by 0.4 percent per year since 2000.
- Prostate cancer rates decreased by 4.0 percent per year from 2003 to 2012. Prostate cancer
  deaths have also been decreasing since the 1990s in men of all races/ethnicities, however,
  remain twice as high in blacks as in any other group. Overall, prostate cancer death rates
  decreased by 3.5 percent per year from 2003 to 2012.
- Thyroid cancer is the most rapidly increasing cancer in the U.S. partly due to increased detection because of more sensitive diagnostic procedures, likely resulting in some overdiagnoses. The incidence rates increased 5.1 percent per year from 2003 to 2012; the death rates remained stable during the same timeframe.

Despite advances in early detection and treatment, disparities in cancer care among racial and ethnic minorities persist:<sup>11</sup>

- Non-Hispanic black men have higher overall cancer incidence (592.3 per 100,000) and death (267.7) rates than any other major racial or ethnic group, 12 percent and 27 percent higher, respectively, than non-Hispanic whites (528.9 and 210.6). Black women have 14 percent higher cancer death rates than non-Hispanic white women despite 6 percent lower incidence rates.
- Hispanics have lower rates of the cancers that are most common in the U.S. (female breast, colorectum, lung, and prostate), but among the highest rates of cancers associated with infectious agents. Compared to non-Hispanic whites, cervical cancer incidence rates are 44 percent higher, and liver and stomach cancer incidence rates are about twice as high.
- American Indian and Alaska Natives have the highest cancer incidence and death rates of any racial or ethnic population.

# **NQF Portfolio of Performance Measures for Cancer Conditions**

The Cancer Standing Committee (see <u>Appendix D</u>) oversees NQF's portfolio of cancer measures that includes measures for breast cancer, colon cancer, hematology, lung and thoracic cancer, prostate cancer, and other general cancer measures (see <u>Appendix B</u>). This portfolio contains 34 measures: 31 process/structure measures and 3 outcome measures (see table below).

**Table 1. NQF Cancer Care Portfolio of Measures** 

	Process/Structure	Outcome	Composite
<b>Breast Cancer</b>	11	0	0
Colon Cancer	6	0	0
Hematology	4	0	0

	Process/Structure	Outcome	Composite
Lung/Thoracic Cancer	1	3	0
<b>Prostate Cancer</b>	3	0	0
<b>General Cancer</b>	6	0	0
Measures			
Total	31	3	0

Additional measures related to cancer care are assigned to the Palliative and End-of-Life Care and the Health and Well-Being projects. The additional measures includes several appropriateness of care measures, cancer screening, screening for pain, and pain related to chemotherapy or radiation therapy.

# National Quality Strategy

NQF-endorsed measures for cancer care support the <u>National Quality Strategy (NQS)</u>. NQS serves as the overarching framework for guiding and aligning public and private efforts across all levels (local, State, and national) to improve the quality of healthcare in the U.S. The NQS establishes the "triple aim" of better care, affordable care, and healthy people/communities, focusing on six priorities to achieve those aims: *Safety, Person and Family Centered Care, Communication and Care Coordination, Effective Prevention and Treatment of Illness, Best Practices for Healthy Living, and Affordable Care.* 

Quality measures for cancer care align with several of the NQS priorities, including:

- Making care safer by reducing harm caused in the delivery of care. Adherence to evidence-based practice guidelines prevent inappropriate treatments and promote effective and safe practices that improve the quality of care and patient outcomes.
- Working with communities to promote wide use of best practices to enable health living.
   Early detection of breast cancer with screening mammography means that treatment can be started earlier, possibly before it has spread.

### Use of Measures in the Portfolio

Endorsement of measures by NQF is valued not only because the evaluation process itself is both rigorous and transparent, but also because evaluations are conducted by multi-stakeholder committees comprised of clinicians and other experts from the full range of healthcare providers, employers, health plans, public agencies, community coalitions, and patients—many of whom use measures on a daily basis to ensure better care. Moreover, NQF-endorsed measures undergo routine "maintenance" (i.e., re-evaluation) to ensure that they are still the best-available measures and reflect the current science. Importantly, federal law requires that preference be given to NQF-endorsed® measures for use in federal public reporting and performance-based payment programs. NQF measures also are used by a variety of stakeholders in the private sector, including hospitals, health plans, and communities.

Many of the cancer measures in the portfolio are among NQF's most long-standing measures, several of which have been endorsed since 2007. Many of the measures are in use in at least 1 federal program. Also, several of the cancer measures have been included in the PPS-Exempt Cancer Hospital Core

Measure Set by the NQF-convened Measure Applications Partnership (MAP). See <u>Appendix C</u> for details of federal program use for the measures in the portfolio.

# Improving NQF's Cancer Portfolio

### Measurement Framework

In its early work on cancer care quality measures, NQF applied the patient-focused episode of care framework to cancer.<sup>12</sup> The episode of care framework evaluates efficiency across episodes of care while taking into consideration various settings and providers, and most importantly the treatment and outcome preferences of the patient (see Appendix B).

The episode of care framework presents several pathways by which a patient diagnosed with cancer might navigate his/her diagnosis, treatment, and follow-up with multiple providers and settings, and includes consideration of several patient-reported outcomes.

The first phase includes prevention of and screening for cancer and occurs prior to diagnosis. Once a patient is diagnosed with cancer, there are 4 typical pathways they may follow, depending on the type of cancer and treatment plan. The patient may move from treatment, to maintenance, and on to surveillance once they are in remission. The surveillance phase may include measures looking at late effects of treatment, continued screening, and health-related quality of life. Other patients may progress to palliative care and end-of-life phases.

The episode of care framework is also helpful in identifying gaps in measurement. NQF's cancer portfolio addresses some of the phases in the framework. Gaps in the portfolio include outcome measures addressing survival, health-related quality of life, symptom management, risk-adjusted total cost of care, and reintegration into society.

# Improving NQF's Cancer Portfolio

## Committee Input on Gaps in the Portfolio

NQF staff compiled an extensive list of gaps identified in previous projects dating back to 2008 (See <u>Appendix H</u> for the list of gaps). During the post-comment call, the Committee <u>identified areas where</u> additional measure development is needed, including:

- Prostate and thoracic cancer measures that range from screening to advance disease
- Oral chemotherapy compliance measures
- Outcome Measures including risk-adjusted morbidity and mortality

<u>During the discussion the Committee offered</u> potential <u>opportunites opportunities</u> <u>when they could to reconvene to reconvene and to continue discussing gaps, refining the cancer framework and also to provide additional feedback to measure developers as they develop new measures, including ad-hoc reviews and off cycle quarterly webinars.</u>

will prioritize previous gaps identified in other cancer-related NQF projects and identify new areas where additional measure development is needed (See Appendix H for the list of gaps).

## **Cancer Care Measure Evaluation**

On May 18-19, 2016 the Cancer Standing Committee evaluated 3 new measures and 15 measures undergoing maintenance review against <u>NQF's standard evaluation criteria</u>. To facilitate the evaluation, the Committee and candidate standards were divided into 4 workgroups for preliminary review of the measures against the evaluation sub-criteria prior to consideration by the entire Standing Committee.

### Comments Received Prior to Committee Evaluation

NQF solicits comments on endorsed measures on an ongoing basis through the <u>Quality Positioning</u> <u>System (QPS)</u>. In addition, NQF solicits comments prior to the evaluation of the measures via an online tool located on the project webpage. For this evaluation cycle, the pre-evaluation comment period was open from April 13 – April 26, 2016 for 18 of the 18 measures under review. A total of 2 pre-evaluation comments were received (<u>Appendix G</u>).

All submitted comments were provided to the Committee prior to its initial deliberations during the workgroups calls.

# Refining the NQF Measure Evaluation Process

To streamline and improve the periodic evaluation of currently-endorsed measures, NQF has updated the evaluation of measures for maintenance of endorsement. This change took effect beginning October 1, 2015. NQF's endorsement criteria have not changed, and all measures continue to be evaluated using the same criteria. However, under the new approach, there is a shift in emphasis for evaluation of currently-endorsed measures:

- Evidence: If the developer attests that the evidence for a measure has not changed since its previous endorsement evaluation, there is a decreased emphasis on evidence, meaning that the Committee may accept the prior evaluation of this criterion without further discussion or need for a vote. This applies only to measures that previously passed the evidence criterion without an exception. If a measure was granted an evidence exception, the evidence for that measure must be revisited.
- Opportunity for Improvement (Gap): For re-evaluation of endorsed measures, there is increased emphasis on current performance and opportunity for improvement. Endorsed measures that are "topped out" with little opportunity for further improvement are eligible for Inactive Endorsement with Reserve Status.
- Reliability
  - Specifications: There is no change in the evaluation of the current specifications.
  - Testing: If the developer has not presented additional testing information, the Committee may accept the prior evaluation of the testing results without further discussion or need for a vote.
- Validity: There is less emphasis on this criterion if the developer has not presented additional
  testing information, and the Committee may accept the prior evaluation of this subcriterion
  without further discussion and vote. However, the Committee will still consider whether the
  specifications are consistent with the evidence. Also, for outcome measures, the Committee
  discusses questions required for the SDS Trial even if no change in testing is presented.
- Feasibility: The emphasis on this criterion is the same for both new and previously-endorsed

- measures, as feasibility issues might have arisen for endorsed measures that have been implemented.
- Usability and Use: For re-evaluation of endorsed measures, there is increased emphasis on the use of the measure, especially use for accountability purposes. There also is an increased emphasis on improvement in results over time and on unexpected findings, both positive and negative.

# **Committee Evaluation**

Of the 15 maintenance and 3 new measures reviewed by the Cancer Standing Committee at its May 18-19, 2016, meeting, nine were recommended for endorsement and two for inactive endorsement with Reserve Status. The Committee did not reach consensus on six measures and did not recommend one measures.

On August 23, 2016, the Committee reconvened to discuss comments and reevaluate the six measures where consensus was not reached. Of the six measures where consensus was not reached, the Committee recommended four measures for endorsement. The Committee did not recommend two measures for endorsement.

Table 2 summarizes the results of the Committee's evaluation.

**Table 2. Cancer Measure Evaluation Summary** 

	Maintenance	New	Total
Measures under consideration	15	3	18
Measures recommended for endorsement	<u>11</u> 8	<u>2</u> 1	<u>13</u> 9
Measures recommended for inactive endorsement with reserve status	2	N/A	2
Measures where consensus is not yet reached	5	1	<u>60</u>
Measures not recommended for endorsement	<u>2</u> 0	1	<u>3</u> 4
Measure recommendation deferred	0	0	0
Measures withdrawn from consideration	1	3	4
Reasons for not recommending	Importance – 01 Scientific Acceptability – 01 Overall – 0 Competing Measure – 0	Importance – 0 Scientific Acceptability – 1 Overall – 0 Competing Measure – 0	

# **Overarching Issues**

During the Standing Committee's discussion of the measures, several overarching issues emerged that were factored into the Committee's ratings and recommendations for multiple measures and are not repeated in detail with each individual measure.

## **Insufficient Testing**

Several of the measures included mean performance rates for reliability testing results. NQF reliability testing requirements include statistical analysis of the computed measure score or the individual patient-level data for the measured entities to determine the proportion of variation due to true differences versus noise or random variation. Overall performance rates do not meet the reliability criterion. Percentage agreement rates were provided for some, but not all, of the data elements for validity. Validity testing of all the critical data elements (including kappa scores, sensitivity, or specificity statistics) is required to meet the validity criterion. In the absence of more comprehensive testing, the Committee considered whether each measure and their specifications were consistently implemented within the respective registries to determine reliability and validity.

#### **New Treatments**

Cancer treatments have evolved significantly over time including the use of oral chemotherapy. However, due to limitations in data sources and measure specifications, capturing the use of oral chemotherapy is not always feasible.

## New eMeasure Versions of Endorsed Measures

One of the measures evaluated in this project was submitted for endorsement as a re-specified eMeasure. NQF considers eMeasures to be distinct from previously endorsed measures and assigns them different NQF measure numbers (this includes "legacy" eMeasures). The eMeasure was evaluated separately from the original measure for all criteria except evidence and gap.

Although these eMeasures are used in the federal EHR Incentive Program (Meaningful Use), this program does not generate a dataset that can be tested for reliability and validity – the majority of participants have been reporting by attestation rather than submitting data. Current NQF criteria require testing eMeasures in more than 1 EHR system; however, during this evolution toward greater use of eMeasures, NQF accepts testing in a simulated dataset (e.g., use of the Bonnie tool) as an alternative approach for "legacy" eMeasures used in federal programs.

#### **Outcome Measures**

The majority of the measures in the cancer portfolio are process measures. The Committee encouraged the measurement community to develop outcome measures, specifically for those processes distal to the outcome. The Committee also encouraged the development of outcome measures related to process measures whose performance has "topped-out" or is close to "topping-out".

# **Summary of Measure Evaluation**

The following brief summaries of the measure evaluation highlight the major issues that were considered by the Committee. Details of the Committee's discussion and ratings of the criteria for each measure are in included in <u>Appendix A</u>.

### **Breast Cancer Screening**

0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms (American College of Radiology): Recommended

**Description**: Percentage of final reports for screening mammograms that are classified as "probably benign"; **Measure Type**: Process; **Level of Analysis**: Clinician : Individual; **Setting of Care**: Ambulatory Care : Clinician Office/Clinic, Imaging Facility; **Data Source**: Administrative claims, Electronic Clinical Data : Registry

Inappropriate designation of findings as "probably benign" can result in unnecessary follow-up of lesions that could have been quickly classified or delayed diagnosis and treatment of cancerous lesions. The "probably benign" assessment category is reserved for findings that have a high probability (=98%) chance of being benign and should not be used as a category for indeterminate findings. Immediate completion of a diagnostic imaging evaluation for abnormal screening mammograms eliminates potential anxiety that women would endure with the short interval follow-up that is recommended for "probably benign" findings. This facility-level measure, originally endorsed in 2008, calculates the percentage of screening mammograms classified as "probably benign". Based on NQF criteria, the evidence was insufficient due to lack of empirical evidence provided to support this process of care. The Committee agreed that the evidence was insufficient but that it is beneficial to hold providers accountable for performance in the absence of empirical evidence of benefits to patients. The Committee agreed that based on the performance data provided by the developer, providers were still using the "probably benign" assessment category 0.49% of the time in 2014, therefore, an opportunity for improvement still exists.

# 0509 Diagnostic Imaging: Reminder System for Screening Mammograms (American College of Radiology): Consensus Not Reached Recommended

**Description**: Percentage of patients undergoing a screening mammogram whose information is entered into a reminder system with a target due date for the next mammogram; **Measure Type**: Process; **Level of Analysis**: Clinician : Individual; **Setting of Care**: Hospital/Acute Care Facility, Imaging Facility; **Data Source**: Administrative claims, Electronic Clinical Data : Registry

Screening mammograms can reduce breast cancer mortality by 20-35% in women aged 40 years and older. However, recent evidence shows that only 72% of women are receiving mammograms based on current guideline recommendations. This clinician-level measure, originally endorsed in 2008, calculates the percentage of patients undergoing a screening mammogram whose target due date for the next mammogram is entered into a reminder system. The Committee agreed that based on the performance data provided by the developer, there continues to be an opportunity for improvement. The exclusion, 'medical reason documentation' was added in 2014; however, the developer did not conduct an analysis to determine the impact of this exclusion on the validity of the measure. The developer explained that

the exclusion should not be a threat to validity because it was only used 3 times during 2014. Committee members questioned why the exclusions were so low considering that the developer was reporting Medicare data from the Physician Quality Reporting System (PQRS) and expected the number of exclusions to be higher in the older Medicare population. This raised concerns about the exclusion not being used properly by physicians and/or the need for the exclusion. During the post-comment call the developer stated they would analyze the 2015 PQRS and determine if the exclusion was in fact needed. The Committee re-voted and agreed the validity of the measure was sufficient. ; therefore, the Committee did not reach consensus on the validity of the measure.

#### **Breast Cancer**

# **0219** Post breast conservation surgery irradiation (Commission on Cancer, American College of Surgeons): Recommended

**Description**: Percentage of female patients, age 18-69, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage I, II, or III, receiving breast conserving surgery who receive radiation therapy within 1 year (365 days) of diagnosis.; **Measure Type**: Process; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Paper Medical Records, Electronic Clinical Data: Registry

Studies have demonstrated a 75% reduction in the risk of local breast cancer recurrence with radiation therapy compared to no radiation. This facility-level measure, originally endorsed in 2007, calculates the percentage of breast cancer patients who undergo breast conservation surgery (i.e. lumpectomy) and receive radiation therapy within 1 year of diagnosis. The Committee agreed that based on the performance and disparities data provided by the developer, a gap in care continues to exist for the utilization of radiation after breast conservation surgery for breast cancer. Although validity testing of all the critical data elements (including kappa scores, sensitivity or specificity statistics) was not provided, the Committee agreed that the measure specifications were consistently implemented within the cancer registry and met the reliability and validity criteria.

# 0220 Adjuvant hormonal therapy (Commission on Cancer, American College of Surgeons): Consensus Not Reached Recommended

**Description**: Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0,IB to III, whose primary tumor is progesterone or estrogen receptor positive with tamoxifen or third generation aromatase inhibitor (recommended or administered) within 1 year (365 days) of diagnosis.; **Measure Type**: Process; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Paper Medical Records, Electronic Clinical Data: Registry

Studies have demonstrated a 25% reduction in the risk of distant cancer recurrence and death in patients who receive adjuvant hormonal therapy for progesterone or estrogen receptor positive primary breast cancer tumors. This facility-level measure, originally endorsed in 2007, calculates the percentage of breast cancer patients with a primary tumor that is progesterone or estrogen receptor positive who receive hormone therapy within 1 year of diagnosis. The Committee agreed that based on the performance and disparities data provided by the developer, a gap in care continues to exist in the

administration of adjuvant hormonal therapy for breast cancer patients. However, the Committee noted that the performance data provided was from Commission on Cancer (CoC) accredited centers only, therefore, the gaps in care and disparities may be larger if the measure were implemented in non-CoC-accredited centers. The developer provided percent agreement results for 2 of the data elements included in the numerator. Validity testing of all the critical data elements (including kappa scores, sensitivity, or specificity statistics) was not provided. The Committee noted that the measure specifications for this measure are not consistently implemented due to various patient factors such as the physician recommending hormone therapy, the patient obtaining a prescription, declining hormone therapy, and then ultimately starting hormone therapy. Due to the known inconsistencies in implementation, the Committee re-voted on reliability and validity but did not reach consensus on these criteria.

After the comment period, the Committee reconsidered this measure. The Committee noted that additional data element testing needed to satisfy concerns discussed during the in-person meeting was not provided by the developer. However, the developer submitted recent performance results from the Rapid Quality Reporting System (RQRS) during the Comment period. The additional data demonstrated gaps in performance continue to still exist with the rlowest performance seen in Hispanics. Considering the additional information provided, the Committee agreed this measure continues to be an important indicator of cancer care. On re-vote, the Committee recommended the measure for endorsement.

0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer. (Commission on Cancer, American College of Surgeons): Consensus Not Reached Recommended

**Description**: Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0 (tumor greater than 1 cm), or Stage IB -III, whose primary tumor is progesterone and estrogen receptor negative recommended for multiagent chemotherapy (recommended or administered) within 4 months (120 days) of diagnosis.; **Measure Type**: Process; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Paper Medical Records, Electronic Clinical Data: Registry

Studies have demonstrated approximately a 33% reduction in the risk of distant cancer recurrence and death in patients who receive combination chemotherapy for progesterone and estrogen receptor negative breast cancer. This facility-level measure, originally endorsed in 2007, calculates the percentage of breast cancer patients with a primary tumor that is progesterone or estrogen receptor negative who receive combination chemotherapy within 4 months (120 days) of diagnosis. The Committee agreed that based on the performance and disparities data provided by the developer, a gap in care continues to exist in the administration of combination chemotherapy for breast cancer patients. The developer provided percent agreement results for 2 of the data elements included in the numerator. Validity testing of all the critical data elements (including kappa scores, sensitivity, or specificity statistics) was not provided. The Committee noted the same concerns about reliability and validity that were expressed while discussing #0220, and therefore, agreed to carry forward the votes

for reliability and validity. The Committee did not reach consensus on the scientific acceptability criterion of the measure.

After the comment period, the Committee reconsidered this measure. Again, the Committee noted the same concerns about reliability and validity that were expressed while discussing #0220, and therefore, agreed to carry forward the votes for reliability and validity. As for #0220, the Committee considered the additional gap data and their own professional experience with the measure. The Committee agreed this was an important indicator. On re-vote, the Committee recommended the measure for endorsement.

1878 HER2 testing for overexpression or gene amplification in patients with breast cancer (American Society of Clinical Oncology): Continued Endorsement with Reserve Status

**Description**: Proportion of female patients (aged 18 years and older) with breast cancer who receive human epidermal growth factor receptor 2 (HER2) testing for overexpression or gene amplification; **Measure Type**: Process; **Level of Analysis**: Clinician : Group/Practice; **Setting of Care**: Ambulatory Care: Clinician Office/Clinic; **Data Source**: Electronic Clinical Data: Registry

All patients with invasive breast cancer should be tested for human epidermal growth factor receptor 2 (HER2) status and only those who test positive for HER2 status should receive HER2 targeted therapies. Results of HER2 testing are imperative to receive guideline concordant treatment. This clinician-level measure, originally endorsed in 2012, calculates the percentage of breast cancer patients who are tested for HER2 status. Based on the performance data provided by the developer from the Quality Oncology Practice Initiative (QOPI®) registry, the Committee agreed that there was little to no room for improvement, therefore, the measure failed the performance gap criterion but was recommended for endorsement with reserve status since there is the possibility that performance outside of the QOPI® registry is not as high.

1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies (American Society of Clinical Oncology): Continued Endorsement with Reserve Status

**Description**: Proportion of female patients (aged 18 years and older) with breast cancer who are human epidermal growth factor receptor 2 (HER2)/neu negative who are not administered HER2-targeted therapies; **Measure Type**: Process; **Level of Analysis**: Clinician : Group/Practice; **Setting of Care**: Ambulatory Care: Clinician Office/Clinic; **Data Source**: Electronic Clinical Data: Registry

The contraindicated administration of HER2 targeted therapy to patients with HER2 negative breast cancer can propagate potentially toxic, costly and adverse effects as well as decrease a patient's overall quality of life. This clinician-level measure, originally endorsed in 2012, calculates the percentage of patients with HER2 negative breast cancer (or HER2 undocumented) that do not receive HER2 targeted therapy. Based on the performance data provided by the developer from the QOPI® registry, the Committee agreed that there was little to no room for improvement, therefore, the measure failed the performance gap criterion but was recommended for endorsement with reserve status because there is the possibility that performance outside of the QOPI® registry is not as high.

#### Colon Cancer

0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer (Commission on Cancer, American College of Surgeons): Recommended

**Description**: Percentage of patients under the age of 80 with AJCC III (lymph node positive) colon cancer for whom adjuvant chemotherapy is recommended and not received or administered within 4 months (120 days) of diagnosis.; **Measure Type**: Process; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Paper Medical Records, Electronic Clinical Data: Registry

Studies have demonstrated approximately a 25% reduction in the risk of death for colon cancer patients treated with adjuvant chemotherapy. This facility-level measure, originally endorsed in 2007, calculates the percentage of patients with colon cancer who are treated with adjuvant chemotherapy within 4 months (120 days) of diagnosis. The Committee agreed that based on the performance and disparities data provided by the developer, a gap in care continues to exist in the administration of combination chemotherapy for breast cancer patients. The developer provided percent agreement results for 2 of the data elements included in the numerator. Although validity of all the critical data elements (including kappa scores, sensitivity, or specificity statistics) was not provided, the Committee agreed the measure specifications were consistently implemented within the cancer registry and met the reliability and validity criteria.

0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer. (Commission on Cancer, American College of Surgeons): Recommended

**Description**: Percentage of patients >18yrs of age, who have primary colon tumors (epithelial malignancies only), at AJCC stage I, II or III who have at least 12 regional lymph nodes removed and pathologically examined for resected colon cancer.; **Measure Type**: Process; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Paper Medical Records, Electronic Clinical Data: Registry

This facility-level measure, originally endorsed in 2007, calculates the percentage of patients with colon cancer who undergo colorectal surgery and at least 12 lymph nodes are removed and pathologically examined. To date, there has been a lack of consensus in the literature as to the minimal number of lymph nodes that have to be examined to accurately stage colon cancer. Studies have also concluded that an "adequate" lymph node examination is not associated with patient outcomes. The Committee echoed the previous Committee's concern that the practice of examining 12 lymph nodes is not evidence-based but rather an arbitrary number that is not connected to patient outcomes. The developer stated that the National Cancer Data Base (NCDB) will be publishing a study demonstrating the relationship between compliance on this measure and outcomes over time. According to recent studies, the developer stated, there is a correlation between the number of lymph nodes examined and patient survival. Based on this information, the Committee agreed the current evidence was sufficient. The Committee agreed that there was room for improvement though it is unlikely that the percentage will increase much more in high performers since variation in surgical technique or pathology examination is likely to account for a significant number of patients that do not get to the 12 lymph node goal rather than poor performance. Though validity of all the critical data elements (including

kappa scores, sensitivity or specificity statistics) was not provided, the Committee agreed that the measure specifications were consistently implemented within the cancer registry and accepted the previous reliability and validity evaluation.

## Hematology

0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow (Physician Consortium for Performance Improvement): Recommended

**Description**: Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) or an acute leukemia who had baseline cytogenetic testing performed on bone marrow; **Measure Type**: Process; **Level of Analysis**: Clinician : Group/Practice, Clinician : Individual, Clinician : Team; **Setting of Care**: Ambulatory Care : Clinician Office/Clinic; **Data Source**: Electronic Clinical Data : Registry

Cytogenetic testing should be performed on the bone marrow of patients with myelodysplastic syndrome (MDS) in order to guide treatment options, determine prognosis, and predict the likelihood of disease evolution to leukemia. This clinician-level measure, originally endorsed in 2008, calculates the percentage of MDS/acute leukemia patients who had a baseline analysis of their bone marrow using cytogenetic testing. Based on the performance data from the Physician Quality Reporting System (PQRS), rates have increased from 88.0% in 2010 to 87.0% in 2013. Additionally, a recently published study by Dr. Gregory Abel demonstrated a 74% performance gap for this measure using Surveillance, Epidemiology, and End Results (SEER) – Medicare data. The Committee agreed there continues to be an opportunity for improvement in performing baseline cytogenetic testing on bone marrow for MDS/acute leukemia patients. The Committee noted that the use of newer molecular cytogenetic studies using fluorescence in situ (FISH) is growing and encouraged the developer to include these additional studies in future iterations of the measure. The developer agreed that as new evidence supporting additional studies continues to evolve and the guideline is revised, the measure will also be revised.

# 0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy (PCPI): Recommended

**Description**: Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) who are receiving erythropoietin therapy with documentation of iron stores within 60 days prior to initiating erythropoietin therapy; **Measure Type**: Process; **Level of Analysis**: Clinician : Group/Practice, Clinician : Individual, Clinician : Team; **Setting of Care**: Ambulatory Care : Clinician Office/Clinic; **Data Source**: Electronic Clinical Data : Registry

To be effective, erythropoietin requires that adequate iron stores be present due to iron's importance in red-blood-cell synthesis. Iron deficiency presents a major limitation to the efficacy of erythropoietin therapy. This clinician-level measure, originally endorsed in 2008, calculates the percentage of myelodysplastic syndrome (MDS) patients who have documentation of iron stores within 60 days prior to initiating erythropoietin therapy. Based on the performance data from PQRS, rates increased from 94.7% in 2010 to 95.3% in 2012, but ultimately dropped to 83.1% in 2013. The developer explained that

beginning in 2015, PQRS began imposing payment penalties for non-participants based on 2013 performance. For 2013, 6.5% of eligible professionals reported on this measure. As a result, performance rates may not be nationally representative. The Committee agreed there is an opportunity for improvement.

# Lung/Thoracic Cancer

0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer (The Society of Thoracic Surgeons): Consensus Not Reached Not Recommended

**Description**: Percentage of patients aged 18 years and order undergoing elective lobectomy for lung cancer who had a prolonged length of stay >14 days; **Measure Type**: Outcome; **Level of Analysis**: Facility, Clinician : Group/Practice; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Electronic Clinical Data : Registry

It is important for surgeons to be able to compare their surgical outcomes to those of peer institutions as a means of assessing results and improving quality of care. Knowing their rate of risk-adjusted length of stay (LOS) after elective lobectomy for lung cancer gives lower performing thoracic programs the opportunity to design quality improvement initiatives to improve patient outcomes and decrease resource utilization. This facility/clinician-level measure, originally endorsed in 2008, calculates the percentage of patients who remain in the hospital for more than 14 days (prolonged length of stay) after undergoing an elective lobectomy for lung cancer. The Committee questioned whether 14 days was still an appropriate threshold for defining prolonged length of stay (PLOS) since LOS can be significantly impacted by surgical approach (open thoracotomy vs. minimally-invasive thoracotomy) as indicated in the article by Wright et al. 2010. Furthermore, PLOS has decreased from a mean of 5.1% to 4.3% from 2009 to 2015. The Committee also noted that the number of patients per surgeons ranged widely by region, yet the mean PLOS was ~4.0% for each region. The Committee was concerned that low-volume providers may affect overall performance rates making it difficult to distinguish high-performers from low-performers and determine if a gap in care exists based on the data provided. Due to the concerns expressed by the Committee, consensus was not reached on performance gap. The Committee discussed the measure on the post-comment call and re-voted on the performance gap criterion. The measure did not pass the performance gap subcriterion and was not recommended for endorsement.

# 0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer (The Society of Thoracic Surgeons): Consensus Not Reached Not Recommended

**Description**: Percentage of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer who developed any of the following postoperative conditions: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality; **Measure Type**: Outcome; **Level of Analysis**: Facility, Clinician: Group/Practice; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Electronic Clinical Data: Registry

It is important for surgeons to be able to compare their surgical outcomes to those of peer institutions as a means of assessing results and improving quality of care. Knowing their rate of risk-adjusted morbidity and mortality after an esophagectomy for esophageal cancer gives thoracic programs the

opportunity to design quality improvement initiatives to improve patient outcomes. This facility/clinician-level measure, originally endorsed in 2008, calculates the percentage of patients with esophageal cancer who develop any one of the following conditions after undergoing an elective esophagectomy: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality. The Committee agreed that based on the performance data provided by the developer, there is opportunity for improvement in care for patients undergoing elective esophagectomy. The Committee noted that more than 55% of registry participants performed fewer than 5 procedures per year. They expressed their concerns with the reliability of this low-volume procedure and that the measure was not specified for ≥5 procedures per year to exclude low-volume providers. The Committee also expressed their concerns with combining morbidity and mortality and asked the developer if there were plans for differential weighting of these outcomes. These same concerns were expressed by the previous Committee in 2012. The developer indicated that they were developing a new measure that more heavily weights mortality than morbidity and it would be complete by the next maintenance review. The Committee did not reach consensus on reliability. Although the Committee agreed that the data element validity testing was adequate, due to the threat of validity of low-volume providers, consensus was not reached on validity. The Committee discussed the measure on the post-comment call and re-voted on the reliability and validity subcriteria. The measure did not pass the reliability and validity subcriteria and was not recommended for endorsement.

#### Prostate Cancer

# 0389 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients (PCPI): Recommended

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer; **Measure Type**: Process; **Level of Analysis**: Clinician: Group/Practice, Clinician: Individual, Clinician: Team; **Setting of Care**: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other; **Data Source**: Electronic Clinical Data; Electronic Clinical Data: Registry

While clinical practice guidelines do not recommend bone scans in low-risk prostate cancer patients, overuse is still common. An analysis of prostate cancer patients in the SEER-Medicare database diagnosed from 2004-2007 found that 43% of patients for whom a bone scan was not recommended received one. The analysis also found that the use of bone scans in low-risk patients leads to an annual cost of \$4 million dollars to Medicare. This clinician-level measure, originally endorsed in 2008, calculates the percentage of men receiving treatment for prostate cancer with a low or very low risk of recurrence, who have not received a bone scan since the time of their prostate cancer diagnosis. The average performance rate from the PQRS experience report was 71.6% in 2010 and 88.5% in 2013. The Committee agreed that performance has improved over time but there is still an opportunity for improvement in decreasing bone scans in low-risk prostate cancer patients. The Committee noted a potential consequence of decreasing bone scan testing rates would be undiagnosed metastatic disease; however, this is unlikely based on the evidence for low-risk prostate cancer patients.

# 2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients – *Legacy eMeasure* (PCPI): Consensus Not Reached Recommended

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer; **Measure Type**: Process; **Level of Analysis**: Clinician: Group/Practice, Clinician: Individual, Clinician: Team; **Setting of Care**: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other; **Data Source**: Electronic Clinical Data: Electronic Health Record

This "legacy" eMeasure is the eCQM version of the registry measure #0389, currently used in federal programs. The Committee discussed #0389 first, and because the information provided for evidence and opportunity for improvement is identical for the 2 measures, the Committee agreed to assign the ratings for these criteria to #2963. The developer provided reliability results from the registry measure (#0389) and stated that once data from the eCQM are available for analysis it is expected that reliability test results will be comparable for the 2 measures. The Committee questioned extrapolating the reliability of the eCQM based on the registry measure without testing results. The Committee asked the developer if they had tested the correlation of the eCQM and registry measure. The developer clarified that although the eCQM is currently used in Meaningful Use (MU), CMS has not released performance data from MU. The Committee noted their concerns with providers' ability to consistently implement the Health Quality Measure Format (HQMF) specifications for the eCQM and the potential impact on the numerator, denominator, and exclusions/exceptions. The Committee did not reach consensus on the reliability of the measure but acknowledged the importance of eMeasures and the challenges associated with respecifying registry and claims measures and encouraged CMS to release MU performance data.

After the comment period, the Committee reconsidered this measure. The Committee emphasized their concerns with the lack of data from the measure as specified. The developer stated that since the inperson meeting they attempted to obtain Meaningful Use data from CMS but it is not available. The developer also conducted additional analyses with the current PQRS data but it was not sufficient. The developer agreed to provide the Standing Committee with additional data during the measure's scheduled annual review (within one year). The Standing Committee recommended the measure on the condition the measure is reviewed through an ad-hoc review (after scheduled annual review).

# 0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients (American Urological Association): Recommended

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist); **Measure Type**: Process; **Level of Analysis**: Clinician : Group/Practice, Clinician : Individual, Clinician : Team; **Setting of Care**: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other; **Data Source**: Electronic Clinical Data, Electronic Clinical Data: Registry

High-quality clinical trials have shown that high-risk prostate cancer patients considering external beam radiotherapy, the use of hormonal therapy combined with conventional radiotherapy may prolong survival. This facility-level measure, originally endorsed in 2008, calculates the percentage of high-risk prostate cancer patients undergoing radiotherapy who are also prescribed adjuvant hormonal therapy. Physician Quality Reporting System (PQRS) performance rates have increased from 79.6% in 2010 to 95.4% in 2013. The Committee agreed that there was a sufficient gap in care. There were a total of 204 exclusions/exceptions among 20 physicians with an overall rate of 32.2%. The Committee questioned the validity of the measure due to the high rate of exclusions/exceptions, but ultimately agreed that the measure met the criterion for validity.

## Febrile Neutropenia

# 2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy (RAND Corporation): Recommended

**Description**: Percentage of patients with a solid malignant tumor or lymphoma who had a febrile neutropenia (FN) risk assessment completed and documented in the medical record prior to the first cycle of intravenous chemotherapy; **Measure Type**: Process; **Level of Analysis**: Clinician: Group/Practice; **Setting of Care**: Ambulatory Care: Clinician Office/Clinic, Other; **Data Source**: Electronic Clinical Data: Electronic Health Record, Paper Medical Records

Having information about a patient's febrile neutropenia (FN) risk allows the identification of patients at higher risk of FN who are more likely to benefit from treatment with prophylactic colony-stimulating factor (CSF). CSF stimulates the production of white blood cells and lowers the risk of FN and its complications. This newly submitted clinician-level measure calculates the percentage of patients with a solid malignant tumor or lymphoma who had documentation of a FN risk assessment in their medical record prior to the first administration of intravenous chemotherapy. The Committee agreed the evidence the developer provided to support the use of a FN assessment tool demonstrated a decrease in the incidence of FN and related complications. The developer provided performance rates from April 2011-February 2016 that included 192 patient records from 5 community oncology clinics. The mean performance rate was 12.0%, the median was 16.0%, and the maximum was 27.0%. The Committee suggested that the low performance rates may be due to the adoption of computerized physician order entry (CPOE) and standard order sets that include supportive care treatments appropriate for the regimen, including prophylactic CSF. The Committee concluded that the inter-rater reliability testing results were sufficient, but encouraged the developer to conduct a statistical analysis, in the future, of the computed measure score. The Committee emphasized that a febrile neutropenia outcome measure would further the goal of high-quality, efficient healthcare rather than a process measure.

## Inpatient Admissions and Emergency Department Visits

# 2936 Admissions and Emergency Department (ED) Visits for Patients Receiving Outpatient Chemotherapy (Mathematica Policy Research): Not Recommended

**Description**: Measure estimates hospital-level, risk-adjusted rates of inpatient admissions or ED visits for cancer patients >18 years of age for at least one of the following diagnoses—anemia, dehydration, diarrhea, emesis, fever, nausea, neutropenia, pain, pneumonia, or sepsis—within 30 days of hospital outpatient chemotherapy treatment. The two rates are calculated and reported separately. **Measure** 

**Type**: Outcome; **Level of Analysis**: Facility; **Setting of Care**: Hospital/Acute Care Facility; **Data Source**: Administrative claims

Chemotherapy treatment can have severe, predictable side effects, and hospital admissions and ED visits among patients receiving treatment in a hospital outpatient setting are often caused by manageable side effects and complications. Admissions and ED visits for eligible diagnoses—anemia, dehydration, diarrhea, emesis, fever, nausea, neutropenia, pain, pneumonia, or sepsis—may be due to patients receiving treatment in a hospital outpatient setting having unmet needs, which, if addressed, could reduce admissions and ED visits and increase patients' quality of life. Treatment plans and guidelines exist to support the management of these conditions. Hospitals that provide outpatient chemotherapy should implement appropriate care to minimize the need for acute hospital care for these adverse events. This newly submitted hospital-level outcome measure calculates the percentage of patients receiving hospital outpatient chemotherapy that are admitted to the hospital or have an ED visit within 30 days of their chemotherapy treatment for one of the 10 eligible diagnoses. The Committee agreed that the interventions outlined by the developer to prevent and manage some of the conditions improve patient's quality of life. However, evidence linking these interventions with decreased hospitalizations and ED visits was not provided. The Committee did not reach consensus on the evidence of a linkage between the broad range of side effects and reduced ED visits and hospitalizations. The Committee questioned whether sufficient data was provided to determine if a gap in care existed due to the significantly small difference in percentage points between the 25<sup>th</sup> and 75<sup>th</sup> percentile on the ED visit rate and ultimately did not reach consensus on performance gap. The Committee discussed various concerns regarding reliability including the low reliability scores for inpatient (0.41) and ED visits (0.27), the numerator limiting admissions/rates to inpatient and ED (some facilities have urgent care), attribution, and that patients receiving chemoradiotherapy should be excluded from the denominator. Overall, the Committee concluded that the measure did not meet the reliability criterion due to the concerns discussed, specifically the small sample size used for reliability testing and the low reliability scores.

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<sup>&</sup>lt;sup>11</sup> American Cancer Society. Cancer Facts & Figures 2016. Atlanta: American Cancer Society; 2016. http://www.cancer.org/acs/groups/content/@research/documents/document/acspc-047079.pdf. Accessed June 28, 2016.

<sup>&</sup>lt;sup>12</sup> National Quality Forum. *Towards a Comprehensive Cancer Measure Set: Value-Based Episodes of Care [Workshop Summary]*. Washington, DC; 2008.

# **Appendix A: Details of Measure Evaluation**

Rating Scale: H=High; M=Moderate; L=Low; I=Insufficient; NA=Not Applicable; Y=Yes; N=No

## Measures Recommended

#### 0219 Post breast conservation surgery irradiation

#### **Submission** | Specifications

**Description**: Percentage of female patients, age 18-69, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage I, II, or III, receiving breast conserving surgery who receive radiation therapy within 1 year (365 days) of diagnosis.

**Numerator Statement**: Radiation therapy to the breast is initiated within 1 year (365 days) of the date of diagnosis **Denominator Statement**: Include, if all of the following characteristics are identified:

Women

Age 18-69 at time of diagnosis

Known or assumed to be first or only cancer diagnosis

Primary tumors of the breast

Epithelial malignancy only,

AJCC Stage I, II, or III

Surgical treatmen

**Exclusions**: Exclude, if any of the following characteristics are identified:

Men

Under age 18 at time of diagnosis

Over age 69 at time of diagnosis

Second or subsequent cancer diagnosis

Tumor not originating in the breast

Non-epithelial malignancies

Phyllodes tumor histology

Stage 0, in-situ tumor

Stage IV, metastatic tumor

None of 1st course therapy performed at reporting facility

Died within 12 months (365 days) of diagnosis

Patient participating in clinical trial that directly impacts delivery of the standard of care

Adjustment/Stratification: Level of Analysis: Facility

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Process

**Data Source**: Paper Medical Records, Electronic Clinical Data : Registry **Measure Steward**: Commission on Cancer, American College of Surgeons

# STANDING COMMITTEE MEETING [5/18-19/2016]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; 1b. Performance Gap: H-8; M-9; L-3; I-0;

#### Rationale:

• For the 2012 endorsement evaluation, the developer provided a clinical practice guideline from National Comprehensive Cancer Network (NCCN) Practice Guidelines as evidence to support post breast

#### **0219 Post breast conservation surgery irradiation**

conservation surgery irradiation. The developer also included a systematic review of multiple randomized clinical trials (RCTs) demonstrating a 75 percent reduction in the risk of local recurrence with radiation compared to no radiation in the hospital or acute care setting.

- The Committee agreed that the evidence basis for the measure has not changed and there was no need to repeat the discussion and vote on evidence.
- For the current evaluation, the developer provided national trend data from the National Cancer Data Base (NCDB) from 2008 and 2012. The mean performance rate for 2008 was 88.1% and 90.7% for 2012. The developer explained that more recent performance data was not available at the time of measure submission because participating programs have not yet had time to collect the 2013 information required for this measure.
- The Committee agreed that based on the performance and disparities data provided by the developer, a
  gap in care continues to exists for the utilization of radiation with breast conservation surgery for breast
  cancer.

#### 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: M-16; L-2; I-2 2b. Validity: M-18; L-0; I-1

#### Rationale:

- For the 2012 endorsement evaluation, the developer provided mean performance rates that included 1,400 Commission on Cancer (CoC) accredited cancer programs and approximately 55,700 cases from 2007 (84.1) and 2008 (84.7).
- For the current evaluation, the developer provided updated mean performance rates (90.7) from 2012.
   NQF reliability testing requirements include statistical analysis of the computed measure score or the
   individual patient-level data for the measured entities to determine the proportion of variation due to
   true differences versus noise or random variation. Overall performance rates do not meet the reliability
   criterion, which was provided by the developer. Data element validity testing was performed and counted
   for data element reliability.
- For the 2012 endorsement evaluation, validity was assessed by randomly selecting charts and reviewing
  them by site surveyors to determine completeness and validity of data reported to registry. The measure
  denominator and numerator were viewed by the clinical constituency within these cancer programs as
  valid and an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- For the current evaluation, the developer provided additional details on data element validity testing conducted in 2009 and 2010 by comparing registry data to data that were re-abstracted from the medical records by CoC site surveyors, which was considered the gold standard. The developer provided percentage agreement results for one of the data elements included in the numerator (timing of radiation therapy (91.4, 92.2). The developer also stated that there were 494 cases during 2006 and 2007 in which there was 59 percent agreement with missing radiation therapy. The Committee noted their concern with the large percentage of missing data required to calculate this measure as a threat to validity. The developer responded that they provide reports to the participating programs on missing data elements required to calculate the measures and verify whether or not the information is available. The developer also stated that they have seen a decrease in the percent of missing data since 2007 but did not provide updated testing information.
- One Committee member questioned why the measure only includes adults up to age 69. The developer
  responded that the age cutoff is based on the RCTs and that radiation therapy is generally considered
  necessary in women under age 69 versus a treatment preference in older women.
- Although validity testing of all the critical data elements (including kappa scores, sensitivity or specificity statistics) was not provided, the Committee agreed that the measure specifications were consistently implemented within the registry and met the reliability and validity criteria. The Committee encouraged the developer to provide updated reliability and validity testing at the next maintenance review of the measure. The developer confirmed that they are planning to update their validity and reliability testing for the 5 measures submitted in this project (#0219, #0220, #0223, #0225, and #0559).

## **0219** Post breast conservation surgery irradiation

#### 3. Feasibility: H-13; M-5; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

• The Committee agreed that, while a small level of burden exists, the measure is easily available in medical records and the data elements are routinely captured by national cancer registries.

#### 4. Usability and Use: H-12; M-6; L-1; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The measure is currently used in the Pennsylvania Health Care Quality Alliance, the Commission on Cancer, and the National Cancer Data Base reporting programs.
- The developer provided improvement results showing increases in the overall facility level compliance rates and across all patient demographics.
- The Committee agreed that the measure meets the usability and use criterion.

#### **5. Related and Competing Measures**

No related or competing measures noted.

#### Standing Committee Recommendation for Endorsement: Y-19; N-1

#### 6. Public and Member Comment

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### 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

8. Board of Directors Vote: Y-X; N-X

## 9. Appeals

### <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0,IB to III, who's primary tumor is progesterone or estrogen receptor positive with tamoxifen or third generation aromatase inhibitor (recommended or administered) within 1 year (365 days) of diagnosis.

**Numerator Statement**: Hormone therapy is administered within 1 year (365 days) of the date of diagnosis or it is recommended but not received

**Denominator Statement**: Include if all of the following characteristics are identified:

Women

Age >=18 at time of diagnosis

Known or assumed to be first or only cancer diagnosis

Epithelial malignancy only Primary tumors of the breast

AJCC T1cN0M0 or Stage IB - III

Primary tumor

**Exclusions**: Exclude, if any of the following characteristics are identified:

Men

Under age 18 at time of diagnosis

Second or subsequent cancer diagnosis

Tumor not originating in the breast

Non-epithelial malignancies, exclude malignant phyllodes tumors, 8940 - Mixed tumor, malignant, NOS, 8950 -

Mullerian mixed tumor, 8980 - Carcinosarcoma, 8981 - Carcinosarcoma, embryona

Stage 0, in-situ tumor

AJCC T1mic, or T1a tumor

Stage IV, metastatic tumor

Primary tumor is estrogen receptor negative and progesterone receptor negative

None of 1st course therapy performed at reporting facility

Died within 1 year (365 days) of diagnosis,

Patient enrolled in a clinical trial that directly impacts delivery of the standard of care

Adjustment/Stratification: Level of Analysis: Facility

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Process

**Data Source**: Paper Medical Records, Electronic Clinical Data : Registry **Measure Steward**: Commission on Cancer, American College of Surgeons

### STANDING COMMITTEE MEETING [05/18-19/2016]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; 1b. Performance Gap: H-10; M-10; L-0; I-0

#### Rationale:

For the 2012 endorsement evaluation, the developer provided a clinical practice guideline from the
National Comprehensive Cancer Network (NCCN) as evidence to support the administration of tamoxifen
or third generation aromatase inhibitor to breast cancer patients whose primary tumor is progesterone or
estrogen receptor positive. The developer also included results of a systematic review of several
randomized control trials (RCTs) and meta-analyses demonstrating a 25% reduction in risk of distant

cancer recurrence and death.

- The Committee agreed that the evidence basis for the measure has not changed and there was no need to repeat the discussion and vote on evidence.
- For the current evaluation, the developer provided national trend data from the National Cancer Data Base (NCDB) from 2008 and 2012. The mean performance rate for 2008 was 78.7% and 85.5% for 2012. The developer stated that the performance rate for 2013 was 90.1% (the most current data was not available at the time submission and will be submitted during the commenting period). The Committee noted that the performance data provided by the developer is from CoC-accredited centers only; therefore, the gaps in care and disparities may be larger if the measure was implemented in non-CoC-accredited centers.
- The Committee agreed that based on the performance and disparities data provided by the developer, a
  gap in care continues to exist in the administration of adjuvant hormonal therapy for breast cancer
  patients.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability Criteria</u> <u>Consensus was not reached on the Scientific Acceptability Criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

<u>Initial</u> 2a. Reliability: M-9; L-9; I-2 2b. Validity: M-9; L-9; I-2 Re-vote on 2a. Reliability: H-2; M-12 2b. Validity: H-2; M-12

#### Rationale:

- The developer clarified that the definition for "hormone therapy is administered" included documentation of a prescription and the date the prescription was filled or the date the treatment was started. The Committee noted that during the previous review of the measure, the previous Committee recommended that in future iterations, the measure capture that patients are receiving the appropriate dose of hormonal therapy, appropriateness of hormonal therapy based upon menopausal state of the patient, and patient adherence to the hormonal therapy through filled prescriptions. The developer did not update the measure specifications with any of these recommendations.
- For the 2012 endorsement evaluation, the developer provided mean performance rates that included 1,400 CoC-accredited cancer programs and approximately 65,200 cases from 2007 (76.6) and 2008 (77.1).
- For the current evaluation, the developer provided updated mean performance rates (85.5) from 2012.
   NQF reliability testing requirements include statistical analysis of the computed measure score or the
   individual patient-level data for the measured entities to determine the proportion of variation due to
   true differences vs. noise or random variation. Overall performance rates do not meet the reliability
   criterion, which was provided by the developer. Data element validity testing was performed and counted
   for data element reliability.
- For the 2012 endorsement evaluation, validity was assessed by randomly selecting charts and reviewing them by site surveyors to determine completeness and validity of data reported to registry. The measure denominator and numerator were viewed by the end-users within these cancer programs as valid and as an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- For the current evaluation, the developer provided additional details on data element validity testing conducted in 2009 and 2010 by comparing registry data to data that were re-abstracted from the medical records by CoC site surveyors, which was considered the gold standard. The developer provided percentage agreement results for 2 of the data elements included in the numerator, timing for hormone therapy (84.3, 79.1) and hormone therapy which was recommended but not administered (77.9, 91.1). Validity testing of all the critical data elements (including kappa scores, sensitivity, or specificity statistics) was not provided. The Committee noted that the measure specifications for this measure are not consistently implemented due to various patient factors such as the physician recommending hormone therapy, the patient obtaining a prescription, declining hormone therapy, and then possibly starting hormone therapy. The Committee also noted that the performance gap may not be accurate due to the variability in percent agreement of the data elements between the data submitted to the registry and the

re-abstracted data. The Committee suggested this would lead to hospitals spending their resources to improve their performance rates on the measure rather than improving the overall quality of care for patients.

• The Committee did not reach consensus on the reliability and validity criteria. Furthermore, the The Committee encouraged the developer to provide updated reliability and validity testing at the next maintenance review of the measure. The developer confirmed that they are planning to update their validity and reliability testing for the 5 measures submitted in this project (#0219, #0220, #0223, #0225, and #0559).

#### 3. Feasibility: H-1; M-15; L-4; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

- Although the data are readily available through medical records, the Committee recognized the data collection burden for manual chart abstraction that could result in various interpretations.
- The Committee agreed this measure meets the feasibility criterion.

#### 4. Usability and Use: H-10; M-6; L-4; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The measure is publicly reported through the Pennsylvania Health Care Quality Alliance, the PPS-Exempt Cancer Hospital Quality Reporting program, the Commission on Cancer, various compliance benchmarking programs through the National Cancer Data Base, and Quality Oncology Practice Initiative programs.
- The developer provided improvement results showing increases in the overall facility level compliance rates and across all census regions.
- The Committee agreed that despite some centers, including some of the PPS-exempt cancer hospitals, "topping out", gaps persist among other hospitals, therefore, the performance results from this measure can continue to be used to further the goal of high-quality and efficient healthcare.

## 5. Related and Competing Measures

This measure is related to:

- #0387: Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer (AMA-PCPI)
  - The developer stated that the measures are not harmonized because they assess different levels of analysis and different data systems are used to determine eligibility and compliance. Measure #0387 assesses whether hormone therapy was prescribed, whereas #0220 assesses whether hormone therapy was administered within one year of diagnosis or if it was recommended but not received based on patient refusal, medical co-morbidity, or other valid reasons. Measure #0220 assesses compliance at the facility level while #0387 assesses individual physician or practice level performance and the measures use different data sources as well.

#### Standing Committee Recommendation for Endorsement: Y-17; N-3

#### 6. Public and Member Comment

- One commenter stated that it would be beneficial to have the measure stipulate administered vs. prescribed and to address who might not receive the treatment via the exclusions.
- Developer response: The language of "recommended or administered" in these measures was
  specifically selected after discussion with clinicians and users and is based directly on the FORDs data
  item definitions used to calculate these measures. We agree with that when assessing overall quality,
  cancer programs should review patients in which treatment is administered and those in which
  treatment is recommended but not administered. Therefore, in the our reporting systems where
  compliance with these measures is assessed, cancer programs are able to view cases stratified by if; a)

treatment is administered, b) treatment is recommended but not administered and c) the case is non-compliant with the measure. This allows programs to assess patients which cases are compliant with the measure but for which adjuvant therapy was not administered during internal quality improvement efforts.

- During the Comment period, the developer submitted additional performance data from the Rapid
   Quality Reporting System (RQRS). The developer stated that the RQRS performance rates were similar to the performance rates from the NCDB.
- The Committee considered the additional performance data from the Rapid Quality Reporting System (RQRS) and agreed this was an important indicator for cancer. On re-vote, the Committee recommended the measure for endorsement.
- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer

#### Submission | Specifications

**Description**: Percentage of patients under the age of 80 with AJCC III (lymph node positive) colon cancer for whom adjuvant chemotherapy is recommended and not received or administered within 4 months (120 days) of diagnosis.

**Numerator Statement**: Chemotherapy is administered within 4 months (120 days) of diagnosis or it is recommended and not received

**Denominator Statement**: Include, if all of the following characteristics are identified:

Age 18-79 at time of diagnosis

Known or assumed to be first or only cancer diagnosis

Primary tumors of the colon Epithelial malignancy only

At least one pathologically examined regional lym

**Exclusions**: Exclude, if any of the following characteristics are identified:

Age <18 and >=80; not a first or only cancer diagnosis; non-epithelial and non-invasive tumors; no regional lymph nodes pathologically examined; metastatic disease (AJCC Stage IV); not treated surgically; died within 4 months (120 days) of diagnosis; Patient participating in clinical trial which directly impacts receipt of standard of care.

Adjustment/Stratification: Level of Analysis: Facility

**Setting of Care:** Hospital/Acute Care Facility

Type of Measure: Process

**Data Source**: Paper Medical Records, Electronic Clinical Data : Registry **Measure Steward**: Commission on Cancer, American College of Surgeons

### STANDING COMMITTEE MEETING [5/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-6**; **M-14**; **L-0**; **I-0** Rationale:

- For the 2012 endorsement evaluation, the developer provided a clinical practice guideline from the
  National Comprehensive Cancer Network (NCCN) recommending chemotherapy with Stage III colon
  cancer and a systematic review of the body of evidence demonstrating approximately 25% reduction in
  risk of death. The developer did not provide updates to the evidence for the current endorsement
  evaluation. The Committee agreed the evidence basis for the measure has not changed and accepted the
  previous evidence evaluation without further discussion.
- For the current evaluation, the developer provided national trend data from the National Cancer Data Base (NCDB) from 2008 and 2012. The mean performance rate for 2008 was 82.0% and 86.5% for 2012. The developer stated that the performance rate for 2014 was 86.2% (the most current data was not available at the time submission and will be submitted during the commenting period).
- A Committee member noted that the previous Committee questioned whether Stage 2b colon cancers should be included in the measure. At the time the developer responded that the evidence for the appropriateness of adjuvant chemotherapy for Stage 2b colon cancers was not complete. According to the developer, since the previous review, a German study concluded that Stage 2b colon cancers benefit slightly from adjuvant chemotherapy. The NCCN and American Society of Clinical Oncology (ASCO) guidelines recommend that adjuvant chemotherapy is considered for Stage 2b colon cancers but the number in the study was considered insufficient for the recommendations for be implemented nationally.
- The Committee agreed the developer provided sufficient data on disparities based on race, ethnicity, age, insurance status, income, facility type, and sex and that a gap in care remains and there is opportunity for

0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer

improvement.

#### 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: M-13; L-6; I-1 2b. Validity: M-14; L-5; I-1

### Rationale:

- For the 2012 endorsement evaluation, the developer provided mean performance rates that included 1,400 CoC-accredited cancer programs and approximately 65,200 cases from 2007 (88.1) and 2008 (88.3).
- For the current evaluation, the developer provided updated mean performance rates (86.5) from 2012.
   NQF reliability testing requirements include statistical analysis of the computed measure score or the individual patient-level data for the measured entities to determine the proportion of variation due to true differences versus noise or random variation. Overall performance rates do not meet the reliability criterion, which was provided by the developer. Data element validity testing was performed and counted for data element reliability.
- For the 2012 endorsement evaluation, validity was assessed by randomly selecting charts and reviewing them by site surveyors to determine completeness and validity of data reported to registry. The measure numerator and denominator were viewed by the clinical constituency within these cancer programs as valid and an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- For the current evaluation, the developer provided additional details on data element validity testing conducted in 2009 and 2010 by comparing registry data to data that were re-abstracted from the medical records by CoC site surveyors, which was considered the gold standard. The developer provided percentage agreement results for two of the data elements included in the numerator (timing of chemotherapy (88.9, 81.8) and therapy recommended but not received (88.5, 92.4)). Although validity of all the critical data elements (including kappa scores, sensitivity or specificity statistics) was not provided, the Committee agreed that the measure specifications were consistently implemented within the registry.
- The Committee agreed that the validity and reliability of the measure was sufficient but encouraged the developer to provide updated reliability and validity testing at the next maintenance review of the measure. The developer confirmed that they are planning to update their validity and reliability testing for the 5 measures submitted in this project (#0219, #0220, #0223, #0225, and #0559).

#### 3. Feasibility: H-8; M-11; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

• The Committee agreed that, while a small level of burden exists, the measure is easily available in medical records and the data elements are routinely captured by national cancer registries.

## 4. Usability and Use: H-9; M-11; L-0; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

# Rationale:

- The measure is currently used in the PPS-Exempt Cancer Hospital Quality Reporting program, Pennsylvania Health Care Quality Alliance, the Commission on Cancer, and the National Cancer Data Base reporting programs.
- The developer provided improvement results showing increases in the overall facility level compliance rates and across all patient demographics.
- The Committee agreed that the measure meets the usability and use criterion.

### **5. Related and Competing Measures**

This measure is related to:

# 0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer

- o #0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients (AMA-PCPI)
- The measures assess different levels of analysis. #0223 assesses facility level performance; #0385 assesses clinical group practice performance.

### Standing Committee Recommendation for Endorsement: Y-20; N-0

**6. Public and Member Comment** 

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- 7. Consensus Standards Approval Committee (CSAC) Vote: 20-X; N-0
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer.

### Submission | Specifications

**Description**: Percentage of patients >18yrs of age, who have primary colon tumors (epithelial malignancies only), at AJCC stage I, II or III who have at least 12 regional lymph nodes removed and pathologically examined for resected colon cancer.

**Numerator Statement**: >=12 regional lymph nodes pathologically examined.

**Denominator Statement**: Include, if all of the following characteristics are identified:

Age >=18 at time of diagnosis Primary tumors of the colon Epithelial malignancy only

AJCC Stage I, II, or III

Surgical resection performed at the reporting facility

**Exclusions**: Exclude, if any of the following characteristics are identified: Age <18; non-epithelial and non-invasive tumors; metastatic disease (AJCC Stage IV); not treated surgically at the reporting facility; perforation of the primary site; acute obstruction

Adjustment/Stratification: Level of Analysis: Facility

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Process

**Data Source**: Paper Medical Records, Electronic Clinical Data : Registry **Measure Steward**: Commission on Cancer, American College of Surgeons

#### STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: H-0; M-13; L-7; I-0; 1b. Performance Gap: H-6; M-12; L-2; I-0

- For the 2012 endorsement evaluation, the developer provided a clinical practice guideline for stage II colon cancer from the National Comprehensive Cancer Network (NCCN). The guideline, based on lower-level evidence, states that if less than 12 lymph nodes are initially identified, it is recommended that the pathologist go back to the specimen and resubmits more tissue of potential lymph nodes. If 12 lymph nodes are still not identified, a comment in the report should indicate that an extensive search for lymph nodes was undertaken. The developer also provided a systematic review of the body of evidence that concluded that there is a lack of consensus on the minimal number of lymph nodes that have to be examined to accurately identify AJCC stage III colon cancer. The systematic review also concluded that an "adequate" lymph node examination was not associated with patient survival.
- The previous Committee in 2012 had noted their concern with the quality of the evidence presented and the lack of evidence demonstrating that 12 lymph nodes be identified. The developer stated that the measure would be updated as the evidence evolved.
- The developer did not provide updates to the evidence for the current endorsement evaluation. The Committee noted that the practice of examining 12 lymph nodes is not evidence-based, but rather an arbitrary number that is not connected to patient outcomes. During the Committee workgroup call, the developer stated that the National Cancer Data Base (NCDB) will be publishing a study demonstrating the relationship between compliance on this measure and outcomes over time. According to the recent studies conducted by NCDB, the developer stated, there is a correlation between the number of lymph nodes examined and patient survival.
- Due to the low-level of evidence, the Committee decided to re-vote on the evidence criterion and agreed the evidence provided was sufficient at this time.

# 0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer.

• For the current evaluation, the developer provided national trend data from the National Cancer Data Base (NCDB) from 2008 and 2013. The mean performance rate for 2008 was 81.7% and 89.7% for 2013. The Committee agreed that there was room for improvement though it is unlikely that the percentage will increase much more in high performers, since variation in surgical technique or pathology examination is likely to account for a significant number of patients that do not get to the 12 lymph node goal rather than poor performance.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

# 2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **Previous Validity Evaluation Accepted** Rationale:

- For the 2012 endorsement evaluation, the developer provided mean performance rates that included 1,400 CoC-accredited cancer programs and approximately 37,800 cases from 2007 (80.4) and 2008 (81.5).
- For the current evaluation, the developer provided updated mean performance rates (89.7) from 2012.
   NQF reliability testing requirements include statistical analysis of the computed measure score or the individual patient-level data for the measured entities to determine the proportion of variation due to true differences versus noise or random variation. Overall performance rates do not meet the reliability criterion, which was provided by the developer. Data element validity testing was performed and counted for data element reliability.
- For the 2012 endorsement evaluation, validity was assessed by randomly selecting charts and reviewing them by site surveyors to determine completeness and validity of data reported to registry. The measure denominator and numerator were viewed by the clinical constituency within these cancer programs as valid and an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- For the current evaluation, the developer provided additional details on data element validity testing conducted in 2009 and 2010 by comparing registry data to data that were re-abstracted from the medical records by CoC site surveyors, which was considered the gold standard. The developer provided percentage agreement results for two of the data elements included in the numerator (timing of chemotherapy (88.9, 81.8) and therapy recommended but not received (88.5, 92.4)). Although validity of all the critical data elements (including kappa scores, sensitivity or specificity statistics) was not provided, the Committee agreed that the measure specifications were consistently implemented within the registry and accepted the previous reliability and validity evaluation.
- The Committee encouraged the developer to provide updated reliability and validity testing at the next maintenance review of the measure. The developer confirmed that they are planning to update their validity and reliability testing for the 5 measures submitted in this project (#0219, #0220, #0223, #0225, and #0559).

## 3. Feasibility: H-6; M-11; L-3; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

# Rationale:

• The Committee agreed that, while a small level of burden exists, the measure is easily available in medical records and the data elements are routinely captured by national cancer registries.

# 4. Usability and Use: H-9; M-9; L-2; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

- The measure is currently used in the Pennsylvania Health Care Quality Alliance, the Commission on Cancer's accreditation program and National Cancer Data Base, and the Quality Oncology Practice Initiative (QOPI®).
- The developer provided improvement results showing increases in the overall facility level compliance

# 0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer.

rates.

• The Committee agreed that the measure meets the usability and use criterion.

# **5. Related and Competing Measures**

• No related or competing measures noted.

Standing Committee Recommendation for Endorsement: Y-17; N-3

## 6. Public and Member Comment

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- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow

#### Submission | Specifications

**Description**: Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) or an acute leukemia who had baseline cytogenetic testing performed on bone marrow

Numerator Statement: Patients who had baseline cytogenetic testing performed on bone marrow

**Denominator Statement**: All patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) or an acute leukemia

**Exclusions**: For Registry:

Documentation of medical reason(s) for not performing baseline cytogenetic testing (eg, no liquid bone marrow or fibrotic marrow)

Documentation of patient reason(s) for not performing baseline cytogenetic testing (eg, at time of diagnosis receiving palliative care or not receiving treatment as defined above)

Documentation of system reason(s) for not performing baseline cytogenetic testing (eg, patient previously treated by another physician at the time cytogenetic testing performed)

Adjustment/Stratification:

Level of Analysis: Clinician: Group/Practice, Clinician: Individual, Clinician: Team

**Setting of Care:** Ambulatory Care: Clinician Office/Clinic

Type of Measure: Process

**Data Source**: Electronic Clinical Data : Registry **Measure Steward**: American Society of Hematology

#### STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-10**; **M-11**; **L-0**; **I-0** Rationale:

- For the 2012 endorsement evaluation, the developer provided a lower-level evidence clinical practice guideline from the National Comprehensive Cancer Network (NCCN) for cytogenetic testing bone marrow of patients with myelodysplastic syndrome (MDS) and acute leukemia (AML).
- For the current evaluation, the Committee noted that the use of newer molecular cytogenetic studies using fluorescence in situ (FISH) is growing and encouraged the developer to include these additional studies. The developer agreed that as new evidence supporting additional studies continues to evolve and the guideline is revised, the measure will also be revised.
- The Committee agreed that higher-level evidence, such as randomized control trials (RCTs), supporting this measure would be limited; therefore, accepted prior evaluation of this criterion without further discussion.
- The developer provided average performance rates from the PQRS Registry from 2010 2013. The average performance rate was 88.8% in 2010, 94.6% in 2011, 95.6% in 2012, and 87.0% in 2013. The mean performance rate in 2014 was 95.09%, the minimum was 22.22%, and the maximum was 100.0%. The developer did not provide data on disparities from the measure as specified and stated they are not aware of any literature that addresses disparities in patients with ACL and MDS receiving baseline cytogenetic testing. The Committee agreed that performance has improved over time but there is still an opportunity for improvement.
- During the workgroup call, Dr. Gregory Abel stated that he was the primary author of a recently published study that demonstrated a 74% performance gap for this measure using Surveillance, Epidemiology, and End Results (SEER) Medicare data. The developer will submit this additional information to NQF during the public commenting period.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u>

# 0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: H-2; M-18; L-1; I-0 2b. Validity: M-20; L-1; I-0

#### Rationale:

- For the 2012 endorsement evaluation, inter-rater reliability was conducted on 29 acute leukemia patient records and 31 MDS patient records from 2 hematology practice sites. The percent agreement for the numerator was 98.3%, 100.0% for the denominator and the exclusions/exceptions, and 98.3% for overall reliability.
- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. Reliability at the minimum level of quality reporting events (10) was 0.68 and 0.82 at the average number of quality events (21.0). A reliability of 0.70 is generally considered a minimum threshold for reliability.
- The Committee agreed that the updated reliability testing results were satisfactory and met the reliability criterion.
- For the 2012 endorsement evaluation, face validity of the measure score as an indicator of quality was
  systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the
  measure as specified provide an accurate reflection of quality and can be used to distinguish good and
  poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 23 experts representing the American Society of Hematology (ASH) Committee on Quality. Ninety-four percent of the total respondents (18) either agreed or strongly agreed that the measure can accurately distinguish good and poor quality. The Committee discussed the overall exclusion rate of 1.2% and determined that the exclusions are appropriate.
- The Committee agreed that the updated validity testing results were sufficient and met the validity criterion.

## 3. Feasibility: H-3; M-17; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

## Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that the data elements used to construct this measure are based on clinical registry data and available in electronic sources.

#### 4. Usability and Use: H-4; M-17; L-0; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

## Rationale:

• The Committee noted that this measure is used in PQRS and will be available for public reporting on Physician Compare in late 2017.

#### 5. Related and Competing Measures

• No related or competing measures noted.

## Standing Committee Recommendation for Endorsement: Y-21; N-0

#### 6. Public and Member Comment

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7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 8. Board of Directors Vote: Y-X; N-X

#### 9. Appeals

# 0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy

#### Submission | Specifications

**Description**: Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) who are receiving erythropoietin therapy with documentation of iron stores within 60 days prior to initiating erythropoietin therapy

**Numerator Statement**: Patients with documentation of iron stores within 60 days prior to initiating erythropoietin therapy

**Denominator Statement**: All patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) who are receiving erythropoietin therapy

**Exclusions**: Documentation of system reason(s) for not documenting iron stores prior to initiating erythropoietin therapy

Adjustment/Stratification:

Level of Analysis: Clinician: Group/Practice, Clinician: Individual, Clinician: Team

**Setting of Care:** Ambulatory Care: Clinician Office/Clinic

Type of Measure: Process

**Data Source**: Electronic Clinical Data : Registry **Measure Steward**: American Society of Hematology

#### STANDING COMMITTEE MEETING [05/18-19/2016]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

# 1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-9**; **M-10**; **L-1**; **I-0** Rationale:

- For the 2012 endorsement evaluation, the developer provided a lower-level evidence clinical practice guideline from the National Comprehensive Cancer Network (NCCN) that states that iron repletion be verified before instituting erythropoietin or darbepoetin therapy.
- For the current evaluation, the Committee agreed that higher-level evidence, such as randomized control trials (RCTs), supporting this measure would be limited; therefore, accepted prior evaluation of this criterion without further discussion.
- The developer provided average performance rates from the Physician Quality Reporting System (PQRS) Registry from 2010 2013. The average performance rate was 94.7% in 2010, 97.7% in 2011, 95.3% in 2012, and 83.1% in 2013. The mean performance rate in 2014 was 54.58%, the minimum was 0.0%, and the maximum was 100.0%. The developer did not provide data on disparities from the measure as specified and stated they are not aware of any literature outlining disparities for the documentation of iron stores in patients receiving erythropoietin therapy.
- The Committee agreed that performance has improved over time but there is still an opportunity for improvement.
- The recent study, conducted by Dr. Gregory Abel, and referenced during the <u>discussion for #0377</u>, found that 56.0% of patients had pre-erythropoiesis-stimulating agent (ESA) assessments. The developer will submit this additional information to NQF during the public commenting period.

### 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: H-1; M-15; L-4; I-0 2b. Validity: M-16; L-3; I-1

## Rationale:

• For the 2012 endorsement evaluation, inter-rater reliability was conducted on 41 myelodysplastic syndrome (MDS) patient records from 2 hematology practice sites. The percent agreement for the numerator was 90.2%, 100.0% for the denominator and the exclusions/exceptions, and 90.2% for overall reliability.

# 0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy

- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. Reliability at the minimum level of quality reporting events (10) was 0.88 and 0.93 at the average number of quality events (18.4). A reliability of 0.70 is generally considered a minimum threshold for reliability.
- The developer clarified that to meet a portion of the denominator; the provider must attest that a qualifying patient is receiving erythropoietin therapy.
- The Committee encouraged the developer to consider including periodic monitoring of iron stores (in addition to baseline iron stores), to reduce the need for ESAs, maximize symptomatic improvement for patients, and determine the reason for failure to respond adequately to ESA therapy as currently indicated in the NCCN practice guideline.
- The Committee agreed that the updated reliability testing results were satisfactory and met the reliability criterion.
- For the 2012 endorsement evaluation, face validity of the measure score as an indicator of quality was
  systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the
  measure as specified provide an accurate reflection of quality and can be used to distinguish good and
  poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 23
  experts representing the American Society of Hematology (ASH) Committee on Quality. Eighty-nine
  percent of the total respondents (18) either agreed or strongly agreed that the measure can accurately
  distinguish good and poor quality.
- The Committee questioned the developer about the seemingly excessive exclusion/exception rate of 97 exclusions/exceptions per 28 providers with an overall rate of 15.8%. The developer explained that they recommend providers document the specific reasons for exclusion/exception in patients' medical records for purposes of optimal patient management and audit-readiness. However, they are not able to obtain the specific reasons for not documenting iron stores prior to initiating erythropoietin therapy from PQRS data submitted to CMS. The developer also noted that, due to the high exclusion/exception rate, they have requested additional data from CMS to ensure that the measure is being reported accurately.
- Despite the potential threat to validity due to the high rate of exclusion/exception rates, the Committee
  agreed that without specific information about the exclusions/exceptions, it was difficult to understand
  how validity of the measure overall was impacted; therefore, the updated validity testing results were
  sufficient and met the validity criterion.

### 3. Feasibility: H-5; M-13; L-1; I-1

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

## Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that the data elements used to construct this measure are based on clinical registry data and available in electronic sources.

### 4. Usability and Use: H-7; M-12; L-1; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

### Rationale:

• The Committee noted that this measure is used in PQRS and will be available for public reporting on Physician Compare in late 2017.

## **5. Related and Competing Measures**

• No related or competing measures noted.

## Standing Committee Recommendation for Endorsement: Y-18; N-2

## 6. Public and Member Comment

# 0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy

- One commenter stated that it is unlikely that this measure will have a performance rate of 100.0%; therefore, an outcome measure based on the patient benefit of ESAs with respect to iron stores may be more appropriate.
- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# **0389** Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients

### <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer

**Numerator Statement**: Patients who did not have a bone scan performed at any time since diagnosis of prostate cancer

**Denominator Statement**: All patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence, receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy

**Exclusions**: Documentation of medical reason(s) for having a bone scan performed (including documented pain, salvage therapy, other medical reasons)

Documentation of system reason(s) for having a bone scan performed (including bone scan ordered by someone other than reporting physician)

#### Adjustment/Stratification:

Level of Analysis: Clinician : Group/Practice, Clinician : Individual, Clinician : Team

Setting of Care: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic,

Other

Type of Measure: Process

Data Source: Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Electronic Clinical Data:

Registry

Measure Steward: PCPI

## STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-2**; **M-15**; **L-2**; **I-0** Rationale:

- For the 2012 endorsement evaluation, the developer provided a best practice statement, a clinical
  practice guideline, and a systematic review of the body of evidence to demonstrate the use of bone scans
  for low risk prostate cancer patients is not supported by the evidence, is extremely costly, and
  unnecessarily exposes patients to radiation.
- For the current evaluation, the developer updated the evidence with updates to the best practice statement and clinical practice guideline. There were no changes to the recommendations since the previous submission.
- The developer also provided new evidence from the 2012 American College of Radiology (ACR) Appropriateness Criteria: Prostate Cancer Pretreatment Detection, Staging, and Surveillance. The ACR criteria recommends that only patients with a PSA ≥20 ng/ml (with any T stage or Gleason score), locally advanced disease (T3 or T4 with any PSA or Gleason score), or Gleason score ≥8 (with any PSA or T stage) should be considered for a radionuclide bone scan. Patients with skeletal symptoms or advanced-stage disease should also be considered candidates for bone scans.
- The Committee agreed that the updated evidence supports the measure focus and has a stronger level of evidence. The Committee accepted the prior evaluation of this criterion without further discussion.
- The developer provided group/practice level performance data from 2014 PQRS EHR, Registry, and Part B Claims. The mean performance rate for EHR data was 90.76% and 90.24% for registry data. The developer also provided average performance rates from the PQRS Experience Report from 2010-2013. The average performance rate was 71.6% in 2010, 90.5% in 2011, 92.5% in 2012, and 88.5% in 2013. The developer did not provide data on disparities from the measure as specified but cited literature showing

# **0389** Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients

higher morbidity and mortality of prostate cancer in African-Americans. Another citation suggests that imaging overuse is associated with nonwhite race, education, income, and region. The Committee agreed that performance has improved over time but there is still an opportunity for improvement.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

# 2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **Previous Validity Evaluation Accepted** Rationale:

- For the 2012 endorsement evaluation, inter-rater reliability was conducted on 94 patient records from 2010; chart and data auditing occurred in 2011. The percent agreement for the numerator, denominator, exclusions/exceptions, and overall was 100.0%.
- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. Reliability at the minimum level of quality reporting events (10) was 0.84 and 0.96 at the average number of quality events (46.0).
- The Committee agreed that the updated reliability testing results were satisfactory and accepted the prior evaluation of this criterion without further discussion.
- For the 2012 endorsement evaluation, face validity of the measure score as an indicator of quality was
  systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the
  measure as specified provide an accurate reflection of quality and can be used to distinguish good and
  poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 17 experts representing the PCPI Measures Advisory Committee. A total of 80% (10) of the respondents either agreed or strongly agreed that the measure can accurately distinguish good and poor quality. The Committee discussed the overall exclusion rate of 14.1% and determined that the exclusions are appropriate.
- The Committee agreed that the updated validity testing results were sufficient and accepted the prior evaluation of this criterion without further discussion.

### 3. Feasibility: H-10; M-9; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

# Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that the data elements used to construct this measure are based on clinical registry data and available in electronic sources.

### 4. Usability and Use: H-13; M-6; L-0; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The Committee noted that this measure is used in PQRS and will be available for public reporting on Physician Compare in late 2017.
- No unintended consequences have been identified. Nonetheless, the Committee noted a potential consequence of decreasing bone scan testing rates would be undiagnosed metastatic disease; however, this is unlikely based on the evidence for low-risk prostate cancer patients.

#### **5. Related and Competing Measures**

- This measure is related to:
  - #0390-: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients
  - o #1853: Radical Prostatectomy Pathology Reporting
- Measures #0390 and #1853 assess different target populations and different aspects of prostate cancer

# 0389 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients

care.

Standing Committee Recommendation for Endorsement: Y-19; N-0

6. Public and Member Comment

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- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients

## <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)

**Numerator Statement**: Patients who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)

**Denominator Statement**: All patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate

**Exclusions**: AUA methodology uses three categories of reasons for which a patient may be excluded from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For this measure, exceptions for not prescribing/administering adjuvant hormonal therapy may include medical reason(s) (eg, salvage therapy) or patient reason(s). Although this methodology does not require the external reporting of more detailed exception data, the AUA recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The AUA also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement. For example, it is possible for implementers to calculate the percentage of patients that physicians have identified as meeting the criteria for exception. Additional details by data source are as follows:

Documentation of medical reason(s) for not prescribing/administering adjuvant hormonal therapy (eg, salvage therapy)

Documentation of patient reason(s) for not prescribing/administering adjuvant hormonal therapy

## Adjustment/Stratification:

Level of Analysis: Clinician : Group/Practice, Clinician : Individual, Clinician : Team

Setting of Care: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic,

Other

Type of Measure: Process

Data Source: Electronic Clinical Data, Electronic Clinical Data: Registry

Measure Steward: American Urological Association

#### STANDING COMMITTEE MEETING [05/18-19/2016]

## 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; 1b. Performance Gap: H-1; M-13; L-5; I-1

- For the 2012 endorsement evaluation, the developer provided clinical practice guidelines from the American Urological Association (AUA) and the National Comprehensive Cancer Network (NCCN) stating physicians should consider the use of external beam radiotherapy and concurrent use of hormonal therapy in high-risk prostate cancer patients to prolong survival.
- For the current evaluation, the developer provided updates to the guidelines, and included a Cochrane
  Review of the body of evidence. There were no changes to the recommendations since the previous
  submission. The Committee agreed that the updated evidence supports the measure focus and has a
  stronger level of evidence. The Committee accepted the prior evaluation of this criterion without further
  discussion.
- The developer provided average performance rates from the Physician Quality Reporting System (PQRS)
  Registry from 2010 2013. The average performance rate was 79.6% in 2010, 93.5% in 2011, 91.1% in
  2012, and 95.4% in 2013. The mean performance rate in 2014 was 93.82%, the minimum was 16.67%,
  and the maximum was 100.0%. The developer did not provide data on disparities from the measure as

# 0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients

specified but provided evidence from the literature that demonstrated higher incidence rates of prostate cancer in African-American men compared to white men. The literature also showed that African-American men are more likely to receive non-surgical treatment than white men and white men were less likely than African-American men to receive radiation therapy and hormonal therapy.

- The developer also cited an analysis of data from the Cancer of the Prostate Strategic Urologic Research Endeavor (CaPSURE) registry that found that the utilization of adjuvant hormonal therapy and external beam radiotherapy for high-risk patients has increased to 80.0% throughout the past two decades, yet utilization rates have plateaued since 2000.
- The Committee agreed that performance has improved over time but there is still an opportunity for improvement.

## 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **M-14**; **L-5**; **I-1** Rationale:

- For the 2012 endorsement evaluation, inter-rater reliability was conducted on 91 patient records from 2010; chart and data auditing occurred in 2011. The percent agreement for the numerator, denominator, and exclusions/exceptions was 100.0% and 98.9% for overall reliability.
- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. Reliability at the minimum level of quality reporting events (10) was 0.73 and 0.85 at the average number of quality events (21.5). A reliability of 0.70 is generally considered a minimum threshold for reliability.
- The Committee agreed that the updated reliability testing results were satisfactory and accepted the prior evaluation of this criterion without further discussion.
- For the 2012 endorsement evaluation, face validity of the measure score as an indicator of quality was
  systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the
  measure as specified provide an accurate reflection of quality and can be used to distinguish good and
  poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 21
  experts representing the AUA Committee on Quality Improvement and Patient Safety. One hundred
  percent of the total respondents (15) either agreed or strongly agreed that this measure can accurately
  distinguish good and poor quality.
- The Committee discussed the seemingly excessive exclusion/exception rate of 204 exclusions/exceptions per 20 providers with an overall rate of 32.2%. One of the Committee members noted that although some patients should not receive adjuvant hormonal therapy, the exclusion/exception rate appeared relatively high. The Committee also questioned the usefulness of the measure since one-third of the patients were excluded.
- Despite the potential threat to validity due to the high rate of exclusion/exception rates, the Committee agreed that without specific information about the exclusions/exceptions, it was difficult to understand how validity of the measure overall was impacted; therefore, the updated validity testing results were sufficient and met the validity criterion.

## 3. Feasibility: H-9; M-10; L-0; I-1

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

## Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that the data elements used to construct this measure are based on clinical registry data and available in electronic sources.

#### 4. Usability and Use: H-8; M-11; L-1; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c.

# 0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients

Benefits outweigh evidence of unintended consequences)

## Rationale:

• The Committee noted that this measure is used in the Physician Quality Reporting System (PQRS) and is also used in the AUA Quality (AQUA) Registry.

## 5. Related and Competing Measures

- This measure is related to:
  - o 0220: Adjuvant hormonal therapy
  - o 0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
  - o 1853: Radical Prostatectomy Pathology Reporting
- According to the developer the measures specifications are not completely harmonized. Measure #0220 focuses on adjuvant hormonal therapy for breast cancer patients. Measures #0389 and #1853 have different target populations and address different aspects of prostate cancer care.

# Standing Committee Recommendation for Endorsement: Y-19; N-1

6. Public and Member Comment

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- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms

#### Submission | Specifications

Description: Percentage of final reports for screening mammograms that are classified as "probably benign"

**Numerator Statement**: Final reports classified as "probably benign" **Denominator Statement**: All final reports for screening mammograms **Exclusions**: No Denominator Exclusions or Denominator Exceptions

Adjustment/Stratification:

Level of Analysis: Clinician: Individual

Setting of Care: Ambulatory Care: Clinician Office/Clinic, Imaging Facility

**Type of Measure**: Process

Data Source: Administrative claims, Electronic Clinical Data: Registry

Measure Steward: American College of Radiology (ACR)

### STANDING COMMITTEE MEETING [05/18-19/2016]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: H-0; M-7; L-0; I-13; 1b. Performance Gap: H-3; M-14; L-4; I-0; Evidence Exception: Y-20; N-1 Rationale:

- For the 2008 endorsement evaluation, <sup>1</sup> the developer provided a guideline recommendation from the American College of Radiology (ACR) Breast Imaging Reporting Data System (BI-RADS®) Atlas, 2003 that stated: Do not use "probably benign" (Category 3) in interpreting screening examinations (level of evidence is not graded).
- For the current evaluation, the developer provided several sources of evidence that did not support the measure focus including an updated recommendation from the ACR BI-RADS® 5<sup>th</sup> edition, 2012 that recommends overall final assessment of findings should be based on all imaging studies performed up to that day. In addition, they must be classified according to the FDA-approved final assessment categories and should follow the define categories (level of evidence is not graded). The developer also provided a recommendation from the U.S. Preventive Services Task Force (USPSTF) that included biennial screening mammography for women within different age groups and risks. The developer, did however, provide 8 studies from the literature addressing the "probably benign" category.
- Based on NQF criteria, the evidence was insufficient due to lack of empirical evidence provided to support
  this process of care: "probably benign" should not be used as a category for indeterminate findings. The
  Committee agreed that the evidence was insufficient but that it is beneficial to hold providers
  accountable for performance in the absence of empirical evidence of benefits to patients.
- The developer provided physician performance rates from the CMS Physician Quality Reporting System (PQRS) from 2012 – 2014. The performance rate in 2012 was 2.09%, 5.48% in 2013, and 0.49% in 2014. The goal of this measure is a zero-reporting rate. The developer did not provide data on disparities from the measure as specified.
- The Committee agreed that based on the performance data provided by the developer, providers were still using the "probably benign" assessment category 0.49% of the time in 2014, therefore, an opportunity for improvement still exists.

### 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **Previous Validity Evaluation Accepted**; Rationale:

• For the 2012 endorsement evaluation, inter-rater reliability was conducted on 114 patient records from 3 radiology practices from 2010. The percent agreement for the numerator, denominator, and overall

# 0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms

reliability was 100.0%.

- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. The mean reliability was 0.99. A reliability of 0.70 is generally considered a minimum threshold for reliability.
- The Committee agreed that the updated reliability testing results were satisfactory and accepted the prior evaluation of this criterion without further discussion.
- For the 2012 endorsement evaluation,<sup>3</sup> face validity of the measure score as an indicator of quality was
  systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the
  measure as specified provide an accurate reflection of quality and can be used to distinguish good and
  poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 20 experts representing the ACR Commission on Breast Imaging and the National Mammography Database. Eleven respondents either agreed or strongly agreed that physicians who perform well on this measure demonstrate a higher level of quality than physicians who do not perform well on this measure.
- The Committee agreed that the updated validity testing results were satisfactory and accepted the prior evaluation of this criterion without further discussion.

### 3. Feasibility: H-19; M-1; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that the data elements used to construct this measure are based on clinical registry data and available in electronic sources.

### 4. Usability and Use: H-17; M-3; L-1; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

• The Committee noted that this measure is used in the Physician Quality Reporting System (PQRS) and Value Based Payment Modifier.

## 5. Related and Competing Measures

• No related or competing measures noted.

Standing Committee Recommendation for Endorsement: Y-20; N-1

#### 6. Public and Member Comment

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# 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

# 8. Board of Directors Vote: Y-X; N-X

## 9. Appeals

# **0509 Diagnostic Imaging: Reminder System for Screening Mammograms**

### Submission | Specifications

**Description**: Percentage of patients undergoing a screening mammogram whose information is entered into a reminder system with a target due date for the next mammogram

**Numerator Statement**: Patients whose information is entered into a reminder system with a target due date for the next mammogram

**Denominator Statement**: All patients undergoing a screening mammogram

**Exclusions**: Documentation of medical reason(s) for not entering patient information into a reminder system [(eg, further screening mammograms are not indicated, such as patients with a limited life expectancy, other medical reason(s)]

Adjustment/Stratification:

Level of Analysis: Clinician: Individual

Setting of Care: Hospital/Acute Care Facility, Imaging Facility

**Type of Measure**: Process

Data Source: Administrative claims, Electronic Clinical Data: Registry

Measure Steward: American College of Radiology

#### STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; 1b. Performance Gap: H-5; M-14; L-1; I-1

#### Rationale:

- For the 2008 endorsement evaluation, <sup>4</sup> the developer provided a guideline recommendation from the American College of Radiology (ACR) Breast Imaging Reporting Data System (BI-RADS®) Atlas, 2003 that stated: Do not use 'probably benign' (Category 3) in interpreting screening examinations (level of evidence is not graded).
- For the current evaluation, the developer provided a recommendation from the Community Preventive Services Task Force that recommends the use of client reminders to increase screening for breast and cervical cancers on the basis of strong evidence of effectiveness.
- The Committee agreed that the updated evidence provided was stronger than the previous evidence and accepted the prior evaluation of this criterion without further discussion.
- The developer provided physician performance rates from the CMS Physician Quality Reporting System (PQRS) from 2012 2014. The performance rate in 2012 was 79.4%, 86.0% in 2013, and 87.6% in 2014. The developer did not provide data on disparities from the measure as specified but cited a 2010 National Health Interview Survey that demonstrated Asian race, low education status, recent immigrant status, and no regular source of medical care or no medical insurance were factors found to reduce the likelihood for a woman to receive a mammogram.
- The Committee agreed that based on the performance data provided by the developer, an opportunity for improvement still exists.

# 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria Consensus was not reached on the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: Previous Reliability Evaluation Accepted Initial 2b. Validity: M-9; L-7; I-5

Re-vote on 2b. Validity: M-13; L-7

#### Rationale:

• For the 2012 endorsement evaluation, inter-rater reliability was conducted on 114 patient records from 3 radiology practices from 2010. The percent agreement for the numerator, denominator, and overall reliability was 100.0%.

# 0509 Diagnostic Imaging: Reminder System for Screening Mammograms

- For the current evaluation, the developer provided updated reliability testing of the measure score using a beta-binomial model to assess the signal-to-noise ratio. The mean reliability was 0.88. A reliability of 0.70 is generally considered a minimum threshold for reliability.
- The Committee agreed that the updated reliability testing results were satisfactory and accepted the prior evaluation of this criterion without further discussion.
- For the 2012 endorsement evaluation, <sup>6</sup> face validity of the measure score as an indicator of quality was systematically assessed by an expert panel. The expert panel agreed that the scores obtained from the measure as specified provide an accurate reflection of quality and can be used to distinguish good and poor quality.
- For the current evaluation, the developer conducted additional face validity testing with a panel of 20 experts representing the ACR Commission on Breast Imaging and the National Mammography Database. Ten respondents generally agreed that physicians who perform well on this measure demonstrate a higher level of quality than physicians who do not perform well on this measure.
- The exclusion, 'medical reason documentation' was added in 2014; however, the developer did not conduct an analysis to determine the impact of this exclusion on the validity of the measure. The developer stated that the exclusion allows physicians to report on the measure if a patient's information was not entered into a reminder system because it was determined that they did not need to return for a screening mammogram due to decreased life expectancy, history of a mastectomy, or some other medical reason. The developer explained that the exclusion should not be a threat to validity because it was only used 3 times during 2014. Committee members then questioned why the exclusions were so low considering that the developer was reporting Medicare data from PQRS and expected the number of exclusions to be higher in the Medicare population. This raised concerns about the exclusion not being used properly by physicians and the need for the exclusion. During the post-comment call, the developer stated that they would analyze the 2015 PQRS data and consider removing the exclusion. The developer will provide the additional data analysis during the measure's annual review (within one year) for the Committee's review. The Committee re-voted on the validity of the measure and recommended it for endorsement. Ultimately, the Committee did not reach consensus on the validity of the measure.

## 3. Feasibility: H-0; M-19; L-0; I-2

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

# Rationale:

- This measure is based on clinical registry data and all data elements are available in electronic sources.
- While the Committee did recommend having an age range for women in the denominator, they agreed the measure was feasible.

## 4. Usability and Use: H-1; M-18; L-2; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

# Rationale:

• The Committee noted that this measure is used in PQRS and is also used for quality improvement with benchmarking in the ACR NRDR Qualified Clinical Data Registry.

#### **5. Related and Competing Measures**

- This measure is related to:
  - #2372 : Breast Cancer Screening (NQCA)
- The developer stated that the measures have the same measure focus and target population. According to the developer, the measure specifications are completely harmonized.

## Standing Committee Recommendation for Endorsement: Y-18; N-3

## 6. Public and Member Comment

No comments were received.

# **0509 Diagnostic Imaging: Reminder System for Screening Mammograms**

- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.

## <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0 (tumor greater than 1 cm), or Stage IB -III, whose primary tumor is progesterone and estrogen receptor negative recommended for multiagent chemotherapy (recommended or administered) within 4 months (120 days) of diagnosis.

**Numerator Statement**: Combination chemotherapy is administered within 4 months (120 days) of the date of diagnosis or it is recommended and not received.

**Denominator Statement**: Women under the age of 70 with AJCC T1cN0M0, or Stage IB-III hormone receptor negative breast cancer:

- Women
- Age 18-69 at time of diagnosis
- Known or assumed first or only cancer diagnosis
- Primary tumors of the breast
- Epithelial invasive malignanc

**Exclusions**: Exclude, if any of the following characteristics are identified:

Men;

Age <18 and >=70;

not a first or only cancer diagnosis;

non-epithelial and non-invasive tumors;

phyllodes tumor histology;

rare histology not supported by clinical trials: 8940 - Mixed tumor, malignant, NOS, 8950 - Mullerian mixed tumor, 8980 - Carcinosarcoma, 8981 - Carcinosarcoma, embryonal

Tumor size <=1cm and AJCC pN=0;

ERA positive;

PRA positive;

Evidence of in situ or metastatic disease;

Not treated surgically;

Died within 4 months (120 days) of diagnosis;

Participation in a clinical trial which directly impacts the delivery of the standard of care

Adjustment/Stratification: Level of Analysis: Facility

Setting of Care: Hospital/Acute Care Facility

**Type of Measure**: Process

**Data Source**: Paper Medical Records, Electronic Clinical Data : Registry **Measure Steward**: Commission on Cancer, American College of Surgeons

## STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; 1b. Performance Gap: H-7; M-12; L-1; I-0

Rationale:

• For the 2012 endorsement evaluation, the developer provided a clinical practice guideline from the National Comprehensive Cancer Network (NCCN) as evidence to support the administration of combination chemotherapy to breast cancer patients whose primary tumor is progesterone and estrogen

0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.

receptor negative. The developer also included a systematic review of the body of evidence with multiple randomized clinical trials demonstrating approximately 33.0% reduction in risk of distant cancer recurrence and death.

- The Committee agreed that the evidence basis for the measure has not changed and there was no need to repeat the discussion and vote on evidence.
- For the current evaluation, the developer provided national trend data from the National Cancer Data Base (NCDB) from 2008 and 2013. The mean performance rate for 2008 was 85.1% and 89.4% for 2013.
- The Committee agreed that based on the performance and disparities data provided by the developer, a
  gap in care continues to exist in the administration of combination chemotherapy for breast cancer
  patients.
- The Committee suggested monitoring the impact of emerging breast cancer data and new genomic assays that may potentially exclude patients with hormone receptor negative tumors from receiving chemotherapy.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u> <u>Consensus was not reached on the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

Initial 2a. Reliability: M-9; L-9; I-2 2b. Validity: M-9; L-9; I-2

Re-vote on 2a. Reliability: H-2; M-12 2b. Validity: H-2; M-12

- For the 2012 endorsement evaluation, the developer provided mean performance rates that included 1,400 CoC-accredited cancer programs and approximately 14,000 cases from 2007 (86.3) and 2008 (84.9).
- For the current evaluation, the developer provided updated performance rates from 2013 showing the
  hospital-level performance rates from 0% to 100%. NQF reliability testing requirements include statistical
  analysis of the computed measure score or the individual patient-level data for the measured entities to
  determine the proportion of variation due to true differences vs. noise or random variation. Overall
  performance rates do not meet the reliability criterion, which was provided by the developer. Data
  element validity testing was performed and counted for data element reliability.
- For the 2012 endorsement evaluation, validity was assessed by randomly selecting charts and reviewing them by site surveyors to determine completeness and validity of data reported to registry. The measure numerator and denominator were viewed by the clinical constituency within these cancer programs as valid and an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- For the current evaluation, the developer provided additional details on data element validity testing conducted in 2009 and 2010 by comparing registry data to data that were re-abstracted from the medical records by CoC site surveyors, which was considered the gold standard. The developer provided percentage agreement results for 2 of the data elements included in the numerator, timing for chemotherapy (81.1, 75.7) and chemotherapy which was recommended but not administered (88.1, 89.5). Validity testing of all the critical data elements (including kappa scores, sensitivity or specificity statistics) was not provided.
- Since the testing provided by the developer for this measure had the same issues as #0220, the Committee considered the same concerns they had for the testing of that measure and agreed to carry forward the votes from the reliability and validity criteria from #0220 and recommended the measure for endorsement. Thus, consensus was not reached.
- The Committee encouraged the developer to provide updated reliability and validity testing at the next maintenance review of the measure. The developer confirmed that they are planning to update their validity and reliability testing for the 5 measures submitted in this project (#0219, #0220, #0223, #0225, and #0559).
- The Committee agreed there may be multiple providers and procedures (genetic testing, surgery, etc.) from the time of diagnosis to the start of chemotherapy that may extend beyond the 120 day timeframe

0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.

required by the measure, but facilities should aim to prevent delays in initiating treatment and improving patient outcomes.

#### 3. Feasibility: H-4; M-14; L-2; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

- Although the data are readily available through medical records, the Committee recognized the data collection burden for manual chart abstraction that could result in various interpretations.
- The Committee agreed this measure meets the feasibility criterion.

## 4. Usability and Use: H-9; M-9; L-2; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The measure is publicly reported through the Pennsylvania Health Care Quality Alliance, the Commission on Cancer, various compliance benchmarking programs through the National Cancer Data Base, and Quality Oncology Practice Initiative programs.
- The developer provided improvement results showing increases in the overall facility level compliance rates and across all census regions.
- The Committee agreed this measure meets the usability and use criterion.

### 5. Related and Competing Measures

• No related or competing measures noted.

## Standing Committee Recommendation for Endorsement: Y-19; N-1

#### 6. Public and Member Comment

- One commenter stated that it would be beneficial to have the measure stipulate administered vs. prescribed and to address who might not receive the treatment via the exclusions.
- Developer response: The language of "recommended or administered" in these measures was specifically selected after discussion with clinicians and users and is based directly on the FORDs data item definitions used to calculate these measures. We agree with that when assessing overall quality, cancer programs should review patients in which treatment is administered and those in which treatment is recommended but not administered. Therefore, in the our reporting systems where compliance with these measures is assessed, cancer programs are able to view cases stratified by if; a) treatment is administered, b) treatment is recommended but not administered and c) the case is non-compliant with the measure. This allows programs to assess patients which cases are compliant with the measure but for which adjuvant therapy was not administered during internal quality improvement efforts.
- During the Comment period, the developer submitted additional performance data from the Rapid
   Quality Reporting System (RQRS). The developer stated that the RQRS performance rates were similar to the performance rates from the NCDB.
- The Committee considered the additional performance data from the Rapid Quality Reporting System (RQRS) and agreed this was an important indicator for cancer care. On re-vote, the Committee recommended the measure for endorsement.

## 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

# 8. Board of Directors Vote: Y-X; N-X

#### 9. Appeals

# 2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy

# <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of patients with a solid malignant tumor or lymphoma who had a febrile neutropenia (FN) risk assessment completed and documented in the medical record prior to the first cycle of intravenous chemotherapy

**Numerator Statement**: Number of patients who had an FN risk assessment documented in the medical record prior to the first cycle of intravenous chemotherapy.

**Denominator Statement**: Number of patients 18 years of age or older with a solid malignant tumor or lymphoma receiving the first cycle of intravenous chemotherapy.

Exclusions: There are no denominator exclusions.

Adjustment/Stratification:

Level of Analysis: Clinician: Group/Practice

Setting of Care: Ambulatory Care: Clinician Office/Clinic, Other

Type of Measure: Process

Data Source: Electronic Clinical Data: Electronic Health Record, Paper Medical Records

Measure Steward: RAND Corporation

## STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: H-4; M-15; L-0; I-0; 1b. Performance Gap: H-3; M-12; L-2; I-2

- The developer provided a clinical practice guideline from the 2015 American Society of Clinical Oncology (ASCO) Recommendations for the Use of WBC Growth Factors and the 2015 NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) to support the assessment of febrile neutropenia (FN) risk and administration of appropriate colony-stimulating factor (CSF) prophylaxis prior to chemotherapy. The developer provided additional studies evaluating the effectiveness of FN risk assessment tools. The Committee noted that the developer presented strong evidence supporting the administration of CSF prophylaxis prior to chemotherapy. However, the focus of the measure is documentation of a FN risk assessment prior to chemotherapy. The developer clarified that there is no evidence supporting 1 FN risk assessment tool over another at this time. The Committee agreed the evidence the developer provided to support the use of a FN assessment tool demonstrated a decrease in the incidence of febrile neutropenia and related complications.
- The developer provided performance rates from April 2011-February 2016 that included 192 patient records from 5 community oncology clinics. The mean performance rate was 12.0%, the median was 16.0%, and the maximum was 27.0%. The performance rates were stratified by age, race/ethnicity, and gender. The developer provided data from the literature that showed disparities on the use of prophylactic CSF based on gender, race, geographic location, and lower socioeconomic status. The developer stated that there is limited published data on the frequency of risk assessment for FN but cited a study (Miller, 2010) conducted at 4 offices of a community oncology practice to assess the effect of a computer-based risk assessment tool (CBRAT) for FN. Before implementation of the CBRAT, 13 of 101 (13.0%) patients had documented risk assessments for FN. After implementation of CBRAT, documented risk assessments increased to 100.0%.
- The Committee noted that appropriately administering prophylactic CSF and preventing FN in high-risk cancer patients is important, but based on the limited data the developer provided, the Committee questioned whether a gap in care/quality problem exists related to documentation of a FN assessment. The Committee suggested that the low performance rates presented by the developer may be due to the adoption of computerized physician order entry (CPOE) and standard order sets that include supportive care treatments appropriate for the regimen, including pre-medications, hydration, CSF, and hypersensitivity medications. Providers using standardized orders sets are not likely to include additional

# 2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy

documentation explicitly stating the FN risk or a note in the chart that reflects the rationale for either administering or not administering CSF based on patient and regimen risk factors as required by the measure.

• The Committee agreed that is it important to assess patients for FN risk and administer CSF appropriately, however, they encouraged the developer to expand the measure so that evidence-based standing orders meet the intent of the measure.

## 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: M-14; L-4; I-1 2b. Validity: H-17; M-0; L-1

#### Rationale:

- The Committee agreed the data elements are clearly defined, but somewhat complex and may be difficult to calculate consistently.
- Inter-rater reliability testing was assessed using 2 abstractors who were instructed to abstract the same randomly selected 50 medical records from 5 community oncology clinics, 10 records per clinic, for a 25 percent inter-rater reliability (IRR) sample. The kappa statistic and percent agreement between the abstractors was calculated based on whether documentation of a febrile neutropenia risk assessment was in the medical record. The developer provided kappa statistics and percent agreement results for 1 data element included in the numerator (documentation of a febrile neutropenia risk assessment in the medical record). Kappa estimates ranged from 0.783 to 1.0 for the 5 clinics; percent agreement ranged from 90-100%. NQF guidance states that testing should be done for all critical data elements. The clinics determined which patients met the denominator inclusion criteria (age at least 18 years, solid tumor or lymphoma, initiating chemotherapy, and not participating in a clinical trial). The developers excluded additional patients due to incomplete records, malignancy other than solid tumor or lymphoma, or concurrent radiation. The Committee commented that the sample used for reliability testing was relatively small, yet the reliability score was acceptable and met the reliability criterion.
- The Committee encouraged the developer to conduct a statistical analysis, in the future, of the computed measure score to assess the proportion of variability due to real differences among the measured entities.
- The developer assessed face validity of the measure score using a panel of 10 experts in clinical oncology. Eighty percent (8/10) of the respondents either agreed or strongly agreed that performance scores resulting from the measure as defined can be used to distinguish good and poor quality. One of the Committee members commented that they would like to see data showing that groups with high scores on the measure have less FN. Another Committee member suggested that missing data may be a threat to validity, although the developer stated that missing data was not identified during the medical record abstraction. The Committee concluded that the validity criterion was met.

#### 3. Feasibility: H-1; M-14; L-3; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

Some of the data elements are easily found in electronic sources but information about FN risk
assessment may not be generated during routine care delivery and require manual chart abstraction. The
Committee suggested incorporating the FN risk assessment into CPOE and standard orders to increase the
feasibility of the measure in the future.

#### 4. Usability and Use: H-1; M-16; L-1; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

### Rationale:

• The developer stated that because the measure is being submitted to NQF for initial endorsement, they do not yet have plans to submit it for use in a specific federal, state or local program. However, the

# 2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy

measure would be appropriate for use in a CMS reporting program for outpatient care provided to oncology patients.

• The Committee emphasized that a febrile neutropenia outcome measure would further the goal of high-quality, efficient healthcare rather than this process measure. The Committee requested that, if endorsed, the developer provide data on the performance of the measure and include patients who were administered CSF prophylaxis and patients with febrile neutropenia to understand the impact of the measure. Another Committee member questioned the impact this measure will have on the appropriate use of CSF but acknowledged that additional data will be useful to improve quality.

#### **5. Related and Competing Measures**

• No related or competing measures noted.

### Standing Committee Recommendation for Endorsement: Y-16; N-2

#### 6. Public and Member Comment

- One commenter noted that an outcome measure will assist in determining more than appropriate use
  of colony-stimulating factor (CSF), specifically resource utilization related to urgent care due to febrile
  neutropenia (FN). The commenter also noted the challenges of documenting FN risk assessment in
  electronic health records (EHR).
- <u>Developer response: We agree that measuring febrile neutropenia (FN) outcomes is important, but view an outcome measure as a complement to our proposed measure rather than a substitute.</u>
- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients – Legacy eMeasure

### <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer

**Numerator Statement**: Patients who did not have a bone scan performed at any time since diagnosis of prostate cancer

**Denominator Statement**: All patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence, receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy

**Exclusions**: Documentation of medical reason(s) for having a bone scan performed (including documented pain, salvage therapy, other medical reasons)

Documentation of system reason(s) for having a bone scan performed (including bone scan ordered by someone other than reporting physician)

#### Adjustment/Stratification:

Level of Analysis: Clinician : Group/Practice, Clinician : Individual, Clinician : Team

Setting of Care: Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic,

Other

Type of Measure: Process

Data Source: Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Electronic Clinical Data:

Registry

Measure Steward: PCPI

## STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Measure #0389 Evidence Criteria Evaluation Accepted; 1b. Performance Gap: Measure #0389 Performance Gap Criteria Evaluation Accepted

#### Rationale:

• This "legacy" eMeasure is the eCQM version of the registry measure #0389, currently used in federal programs. The Committee discussed #0389 first, and because the information provided for evidence and opportunity for improvement is identical for the 2 measures, the Committee agreed to assign the ratings for these criteria to #2963.

# 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u> <u>Consensus was not reached on the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

<u>Initial</u> 2a. Reliability: **M-10**; **L-6**; **I-4** 2b. Validity: **M-16**; **L-2**; **I-2** 

Re-vote on 2a. Reliability: M-14; L-2; I-1

- The developer conducted data element validity testing using 34 synthetic patients created in the Bonnie testing system simulating the year 2012. This testing method is appropriate for Legacy eMeasures and satisfies the reliability testing requirement. The Bonnie testing tool was used to test the numerator, denominator, exceptions, measure logic, and value sets to ensure the measure performs as expected. The Bonnie testing results demonstrated 100% coverage and 100% passing rate confirming there was a test case for each pathway of logic and each test case performed as expected.
- The developer provided reliability results from the registry measure (#0389) and stated that once data from the eCQM are available for analysis it is expected that reliability test results will be comparable for

# 2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients – Legacy eMeasure

the 2 measures. The Committee questioned extrapolating the reliability of the eCQM based on the registry measure without testing results. The Committee questioned if the developer had tested the correlation of the eCQM and registry measure. The developer clarified that although the eCQM is currently used in Meaningful Use (MU), CMS has not released performance data from MU. The Committee noted their concerns with providers' ability to consistently implement the Health Quality Measure Format (HQMF) specifications for the eCQM and the potential impact on the numerator, denominator, and exceptions.

- The Committee did not reach consensus on the reliability of the measure but acknowledged the importance of eMeasures and the challenges associated with respecifying registry and claims measures and encouraged CMS to release MU performance data.
- The developer conducted face validity testing with a panel of 17 experts representing the PCPI Measures Advisory Committee. Eighty percent of the total respondents (10) either agreed or strongly agreed that the measure can accurately distinguish good and poor quality. The Committee agreed the validity testing results were sufficient.

## 3. Feasibility: H-5; M-13; L-1; I-1

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

### Rationale:

• The developer provided information on feasibility testing in the eMeasure Feasibility Score Card for 2 implementation sites and an explanation for scores below 2 on a scale from 1 to 3. Bonnie testing verified that the measure logic is functional, but not all of the required data elements exist as structured data in the unidentified EHRs that were used for testing feasibility. The Committee agreed that the developer provided sufficient information to demonstrate feasibility.

#### 4. Usability and Use: H-9; M-11; L-0; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The measure is currently used in Meaningful Use Stage 2 (EHR Incentive Program).
- No unintended consequences have been identified, but similar to #0389, the Committee noted a potential consequence of decreasing bone scan testing rates would be higher rates of undiagnosed metastatic disease. However, this is unlikely based on the evidence for low-risk prostate cancer patients.

## 5. Related and Competing Measures

- This measure is related to:
  - o #0390-: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients (AMA-PCPI)
  - #1853-: Radical Prostatectomy Pathology Reporting (CAP)
- The developer stated that the measure specifications are not completed harmonized; #0390 and #1853 address different target populations and different aspects of prostate cancer care.

## Standing Committee Recommendation for Endorsement: Y-20; N-0

#### 6. Public and Member Comment

After the comment period, the Committee emphasized their concerns with the lack of data from the
measure as specified. The developer agreed to provide the Standing Committee with additional data
during the measure's scheduled annual review (within one year). The Standing Committee
recommended the measure on the condition the measure is reviewed through an ad-hoc review (after
scheduled annual review).

#### 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 8. Board of Directors Vote: Y-X; N-X

2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients – Legacy eMeasure

9. Appeals

## Measures Recommended for Inactive Endorsement With Reserve Status

# 1878 HER2 testing for overexpression or gene amplification in patients with breast cancer

## <u>Submission</u> | <u>Specifications</u>

**Description**: Proportion of female patients (aged 18 years and older) with breast cancer who receive human

epidermal growth factor receptor 2 (HER2) testing for overexpression or gene amplification

Numerator Statement: HER2 testing performed

**Denominator Statement**: Adult women with breast cancer

Exclusions: None

Adjustment/Stratification:

Level of Analysis: Clinician: Group/Practice

Setting of Care: Ambulatory Care: Clinician Office/Clinic

Type of Measure: Process

Data Source: Electronic Clinical Data: Registry

Measure Steward: American Society of Clinical Oncology

# STANDING COMMITTEE MEETING [05/18-19/2016]

## 1. Importance to Measure and Report: The measure did not meet the Importance criteria

(1a. Evidence, 1b. Performance Gap)

# 1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-1**; **M-3**; **L-15**; **I-1** Rationale:

- For the 2012 endorsement evaluation, the developer provided a clinical practice guideline from the American Society of Clinical Oncology (ASCO) and the College of American Pathologists (CAP) recommending that human epidermal growth factor receptor 2 (HER2) status should be determined for all invasive breast cancer.
- For the current evaluation, the developer provided updated citations to the clinical practice guideline but the recommendations did not change.
- The Committee agreed that the evidence basis for the measure has not changed and there was no need to repeat the discussion and vote on evidence.
- The developer provided performance rates from the ASCO Quality Oncology Practice Initiative (QOPI®) Registry from 2013 2015. The mean performance rate in 2013 was 98.53%, 98.77% in 2014, and 98.63% in 2015. The developer provided disparities data aggregated by race and/or ethnic groups. The developer also noted that studies show that tumors of older female patients (15.7%) and Hispanics (20.7%) as well as other race/ethnicities (18.8%) are less likely to be tested for HER2.
- The Committee discussed the high performance rates of this measure, noting that there is no longer a gap
  in performance among the practices being measured. There was discussion about participants in the QOPI
  Registry being self-selected and voluntarily reporting on this measure and the possibility for practices
  outside of the registry having lower performance rates. Another Committee member cited Surveillance,
  Epidemiology, and End Results (SEER) data, which is more nationally representative, from 2007
  demonstrating 96.5% of eligible patients had HER2 testing performed.
- Ultimately, the measure did not pass performance gap. However, despite the high rate of performance there was evidence that disparities exist; therefore, the Committee voted to continue reviewing the measure against the rest of the criteria with the possibility of recommending the measure for inactive endorsement with reserve status.

# 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

# 2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **Previous Validity Evaluation Accepted**; Rationale:

 For the 2012 endorsement evaluation, data element validity testing was performed and counted for data element reliability. The dataset included 264 patient records from 44 QOPI practices submitted in spring

# 1878 HER2 testing for overexpression or gene amplification in patients with breast cancer

2007. Trained, independent nurse abstractors served as the "gold standard" against which practice abstractions were compared for accuracy. Kappa statistics were used to analyze the validity of the audited patient records compared to the submitted patient records. By convention, a kappa > 0.70 is considered acceptable. The developer provided a kappa score of 0.85 and an overall percent agreement of 98.0%. While this kappa score is above what is considered acceptable, the developer did not state which of the data elements this kappa score represented; no additional results were provided. NQF guidance states that testing should be done for all critical data elements. The developer responded that they were unable to find the additional data from the testing previously conducted but based on the kappa score and overall agreement rate they did not have any concerns with the performance of the measure in the registry.

• Although the developer did not provide updated reliability and validity testing, the Committee agreed that the measure specifications were consistently implemented within the registry and accepted the previous reliability and validity evaluation.

### 3. Feasibility: H-3; M-15; L-1; I-1

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

- This measure is based on clinical registry data and all data elements are available in electronic sources. The Committee agreed the measure is feasible.
- The Committee noted that eventual use of this measure through EHRs would lessen the data collection burden.

#### 4. Usability and Use: H-4; M-13; L-3; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

# Rationale:

- The developer shared that this measure was recently selected for inclusion in a Medical Oncology Core
  Measure Set supported by AHIP and CMS. The measure was also recently approved for use in the
  Medicare Access & CHIP Reauthorization Act's (MACRA) Merit-Based Incentive Payment System (MIPS).
- The Committee noted that this measure is used in Quality Oncology Practice Initiative (QOPI®), the QOPI® Certification Program, and the PQRS Qualified Clinical Data Registry.

## **5. Related and Competing Measures**

- This measure is related to:
  - #1855: Quantitative HER2 Evaluation by IHC uses the System Recommended by the ASCO/CAP Guidelines (CAP)
- Measure #1855 and #1878 address 2 complimentary components and are related to appropriate
  identification and treatment of breast cancer patients. Measure #1855 and #1878 differ by data source.
  Measure #1878 is suited for registry data. Measure #1855 is suited for administrative claims and paper
  medical records data sources. The developer indicates the measures have been harmonized.

#### Standing Committee Recommendation for Inactive Endorsement with Reserve Status: Y-19; N-1

# 6. Public and Member Comment

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- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies

### Submission | Specifications

**Description**: Proportion of female patients (aged 18 years and older) with breast cancer who are human epidermal

growth factor receptor 2 (HER2)/neu negative who are not administered HER2-targeted therapies

**Numerator Statement**: HER2-targeted therapies not administered during the initial course of treatment. **Denominator Statement**: Adult women with breast cancer that are HER2 negative or HER2 undocumented.

**Exclusions**: Patient transfer to practice during or after initial course.

Adjustment/Stratification:

Level of Analysis: Clinician: Group/Practice

**Setting of Care:** Ambulatory Care: Clinician Office/Clinic

**Type of Measure**: Process

Data Source: Electronic Clinical Data: Registry

Measure Steward: American Society of Clinical Oncology

# STANDING COMMITTEE MEETING [05/18-19/2016]

#### 1. Importance to Measure and Report: The measure did not meet the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-0**; **M-1**; **L-19**; **I-0** Rationale:

- For the 2012 endorsement evaluation, the developer provided clinical practice guidelines from the American Society of Clinical Oncology (ASCO) and the Cancer Care Ontario (CCO) recommending trastuzumab to patients with HER2-positive node or node-negative breast cancer.
- For the current evaluation, the developer provided updated clinical practice guidelines from ASCO and CCO and an additional joint guideline from ASCO and the College of American Pathologists recommending HER2-targeted therapy for only for patients with HER2-positive breast cancer.
- The Committee agreed that the evidence is sufficient and there was no need to repeat the discussion and vote on evidence.
- The developer provided performance rates from the ASCO Quality Oncology Practice Initiative (QOPI®) Registry from 2013 2015. The mean performance rate in 2013 was 99.25%, 99.26% in 2014, and 99.54% in 2015. The developer provided 2013-2015 data stratified by race and/or ethnic groups that demonstrated little variation. Performance rates for Hispanics were 99.26% 100.0% and 98.47% 99.66% for black patients. The developer did not provide additional data on disparities.
- The Committee discussed the same issues related to performance gap that were discussed for #1878.
- Like #1878, the measure did not pass performance gap. Despite the high rate of performance other disparities may exist; therefore, the Committee voted to continue reviewing the measure against the rest of the criteria with the possibility of recommending the measure for inactive endorsement with reserve status.
- 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u>
- (2a. Reliability precise specifications, testing; 2b. Validity testing, threats to validity)
- 2a. Reliability: **Previous Reliability Evaluation Accepted** 2b. Validity: **Previous Validity Evaluation Accepted** Rationale:
  - For the 2012 endorsement evaluation, data element validity testing was performed and counted for data element reliability. The dataset included 264 patient records from 44 QOPI practices submitted in spring 2007. Trained, independent nurse abstractors served as the "gold standard" against which practice abstractions were compared for accuracy. Kappa statistics were used to analyze the validity of the audited patient records compared to the submitted patient records. By convention, a kappa > 0.70 is considered acceptable. The developer provided a kappa score of 0.74 and an overall percent agreement

# 1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies

of 96.0%. While this kappa score is above what is considered acceptable, the developer did not state which of the data elements this kappa score represented; no additional results were provided. NQF guidance states that testing should be done for all critical data elements. Like #1878, the developer responded that they were unable to find the additional data from the testing previously conducted but based on the kappa score and overall agreement rate they did not have any concerns with the performance of the measure in the registry.

Although the developer did not provide updated reliability and validity testing, the Committee agreed
that the measure specifications were consistently implemented within the registry and accepted the
previous reliability and validity evaluation.

#### 3. Feasibility: H-0; M-20; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

- This measure is based on clinical registry data and all data elements are available in electronic sources. The Committee agreed the measure is feasible.
- The Committee noted that eventual use of this measure through EHRs would lessen the data collection burden.

## 4. Usability and Use: H-5; M-11; L-4; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

### Rationale:

- The developer shared that this measure was recently selected for inclusion in a Medical Oncology Core
  Measure Set supported by AHIP and CMS. The measure was also recently approved for use in the
  Medicare Access & CHIP Reauthorization Act's (MACRA) Merit-Based Incentive Payment System (MIPS).
- The Committee noted that this measure is used in Quality Oncology Practice Initiative (QOPI®).

## **5. Related and Competing Measures**

No related or competing measures noted.

Standing Committee Recommendation for Inactive Endorsement with Reserve Status: Y-19; N-1

## 6. Public and Member Comment

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### 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

8. Board of Directors Vote: Y-X; N-X

### 9. Appeals

## Measures Not Recommended

## 0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer

## <u>Submission</u> | <u>Specifications</u>

**Description**: Percentage of patients aged 18 years and older undergoing elective lobectomy for lung cancer who had a prolonged length of stay >14 days

**Numerator Statement**: Number of patients aged 18 years and older undergoing elective lobectomy for lung cancer who had a prolonged length of stay >14 days

**Denominator Statement**: Number of patients aged 18 years and older undergoing elective lobectomy for lung

cancer

Exclusions: None

Adjustment/Stratification:

Level of Analysis: Facility, Clinician: Group/Practice

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Outcome

**Data Source**: Electronic Clinical Data : Registry **Measure Steward**: The Society of Thoracic Surgeons

#### STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: <u>The measure did not meet the Importance Criteria Consensus was not reached on the Importance criteria</u>

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Previous Evidence Evaluation Accepted; Initial 1b. Performance Gap: H-0; M-10; L-5; I-6

Re-vote on 1b. Performance Gap: M-11; L-8; I-1

- For the 2008 endorsement evaluation, the developers stated that prolonged length of stay after pulmonary lobectomy is both a surrogate marker of morbidity and a direct marker of increased resource utilization. Lower performing thoracic programs have the opportunity to design quality improvement initiatives when they know their rate of risk adjusted prolonged length of stay. The Committee accepted the previous evidence evaluation.
- For the current evaluation, the developers did not provide data on disparities from the measure as specified, but the Committee noted that there are studies demonstrating disparities based on the size of the program, the number of operations performed per year, insurance status, and general surgeons vs. board-certified thoracic surgeons.
- For the current evaluation, the developer provided performance data from the STS General Thoracic Surgery Database (GTSD) for patients that underwent elective lobectomy for lung cancer between July 1, 2012 and June 30, 2015 that demonstrated a mean prolonged length of stay (PLOS) (>14 days) occurred in 4.3% of eligible patients. After the workgroup call the developer calculated the overall mean and median PLOS from 2009-2012, 2010-2013, 2011-2014, and 2012-2015. The PLOS decreased from a mean of 5.1% to 4.3% and the median decreased from 4.9% to 4.2% for all four time intervals. The Committee questioned whether 14 days was still an appropriate threshold for defining PLOS since LOS can be significantly impacted by surgical approach such as an open thoracotomy or a minimally-invasive thoracotomy as indicated in Wright et al 2010.
- The Committee noted that the number of patients per region ranged from 2,996 per 40 surgeons to 7,756 patients per 73 surgeons, yet the mean PLOS was ~4.0% for each region. The Committee was concerned that low-volume providers may affect overall performance rates making it difficult to distinguish high-performers from low-performers and determining if a gap in care exists based on the data provided.
- The Committee noted several concerns with the performance data provided by the developer. and did not reach consensus on performance gap. The Committee requested that the developer provide performance data on 10 days vs. 14 days PLOS and the correlation between the number of procedures

# 0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer

performed (volume) and PLOS at the next maintenance review of the measure.

• The Committee discussed the measure during the post-comment call and re-voted on the performance gap subcriterion. The Committee determined that the data provided did not demonstrate a gap in performance.

#### 2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: Previous Reliability Evaluation Accepted 2b. Validity: M-15; L-6; I-0

## Rationale:

- For the 2012 endorsement evaluation, the developer assessed test-retest reliability by comparing the results of estimated hospital rates of prolonged stay between 2 consecutive 6-month time intervals during 2009. The Pearson correlation between hospital-specific rates of prolonged stay in the first versus second half of 2009 was 0.31, which Evans (1996) suggests as "very weak" (0.20-0.39).
- For the current evaluation, the developer provided updated reliability testing of the measure score using the Pearson correlation coefficient to assess the signal-to-noise ratio. The reliability of the measure score increased as the volume of minimum procedures per year for participants increased. The reliability for all 244 participants in the registry and 23,174 operations was 37.6%, 44.5% for ≥10 procedures per year, and 63.8% for ≥ 40 procedures per year.
- The Committee agreed that the reliability scores of the measure were sufficient and accepted the previous reliability evaluation.
- For the 2012 endorsement evaluation, <sup>9</sup> the developer assessed face validity by an expert panel of thoracic surgeons assembled by the STS General Thoracic Surgery Database Task Force, the STS Task Force on Quality Initiatives and the STS Workforce on National Databases. The developer also stated than in 2010 they would conduct patient-level data element validity testing.
- For the current evaluation, the developer conducted data element validity testing using 10% of randomly selected STS GTSD participants from 2013 to 2015. Twenty cases (at least 15 lobectomy and up to 5 esophagectomy) that were previously submitted to the STS data warehouse were re-abstracted and compared to the "gold standard". Agreement rates for the individual data elements ranged from 84.15% (diabetes control) to 100.0% (esophageal cancer, date of surgery, gastric outlet, and discharge date). The Committee agreed that the threats to validity were adequately assessed including the variables used in the risk-adjustment model. The Committee also agreed with the developer's rationale that given the lack of consistent, compelling evidence regarding sociodemographic (SDS) factors and length of stay, there is no conceptual basis for adjusting the measure for SDS factors at this time, but noted that it is an important future state of development.

## 3. Feasibility: H-17; M-4; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that some but not all of the data elements used to construct this measure are in defined fields in electronic sources.

#### 4. Usability and Use: H-8; M-11; L-2; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

- The measure is currently used for quality improvement by the STS General Thoracic Surgery Database which includes 273 participants. STS is planning to launch the general thoracic surgery component of STS Public Reporting Online in 2017.
- The developer did not provide any unintended consequences but the developer confirmed that if a
  patient was discharged to a LTAC (long-term acute care) facility on a ventilator on day 13 they would
  meet the measure.

# 0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer

## **5. Related and Competing Measures**

• No related or competing measures noted.

## Standing Committee Recommendation for Endorsement: Y-17; N-4

### 6. Public and Member Comment

- One commenter suggest a measure addressing the discharge outcomes may provide better insight into
  variations of care due to low patient volume in the current measure. The commenter also noted the
  new measure(s) might be similar to measure #0460 with a different surgical procedure/patient
  diagnostic group.
- The developer response: Although length of stay is a surrogate for morbidity, measure #0459 is intended to be used to measure health care resource utilization. #1790 Risk-Adjusted Morbidity and Mortality for Lung Resection for Lung Cancer, an outcomes measure also stewarded by STS addresses the commenter's suggestion. In addition, STS recently developed a two-domain, outcomes only composite measure for lobectomy for lung cancer.
- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

# 0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer

### Submission | Specifications

**Description**: Percentage of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer who developed any of the following postoperative conditions: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality

**Numerator Statement**: Number of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer who developed any of the following postoperative conditions: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality.

**Denominator Statement**: Number of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer

Exclusions: None

Adjustment/Stratification:

Level of Analysis: Facility, Clinician: Group/Practice

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Outcome

**Data Source**: Electronic Clinical Data : Registry **Measure Steward**: The Society of Thoracic Surgeons

## STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: **H-6**; **M-12**; **L-2**; **I-1** Rationale:

- In the 2008 endorsement evaluation, <sup>10</sup> the developer stated that measuring risk adjusted morbidity and mortality of patients undergoing esophagectomy for cancer provides surgeons and institutions the opportunity to evaluate outcomes and subsequently design quality improvement initiatives to address identified deficits. The Committee accepted the previous evidence evaluation.
- For the current evaluation, the developers did not provide data on disparities from the measure as specified. However, an analysis (Sammon et al, 2015) cited by the developer that was used to select patient factors for the risk model, suggested that age, gender, and race are relevant to esophagectomy outcomes. The Committee noted that race (African-Americans) was one of the variables included in the in the risk-model, therefore, taking into account race when computing the performance measure score.
- For the current evaluation, the developer provided performance data from the STS General Thoracic Surgery Database (GTSD) for patients that underwent elective esophagectomy for primary esophageal cancer between July 1, 2012 and June 30, 2015. The Committee noted that the median ranged from 27.7% to 28.6% and the 10<sup>th</sup> percentile and the 90<sup>th</sup> percentile ranged from 20.6% to 42.6%. The Committee agreed there is opportunity for improvement in care for patients undergoing elective esophagectomy.

# 2. Scientific Acceptability of Measure Properties: <u>The measure does not meet the Scientific Acceptability</u> <u>Consensus was not reached on the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

<u>Initial</u> 2a. Reliability: **H-0**; **M-11**; **L-9**; **I-1** 2b. Validity: **M-12**; **L-9**; **I-0** 

Revote on 2a. Reliability: H-2; M-9; L-8; I-1 2b. Validity: M-10; L-9; I-1

## Rationale:

• For the 2012 endorsement evaluation, <sup>11</sup> the developer assessed test-retest reliability by comparing the results of estimated hospital rates mortality or major morbidity between 2 consecutive 6-month time intervals during 2009. The Pearson correlation between hospital-specific rates of mortality or major

#### 0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer

morbidity in the first versus second half of 2009 was 0.50, which Evans (1996) suggests as "weak" (0.40-0.59).

- For the current evaluation, the developer provided updated reliability testing of the measure score using the Pearson correlation coefficient to assess the signal-to-noise ratio. The reliability of the measure score increased as the volume of minimum procedures per year for participants increased. The reliability scores for all 169 participants and 4,557 operations were 44.4%, 67.9% for ≥5 procedures per year, and 80.6% for ≥20 procedures per year. The Committee noted that more than 55.0% of participants (94) in the registry did fewer than 5 procedures a year. The Committee expressed their concerns with the reliability of this low-volume procedure and that the measure was not specified for ≥5 procedures per year. The Committee also expressed their concerns with combining morbidity and mortality and asked the developer if there were plans for differential weighting of these outcomes. The developer responded that they were developing a new measure that more heavily weights mortality than morbidity and it would be complete by the next maintenance review. The previous Committee also noted the same concerns in 2012.
- Due to the concerns regarding the reliability of the measure as specified, the Committee and did not reach consensus on this criterion.
- For the 2012 endorsement evaluation, <sup>12</sup> the developer assessed face validity by an expert panel of thoracic surgeons assembled by the STS General Thoracic Surgery Database Task Force, the STS Task Force on Quality Initiatives and the STS Workforce on National Databases. The developer also stated than in 2010 they would conduct patient-level data element validity testing.
- For the current evaluation, the developer conducted data element validity testing using 10% of randomly selected STS GTSD participants from 2013 to 2015. Twenty cases (at least 15 lobectomy and up to 5 esophagectomy) that were previously submitted to the STS data warehouse were re-abstracted and compared to the "gold standard". Agreement rates for the individual data elements ranged from 84.15% (diabetes control) to 100.0% (esophageal cancer, date of surgery, gastric outlet, and discharge date). The Committee agreed that the risk-model variables were appropriate.
- The Committee determined that the data element validity testing was adequate but <a href="mailto:the-data-provided">the-data-provided</a>
  <a href="mailto:demonstrated">demonstrated</a> <a href="mailto:did not reach consensus on validity overall due to the-a">due to the-a</a> threat <a href="mailto:of-to">of-to</a> validity <a href="mailto:due to of-data-transformation">due to of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">a</a> threat <a href="mailto:of-data-transformation">of-to</a> validity <a href="mailto:due to the-a">a</a> threat <a href="mailto:due to the-a">of-to</a> validity <a href="mailto:due to the-a">due to the-a</a> threat <a href="mailto:due to the-a"

#### 3. Feasibility: H-9; M-12; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

• The Committee did not note any concerns regarding feasibility, acknowledging that some but not all of the data elements used to construct this measure are in defined fields in electronic sources.

#### 4. Usability and Use: H-4; M-15; L-2; I-0

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

#### Rationale:

- The measure is currently used for quality improvement by the STS General Thoracic Surgery Database which includes 273 participants. STS is planning to launch the general thoracic surgery component of STS Public Reporting Online in 2017.
- The Committee noted that it would be important to determine how to publicly report the performance rates of this measure for the layperson (i.e. low-volume versus low performance).

#### **5. Related and Competing Measures**

No related or competing measures noted.

#### Standing Committee Recommendation for Endorsement: Y-20; N-1

#### 6. Public and Member Comment

#### 0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer

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- 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X
- 8. Board of Directors Vote: Y-X; N-X
- 9. Appeals

#### **Submission**

**Description**: Measure estimates hospital-level, risk-adjusted rates of inpatient admissions or ED visits for cancer patients >18 years of age for at least one of the following diagnoses—anemia, dehydration, diarrhea, emesis, fever, nausea, neutropenia, pain, pneumonia, or sepsis—within 30 days of hospital outpatient chemotherapy treatment. The two rates are calculated and reported separately.

**Numerator Statement**: This measure involves calculating two mutually exclusive outcomes: one or more inpatient admissions or one or more ED visits for any of the following diagnoses—anemia, dehydration, diarrhea, emesis, fever, nausea, neutropenia, pain, pneumonia, or sepsis—within 30 days of chemotherapy treatment among cancer patients receiving treatment in a hospital outpatient setting. These 10 conditions are potentially preventable through appropriately managed outpatient care. The qualifying diagnosis on the admission or ED visit claim must be (1) the principal diagnosis or (2) a secondary diagnosis accompanied by a principal diagnosis of cancer.

**Denominator Statement**: The measure cohort includes Medicare FFS patients aged 18 years and older as of the start of the performance period with a diagnosis of any cancer who received at least one hospital outpatient chemotherapy treatment at the reporting hospital during the performance period.

**Exclusions**: We established the following exclusion criteria after reviewing the literature, examining existing measures, reviewing feedback from a public comment period, and discussing alternatives with the Cancer Working Group and TEP members (see Section Ad.1. for description of group and membership). The goal was to be as inclusive as possible; we excluded only those patient groups for which hospital visits were not typically a quality signal or for which risk adjustment would not be adequate. The exclusions, based on clinical rationales, prevent unfair distortion of performance results.

1) Patients with a diagnosis of leukemia at any time during the performance period.

Rationale: Patients with leukemia are excluded due to the high toxicity of treatment and recurrence of disease so that admissions do not reflect poorly managed outpatient care for this population. Patients with leukemia have an expected admission rate due to relapse, so including leukemia patients in the cohort could be conceptualized as a planned admission, which does not align with the intent of the measure.

2) Patients who were not enrolled in Medicare FFS Parts A and B in the year prior to the first outpatient chemotherapy treatment during the performance period.

Rationale: We exclude these patients to ensure complete patient diagnosis data for the risk-adjustment model, which uses the year prior to the first chemotherapy treatment during the period to identify comorbidities.

3) Patients who do not have at least one outpatient chemotherapy treatment followed by continuous enrollment in Medicare FFS Parts A and B in the 30 days after the procedure.

Rationale: We exclude these patients to ensure full data availability for outcome assessment.

Adjustment/Stratification: Level of Analysis: Facility

Setting of Care: Hospital/Acute Care Facility

Type of Measure: Outcome

Data Source: Administrative claims

Measure Steward: Centers for Medicare & Medicaid Services (CMS)

#### STANDING COMMITTEE MEETING [05/18-19/2016]

1. Importance to Measure and Report: Consensus was not reached on the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: Y-12; N-9; 1b. Performance Gap: H-2; M-9; L-3; I-7

Rationale:

According to the developer, chemotherapy treatment can have severe, predictable side effects, and
hospital admissions and ED visits among patients receiving treatment in a hospital outpatient setting are
often caused by manageable side effects and complications. Admissions and ED visits for eligible

diagnoses—anemia, dehydration, diarrhea, emesis, fever, nausea, neutropenia, pain, pneumonia, or sepsis—may be due to patients receiving treatment in a hospital outpatient setting having unmet needs and gaps in care, which, if addressed, could reduce admissions and ED visits and increase patients' quality of life. Treatment plans and guidelines exist to support the management of these conditions. Hospitals that provide outpatient chemotherapy should implement appropriate care to minimize the need for acute hospital care for these adverse events.

- The Committee acknowledged this outcome measure encourages care coordination and symptom management in an effort to minimize the side effects of chemotherapy administration, improve the quality of cancer care, and patients' overall quality of life. While the Committee agreed that the interventions outlined by the developer to prevent and manage anemia, dehydration, diarrhea, nausea/emesis, neutropenic fever, pain, and pneumonia/sepsis improves patients' quality of life, some members stated that evidence linking these interventions with decreased hospitalizations and ED visits was not provided. Committee members agreed there are higher levels of evidence to support some of the clinical interventions the developer listed versus non-clinical interventions like care coordination. Some Committee members expressed concern with the complexity of the measure and the broad range of diagnoses that it would be difficult for a facility to determine where to focus their quality improvement efforts. Other Committee members agreed that list of side effects are broad but determined that the developer provided sufficient evidence to support the interventions to prevent and manage the side effects and symptoms of chemotherapy and decrease the risk of ED visits and hospital admissions.
- The Committee did not reach consensus on the evidence of a linkage between the broad range of side effects and reduced ED visits and hospitalizations.
- The developer provided inpatient admission rates and ED visit rates from July 1, 2012 June 30, 2013 using Medicare FFS claims for 252,408 patients and 3,765 hospitals. The risk-standardized inpatient admission rate ranged from 6.0% to 24.9% (median 10.2, 25<sup>th</sup> and 75<sup>th</sup> percentiles were 9.8 and 10.8, respectively). The risk-standardized ED visit rate ranged from 2.1% to 7.5% (median 4.1, 25<sup>th</sup> and 75<sup>th</sup> percentiles are 4.0 and 4.4, respectively). Additionally, the developer cited several studies that demonstrated a significant number of cancer patients experience inpatient admissions and ED visits each year related to the frequently reported side effects of chemotherapy. Other studies cited by the developer suggest that there is substantial institutional and geographic variation in hospital admissions and ED visits among chemotherapy patients.
- The developer did not provide disparities data from the measure as specified but did examine associations between outcomes and sociodemographic (SDS) factors. The developer analyzed dual-eligibility, race, and AHRQ SES Composite Index to determine if these factors affected whether patients receiving hospital-based outpatient chemotherapy were more likely to have an inpatient admission and emergency department visit within 30 days than "non-low SDS" patients. On the patient level, the developer's analysis found disparities based on the 3 variables examined. However, theses disparities were no longer significant when evaluated at the hospital level. One of the Committee members noted that disparities may have not been significant at the hospital level due to volume or other statistical issues. The member suggested stratifying the measure since disparities were significant at the patient level.
- The Committee noted the narrow interquartile range (IQR) for both rates indicating little variability in performance among most of the facilities. On the other hand, the Committee noted the overall range of inpatient admission rates demonstrated a gap in care and an opportunity for improvement, especially for the facilities in the 25<sup>th</sup> percentile. A Committee member questioned that sufficient data was provided to determine if a gap in care existed due to the significantly small difference in percentage points between the 25<sup>th</sup> and 75<sup>th</sup> percentile on the ED visit rate. The Committee asked the developer if they could provide the 10<sup>th</sup> and 90<sup>th</sup> percentile rates for the ED visit rates; the developer did not have the data available at the in-person meeting.
- The Committee did not reach consensus on the performance gap criterion.
- Prior to the Committee's vote on performance gap, NQF staff recommended that the Committee consider

the measure as 2 separate measures and NQF would categorize it as a "paired measure" due to the developer's wish that the 2 rates be reported together. As stated in previous conversations with NQF staff, the developer expressed the intent of the measure to calculate 2 rates and report them separately. However, 90% of the Committee voted to keep the measure as it was submitted; and only 10% voted to separate the measure into a paired measure, so the measure was not split.

2. Scientific Acceptability of Measure Properties: The measure did not meet the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity)

2a. Reliability: H-0; M-4; L-10; I-6 2b. Validity: N/A

#### Rationale:

- The developer used 2012-2013 Medicare data from 3,765 hospitals and 240,446 patients. A total of 942 hospitals with ≥ 60 patients in the cohort were included in the sample. A split-sample methodology was used to test the measure score reliability. The developers randomly assigned half of the patients in each hospital to 2 separate groups, calculated the performance measure score for each hospital in each of the 2 groups, and calculated the Pearson correlation between the performance rates in each half-year sample; the higher the correlation, the higher the reliability of the measure.
- The developers also used the intraclass correlation coefficient (ICC) signal-to-noise method to determine
  the recommended minimum number of cases needed to maintain a reliability level of 0.4 or higher. The
  ICC reflects the percentage of variance in score results that is due to "true" or real variance between the
  hospitals.
- The reliability score for inpatient admissions was 0.41 and 0.27 for ED visits. To achieve reliability (ICC) of 0.4, a minimum of 25 patients are required to calculate the inpatient admissions rate and a minimum of 20 patients for the ED visit rate per performance period. The developer recommended a performance period long enough to accumulate a sufficient number of patients per hospital for improved reliability.
- During the workgroup call and the in-person meeting, the Committee questioned the developer about the strength of the reliability score for the ED measure (Pearson correlation = 0.27). The developer responded that they had access to only 1 year of data at the time the analyses were conducted. As mentioned previously, the methodology requires a random-split of data into 2 distinct samples to calculate a test-retest reliability score. Therefore, the test-retest reliability calculation was based on correlation between 2 half-year samples, or roughly half the data that will be used to calculate outcome rates for public reporting. Calculating reliability estimates on samples analogous in size to those in public reporting would require 2 years of data, to which developers currently do not have access, but is expected to increase the measure reliability. The developer also noted that given the reliability calculation split a year of data into 2 half-year samples, they were further limited by low facility volume and the low rate of the ED measure (median rate of 4.1 per 100 patient visits for hospital-based outpatient chemotherapy). The reliability score measures the consistency within two split samples, and diminishing sample size in the presence of low rates makes it less likely that the two split samples are similar. For example, a facility with 30 cases would be expected to have approximately one ED visit case. When this facility is randomly split the observed event would only be attributed to 1 of the 2 split-year samples, resulting in a discrepancy in the rates of 0% versus 6.7%. These large discrepancies reduce the strength of the reliability estimate.
- To determine what effect a 2-year sample of data would have on reliability estimates, the developers conducted additional reliability analyses with the same split-year samples used in the original analyses. Specifically, the developers recalculated the reliability score using the Intraclass Correlation Coefficient (ICC) and adjusted the ICC estimate using the Spearman-Brown prophecy formula to determine the range of ICC values if calculated in a split-sample with 2 years of data instead of 1. The Spearman-Brown prophecy formula provides an estimate of an ICC if the number of items in a test increases by a certain factor. Using the Spearman-Brown formula, assuming a 2-year split sample, the adjusted ICC was estimated to be 0.47 (95% confidence interval: 0.40/0.53). Accordingly, the developers expect reliability estimates will improve when calculated in a data sample analogous in size to that used for

implementation and public reporting.

- During the workgroup call, one of the Committee members inquired about the distribution of hospital
  case count, since the number of hospitals included in the reliability analysis declined from over 3,000 to
  less than 1,000 once the minimum patient threshold was imposed on the split-halves analysis. The
  developer conducted additional analyses and found that 41.0% of hospitals had a minimum case count of
  ≥25 patients (the typical threshold for public reporting) over the 1 year period from July 2012 through
  June 2013.
- Some Committee members continued to express their concern with the complexity of the measure and questioned a facility's ability to consistently implement the measure and the potential impact on reliability. The developer clarified that the measure does not require facilities to calculate their rates; rather the rates are calculated by CMS using Medicare FFS administrative claims.
- Another Committee member expressed their concerns with the numerator limiting admissions/rates to inpatient and ED. Many facilities and cancer centers, the member reasoned, have affiliated urgent care centers or 24-hour clinics rather than emergency departments. If a patient was seen at an urgent care centers or clinics for one of the eligible diagnoses, they would not be counted in the numerator. Additionally, if they were admitted to the hospital for observation, they would not be included in the numerator unless they crossed the two-midnight rule. 13
- Committee members also voiced their concerns about attribution. One member suggested that if a patient receives chemotherapy from more than 1 facility in the 30 day timeframe, the facility that administered the chemotherapy prior to the inpatient admission or ED visit should bear more attribution. The developer pointed to the analysis they conducted to see how many patients received chemotherapy from more than 1 hospital and found that only 5%of patients in the sample (n=240,446) received chemotherapy at more than 1 hospital.
- Other Committee members noted that patients receiving concurrent chemoradiotherapy should be excluded from the denominator.
- Overall, the Committee concluded that the measure did not meet the reliability criterion due to the
  concerns discussed, specifically the small sample size used for reliability testing and the low reliability
  scores.

#### 3. Feasibility: N/A

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/unintended consequences identified 3d. Data collection strategy can be implemented)
Rationale:

•

#### 4. Usability and Use: N/A

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

Rationale:

•

#### **5. Related and Competing Measures**

• No related or competing measures noted.

#### Standing Committee Recommendation for Endorsement: N/A

#### <u>Rationale</u>

• The measure did not pass reliability.

#### 6. Public and Member Comment

•

#### 7. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 8. Board of Directors Vote: Y-X; N-X

9. Appeals

#### Measures Withdrawn from Consideration

Five measures previously endorsed by NQF have not been re-submitted for maintenance of endorsement. Endorsement for these measures will be removed. Maintenance of endorsement has been deferred for one measure and three new measures have been withdrawn from consideration during the endorsement evaluation process.

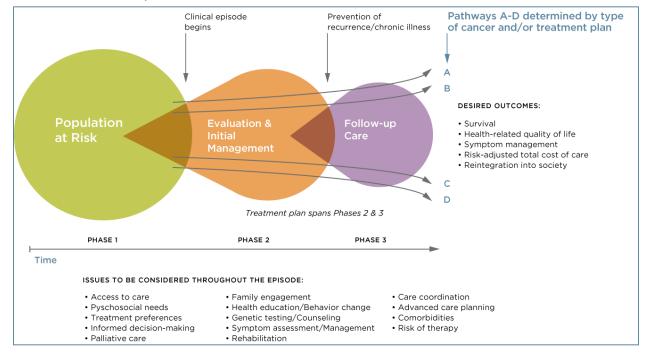
Measure	Reason for withdrawal
0221: Image or Palpation-Guided Needle Biopsy (core or FNA) of the Primary Site is Performed to Establish Diagnosis of Breast Cancer (American College of Surgeons)	Measure was not submitted for maintenance review.  Developer determined they were not able conduct additional testing needed based on changes to measure specifications.
0455: Recording of Clinical Stage Prior to Surgery for Lung Cancer or Esophageal Cancer Resection (The Society of Thoracic Surgeons)	Measure was not submitted for maintenance review. No reason provided by developer.
0457: Recording of Performance Status prior to Lung or Esophageal Cancer Resection (The Society of Thoracic Surgeons)	Measure was not submitted for maintenance review. No reason provided by developer.
0562: Overutilization of Imaging Studies in Melanoma (American Academy of Dermatology)	Measure was not submitted for maintenance review. The melanoma guideline is now in update and the developer anticipates developing new melanoma measures once the updated guideline is available.
0650: Melanoma: Continuity of Care – Recall System (American Academy of Dermatology)	Measure was not submitted for maintenance review. The melanoma guideline is now in update and the developer anticipates developing new melanoma measures once the updated guideline is available.
1858: Trastuzumab Administered to Patients with AJCC Stage I (T1c) – III and Human Epidermal Growth Factor Receptor 2 (HER2) Positive Breast Cancer Who Receive Adjuvant Chemotherapy (American Society of Clinical Oncology)	Due to recent changes in guidelines, developer requested deferral of maintenance review.
2938: Lung Cancer Reporting (Biopsy/Cytology Specimens) (The College of American Pathologists)	Measure withdrawn from consideration; additional testing needed.
2931: Melanoma Reporting (The College of American Pathologists)	Measure withdrawn from consideration; additional testing needed.
2929: Lung Cancer Reporting (Resection Specimens) (The College of American Pathologists)	Measure withdrawn from consideration; additional testing needed.

#### **Endnotes**

- <sup>1</sup> Measure #0508 was initially endorsed in 2008 with time-limited endorsement.
- <sup>2</sup> In 2012, #0508 underwent time-limited reliability testing review and received full endorsement.
- <sup>3</sup> In 2012, #0508 underwent time-limited validity testing review and received full endorsement.
- <sup>4</sup> Measure #0509 was initially endorsed in 2008 with time-limited endorsement.
- <sup>5</sup> In 2012, #0509 underwent time-limited reliability testing review and received full endorsement.
- <sup>6</sup> In 2012, #0509 underwent time-limited validity testing review and received full endorsement.
- <sup>7</sup> Measure #0459 was initially endorsed in 2008 with time-limited endorsement.
- <sup>8</sup> In 2012, #0459 underwent time-limited reliability testing review and received full endorsement.
- <sup>9</sup> In 2012, #0459 underwent time-limited validity testing review and received full endorsement.
- <sup>10</sup> Measure #0460 was initially endorsed in 2008 with time-limited endorsement.
- <sup>11</sup> In 2012, #0460 underwent time-limited reliability testing review and received full endorsement.
- <sup>12</sup> In 2012, #0460 underwent time-limited validity testing review and received full endorsement.
- <sup>13</sup> CMS announced the two-midnight rule in 2013. Under this rule, only patients that the physician expects will need to spend two nights in the hospital would be considered as hospital inpatients. http://www.healthaffairs.org/healthpolicybriefs/brief.php?brief\_id=133

#### **Appendix B: NQF Cancer Portfolio and Related Measures**

#### Patient-Focused Episode of Care Model for Cancer Care



#### Measures in the Cancer Portfolio

\*Denotes measures that were evaluated in the Cancer Care Project 2015-2016

#### Bone Cancer

1822: External Beam Radiotherapy for Bone Metastases

#### **Breast Cancer Measures**

0219: Post breast conservation surgery irradiation\*

0220: Adjuvant hormonal therapy\*

0387: Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer

0391: Breast Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade

0508: Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms\*

0509: Diagnostic Imaging: Reminder System for Screening Mammograms\*

0559: Combination chemotherapy is considered or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer\*

1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines

1857: Patients with breast cancer and negative or undocumented human epidermal growth factor receptor 2 (HER2) status who are spared treatment with trastuzumab\*

1858: Trastuzumab administered to patients with AJCC stage I (T1c) – III and human epidermal growth factor receptor 2 (HER2) positive breast cancer who receive adjuvant chemotherapy

1878: Human epidermal growth factor receptor 2 (HER2) testing in breast cancer\*

#### Colon Cancer Measures

0223: Adjuvant hormonal therapy\*

0225: At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer\*

0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients

0392: Colorectal Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade

1859: KRAS gene mutation testing performed for patients with metastatic colorectal cancer who receive anti-epidermal growth factor receptor monoclonal antibody therapy

1860: Patients with metastatic colorectal cancer and KRAS gene mutation spared treatment with antiepidermal growth factor receptor monoclonal antibodies

#### **Hematology Measures**

0377: Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow\*

0378: Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy\*

0379: Hematology: Chronic Lymphocytic Leukemia (CLL): Baseline Flow Cytometry

0380: Hematology: Multiple Myeloma: Treatment with Bisphosphonates

#### Lung and Thoracic Cancer Measures

0459: Risk-Adjusted Morbidity: Length of Stay >14 Days after Elective Lobectomy for Lung Cancer\*

0460: Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer\*

1790: Risk-Adjusted Morbidity and Mortality for Lung Resection for Lung Cancer

1854: Barrett's Esophagus

#### **Prostate Cancer Measures**

0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients\*

0390: Prostate Cancer: Adjuvant Hormonal Therapy for High Risk Prostate Cancer Patients\*

1853: Radical Prostatectomy Pathology Reporting

#### **Other Oncology Measures**

0381: Oncology: Treatment Summary Communication – Radiation Oncology

0382: Oncology: Radiation Dose Limits to Normal Tissues

0383: Oncology: Plan of Care for Pain – Medical Oncology and Radiation Oncology (paired with 0384)

0384: Oncology: Pain Intensity Quantified - Medical Oncology and Radiation Oncology (paired with

0383)

0386: Oncology: Cancer Stage Documented

### **Appendix C: Cancer Portfolio—Use in Federal Programs**

NQF#	Title	Federal Programs: Finalized as of June, 2016
0220	Adjuvant hormonal therapy	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0223	Adjuvant chemotherapy is considered or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0377	Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow	Physician Quality Reporting System (PQRS)
0378	Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy	Physician Quality Reporting System (PQRS)
0379	Hematology: Chronic Lymphocytic Leukemia (CLL): Baseline Flow Cytometry	Physician Quality Reporting System (PQRS)
0380	Hematology: Multiple Myeloma: Treatment with Bisphosphonates	Physician Quality Reporting System (PQRS)
0382	Oncology: Radiation Dose Limits to Normal Tissues	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0383	Oncology: Plan of Care for Pain – Medical Oncology and Radiation Oncology (paired with 0384)	Physician Quality Reporting System (PQRS)
0384	Oncology: Pain Intensity Quantified – Medical Oncology and Radiation Oncology (paired with 0383)	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0385	Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients	Physician Quality Reporting System (PQRS)
0389	Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	Physician Quality Reporting System (PQRS)
0390	Prostate Cancer: Adjuvant Hormonal Therapy for High Risk Prostate Cancer Patients	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0391	Breast Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade	Physician Quality Reporting System (PQRS)

NQF#	Title	Federal Programs: Finalized as of June, 2016
0392	Colorectal Cancer Resection Pathology Reporting- pT category (primary tumor) and pN category (regional lymph nodes) with histologic grade	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
0508	Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms	Physician Quality Reporting System (PQRS)
0509	Diagnostic Imaging: Reminder System for Screening Mammograms	Physician Quality Reporting System (PQRS)
0559	C0559: Combination chemotherapy is considered or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
1822	External Beam Radiotherapy for Bone Metastases	Prospective Payment System (PPS)-Exempt Cancer Hospital Quality Reporting Program (PCHQR)
1853	Radical Prostatectomy Pathology Reporting	Physician Quality Reporting System (PQRS)
1854	Barrett's Esophagus	Physician Quality Reporting System (PQRS)
1855	Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	Physician Quality Reporting System (PQRS)

#### **Appendix D: Project Standing Committee and NQF Staff**

#### STANDING COMMITTEE

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#### Shaconna Gorham, MS, PMP

Senior Project Manager

#### Amber Sterling, MPH

Project Manager

#### Kaitlynn Ector-Robinson, MPH

**Project Analyst** 

### **Appendix E: Measure Specifications**

	0219 Post breast conservation surgery irradiation
Steward	Commission on Cancer, American College of Surgeons
Description	Percentage of female patients, age 18-69, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage I, II, or III, receiving breast conserving surgery who receive radiation therapy within 1 year (365 days) of diagnosis.
Туре	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base  No data collection instrument provided No data dictionary
Level	Facility
Setting	Hospital/Acute Care Facility
Numerator Statement	Radiation therapy to the breast is initiated within 1 year (365 days) of the date of diagnosis
Numerator Details	Regional Treatment Modality [NAACCR Item#1570]=20-98, and Date Radiation Started [NAACCR Item#1210] <= 365 days following the Date of Diagnosis [NAACCR Item#340]
Denominator Statement	Include, if all of the following characteristics are identified:  Women
	Age 18-69 at time of diagnosis  Known or assumed to be first or only cancer diagnosis
	Primary tumors of the breast
	Epithelial malignancy only,
	AJCC Stage I, II, or III
	Surgical treatmen
Denominator Details	Sex [NAACCR Item#220]=2; Age at Diagnosis [NAACCR Item#230] < 70; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–24
Exclusions	Exclude, if any of the following characteristics are identified:
	Men
	Under age 18 at time of diagnosis
	Over age 69 at time of diagnosis
	Second or subsequent cancer diagnosis
	Tumor not originating in the breast
	Non-epithelial malignancies
	Phyllodes tumor histology
	Stage 0, in-situ tumor
	Stage IV, metastatic tumor
	None of 1st course therapy performed at reporting facility
	Died within 12 months (365 days) of diagnosis
	Patient participating in clinical trial that directly impacts delivery of the standard of care
Exclusion details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx
Risk Adjustment	No risk adjustment or risk stratification
Stratification	No stratification applied
Type Score	Rate/proportion better quality = higher score

	0310 Post busest consequation surrous invadiation
	0219 Post breast conservation surgery irradiation
Algorithm	This measure score is calculated by dividing the numerator cases by denominator eligible cases.
	Denominator eligible cases are assessed in a step-wise fashion:
	- Include breast cancer cases
	- Exclude Patients enrolled in a clinical trial that directly impacts delivery of the standard of
	care
	- Include female cases only
	- Include first and only primary tumors
	- Include epithelial tumors staged according to AJCC 7th edition
	- Exclude 8940 - Mixed tumor, malignant, NOS; 8950 - Mullerian mixed tumor; 8980 – Carcinosarcoma; 8981 - Carcinosarcoma, embryonal
	- Include invasive tumors only
	- Exclude pathologic evidence of in situ or metastatic disease
	- Exclude clinical evidence of in situ or metastatic disease
	- Include cases with all or part of the first course of treatment was performed at the reporting facility
	- Include cases with receipt of breast conserving surgery
	- Include patient reported living within 365 days from the date of diagnosis
	Numerator cases are then assessed from denominator eligible cases:
	- Cases are included in the numerator if
	Radiation therapy was administered within 365 days following diagnosis.
	The measure score is calculated with the numerator divided by the denominator.
	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value:

	0220 Adjuvant hormonal therapy
Steward	Commission on Cancer, American College of Surgeons
Description	Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0,IB to III, who's primary tumor is progesterone or estrogen receptor positive with tamoxifen or third generation aromatase inhibitor (recommended or administered) within 1 year (365 days) of diagnosis.
Туре	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base  No data collection instrument provided No data dictionary
Lovel	
Level	Facility  Heavital (Acute Cons Facility)
Setting	Hospital/Acute Care Facility
Numerator Statement	Hormone therapy is administered within 1 year (365 days) of the date of diagnosis or it is recommended but not received
Numerator Details	Hormone Therapy recommended and not received [NAACCR Item#1400]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:no administered because the patient died prior to planned or recommended therapy,86:lt was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)  OR; Hormone Therapy administered [NAACCR Item#1400]=1, AND Date Hormone Therapy Started (NAACCR Item#710] <=365 days following Date of Diagnosis [NAACCR Item# 340]
Denominator	Include if all of the following characteristics are identified:
Statement	Women
	Age >=18 at time of diagnosis
	Known or assumed to be first or only cancer diagnosis
	Epithelial malignancy only
	Primary tumors of the breast
	AJCC T1cN0M0 or Stage IB - III
	Primary tumor
Denominator	Sex [NAACCR Item#220]=2; and
Details	Age [NAACCR Item# 230] >=18; and
	Stageable Epithelial tumors histology [NAACCR Item# 522] 8000-8576, 8941-8949 and
	Invasive tumor behavior [NAACCR Item# 522] =3 and
	AJCC T1c or Stage IB-III:Tumor Size [NAACCR Item#2800]= 11-989, 992-995 and AJCC pN [NAACCR Item#890]=0, I-, I+, 0M-, M=, 0M+ OR AJCC pN [NAACCR Item#890]=1,1M, 1M1, 1A, 1B, 1C,2, 2A, 2B, 3, 3A, 3B, or 3C; and
	CS SSF1 (ERA) [NAACCR Item#2880]=010 or 030; AND CS SSF2 (PRA) [NAACCR Item#2890]=010 or 030;
	AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–90
Exclusions	Exclude, if any of the following characteristics are identified:
	Men
	Under age 18 at time of diagnosis
	Second or subsequent cancer diagnosis

	0220 Adjuvant hormonal therapy
	Tumor not originating in the breast  Non-epithelial malignancies, exclude malignant phyllodes tumors, 8940 - Mixed tumor, malignant, NOS, 8950 - Mullerian mixed tumor, 8980 - Carcinosarcoma, 8981 - Carcinosarcoma, embryona
	Stage 0, in-situ tumor
	AJCC T1mic, or T1a tumor Stage IV, metastatic tumor
	Primary tumor is estrogen receptor negative and progesterone receptor negative  None of 1st course therapy performed at reporting facility  Died within 1 year (365 days) of diagnosis,
	Patient enrolled in a clinical trial that directly impacts delivery of the standard of care
Exclusion details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx
Risk Adjustment	No risk adjustment or risk stratification
Stratification	No stratification applied
Type Score	Rate/proportion better quality = higher score
Algorithm	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures: 0387 : Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer
	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: These measures are related but assess different levels of analysis and different data systems are used to determine eligibility and compliance.
	5b.1 If competing, why superior or rationale for additive value: 0387 assesses hormone therapy for patients with stage Ic through III hormone receptor positive cancer. 0387 assesses if hormone therapy was prescribed within a 12 month period while our measure (0220) assesses if hormone therapy was administered within on

	0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer
Steward	Commission on Cancer, American College of Surgeons
Description	Percentage of patients under the age of 80 with AJCC III (lymph node positive) colon cancer for whom adjuvant chemotherapy is recommended and not received or administered within 4 months (120 days) of diagnosis.
Туре	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base
	No data collection instrument provided No data dictionary
Level	Facility
Setting	Hospital/Acute Care Facility
Numerator Statement	Chemotherapy is administered within 4 months (120 days) of diagnosis or it is recommended and not received
Numerator Details	Chemotherapy Recommended and not received [NAACCR Item#1390]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not administered because the patient died prior to planned or recommended therapy,86:It was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)  OR; Chemotherapy [NAACCR Item#1390]=3, and Date Chemotherapy Started (NAACCR Item#1220] <=120 days following Date of Diagnosis [NAACCR Item# 340]
Denominat	Include, if all of the following characteristics are identified:
or	Age 18-79 at time of diagnosis
Statement	Known or assumed to be first or only cancer diagnosis
	Primary tumors of the colon
	Epithelial malignancy only
	At least one pathologically examined regional lym
Denominat or Details	Age at Diagnosis [NAACCR Item#230] 18-79 AND Male or female [NAACCR item #220] = 1,2; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 30–90, AND Regional Lymph Nodes Positive [NAACCR Item#820] = 1-90, 95, 97
Exclusions	Exclude, if any of the following characteristics are identified:
	Age <18 and >=80; not a first or only cancer diagnosis; non-epithelial and non-invasive tumors; no regional lymph nodes pathologically examined; metastatic disease (AJCC Stage IV); not treated surgically; died within 4 months (120 days) of diagnosis; Patient participating in clinical trial which directly impacts receipt of standard of care.
Exclusion details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/ncdb/measure%20specs%20colon _03312015.ashx
Risk Adjustmen t	No risk adjustment or risk stratification
Stratificati on	No stratification applied
Type Score	Rate/proportion better quality = higher score

# 0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer

#### Algorithm

This measure score is calculated by dividing the numerator cases by denominator eligible cases. Denominator eligible cases are assessed in a step-wise fashion:

- Include all colon cancer cases
- Adult patients 18 and over and under 80
- Males and female cases only
- Include first or only primaries
- Include epithelial tumors based on AJCC 7th Ed.
- Include invasive tumors only
- Exclude cases with clinical or pathologic evidence of in situ disease
- Exclude cases with clinical or pathologic evidence of metastatic disease
- Include only cases where all or part of first course treatment was performed at the reporting facility
- Include only surgically treated cases
- Include only patients which were alive for at least 120 days following diagnosis
- Include only lymph node positive disease

Numerator cases are then assessed from denominator eligible cases:

- Cases are included in the numerator if:
- a) Chemotherapy is administered the number of days between diagnosis and start of chemotherapy within 120 days are included in the numerator or
- b) Chemotherapy is recommended but not administered based on:
- -Chemotherapy was not recommended/administered because it was contraindicated due to patient risk factors,
- -Chemotherapy was not administered because the patient died prior to planned or recommended therapy,
- -Chemotherapy was not administered. It was recommended by the patient's physician but was not administered as part of the first course of therapy.
- -Chemotherapy was not administered, it was recommended by the patients' physician but refused by the patient, patient's family member or guardian. The refusal was noted in patient record.

The measure score is calculated with the numerator divided by the denominator.

Detailed steps are found here:

https://www.facs.org/~/media/files/quality%20programs/cancer/ncdb/measure%20specs%20colon 03312015.ashx Available at measure-specific web page URL identified in S.1

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- 5.1 Identified measures: 0385 : Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
- 5a.1 Are specs completely harmonized? No
- 5a.2 If not completely harmonized, identify difference, rationale, impact: The measures assess different levels of data analysis, 0385 assesses clinical group practice while 0223 assesses facility level performance. The data sources are also different for the two measures increasing the burden of collection for harmonization.
- 5b.1 If competing, why superior or rationale for additive value: The target populations of these measures and the level of analysis are sufficiently different to warrant both measures. Measure 0223 assesses adjuvant chemotherapy on surgically treated patients to be reported at the facility

0223 Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer
level for CoC-accredited can

	0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer
Steward	Commission on Cancer, American College of Surgeons
Description	Percentage of patients >18yrs of age, who have primary colon tumors (epithelial malignancies only), at AJCC stage I, II or III who have at least 12 regional lymph nodes removed and pathologically examined for resected colon cancer.
Туре	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base
Laval	No data collection instrument provided No data dictionary
Level	Facility
Setting	Hospital/Acute Care Facility
Numerator Statement	>=12 regional lymph nodes pathologically examined.
Numerator Details	Regional Lymph Nodes Examined [NAACCR Item#830] = 12-90
Denominator Statement	Include, if all of the following characteristics are identified:  Age >=18 at time of diagnosis  Primary tumors of the colon  Epithelial malignancy only  AJCC Stage I, II, or III
	Surgical resection performed at the reporting facility
Denominator Details	Surgical Procedure of the Primary Site at This Facility [NAACCR Item#670] = 30-80
Exclusions	Exclude, if any of the following characteristics are identified:  Age <18; non-epithelial and non-invasive tumors; metastatic disease (AJCC Stage IV); not treated surgically at the reporting facility; perforation of the primary site; acute obstruction
Exclusion details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20colon.ashx
Risk Adjustment	No risk adjustment or risk stratification
Stratification	No stratification applied
Type Score	Rate/proportion better quality = higher score
Algorithm	This measure score is calculated by dividing the numerator cases by denominator eligible cases.
	Denominator eligible cases are assessed in a step-wise fashion:
	First include diagnosis of colon cancer,
	male or females,
	adult patients, malignant tumors
	epithelial tumors based on AJCC 7th edition staging
	Exclude clinical or pathologic evidence of in-situ disease
	Exclude clinical or pathologic evidence of in-situ disease  Exclude clinical or pathologic evidence of metastatic disease
	All or part of first course of treatment at reporting facility
	surgically treated at this facility
	These cases are included in the denominator

	0225 At least 12 regional lymph nodes are removed and pathologically examined for resected colon cancer
	Then numerator cases are assessed from denominator eligible cases
	The number of regional lymph nodes examined are 12-90
	The number of nodes examined is greater or equal to the number of positive lymph nodes.
	The measure score is calculated by the numerator divided by the denominator. Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value:

	0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow
Status	Steering Committee Review
Steward	American Society of Hematology
Description	Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) or an acute leukemia who had baseline cytogenetic testing performed on bone marrow
Туре	Process
Data Source	Electronic Clinical Data : Registry Not Applicable Attachment NQF0377I9toI10_conversion.xlsx
Level	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Ambulatory Care : Clinician Office/Clinic
Numerator Statement	Patients who had baseline cytogenetic testing performed on bone marrow
Numerator	Numerator Definition:
Details	Baseline Cytogenetic Testing: Testing that is performed at time of diagnosis or prior to initiating treatment (transfusion, growth factors, or antineoplastic therapy) for that diagnosis
	For Registry:
	Report the CPT Category II code: 3155F – Cytogenetic testing performed on bone marrow at time of diagnosis or prior to initiating treatment
Denominator Statement	All patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) or an acute leukemia
Denominator	Denominator Note:
Details	This measure is to be reported a minimum of once per reporting period for all myelodysplastic syndrome (MDS) and Acute Leukemia patients seen during the reporting period, regardless of when MDS or Acute Leukemia diagnosis was made; the quality action being measured is that baseline cytogenetic testing on bone marrow was performed for each patient with MDS and Acute Leukemia at the time of diagnosis or prior to initiating treatment.  For Registry:
	Patients aged >= 18 years AND
	Diagnosis for MDS or acute leukemia - not in remission (ICD-9-CM) [reportable through 09/30/2015]: 204.00, 204.02, 205.00, 205.02, 206.00, 206.02, 207.00, 207.02, 207.20, 207.22, 208.00, 208.02, 238.72, 238.73, 238.74, 238.75
	Diagnosis for MDS or acute leukemia - not in remission (ICD-10-CM) [reportable beginning 10/1/2015]: C91.00, C91.02, C92.00, C92.02, C92.40, C92.42, C92.50, C92.52, C92.60, C92.62, C92.A0, C92.A2, C93.00, C93.02, C94.00, C94.02, C94.20, C94.22, C95.00, C95.02, D46.0, D46.1, D46.20, D46.21, D46.22, D46.4, D46.9, D46.A, D46.B, D46.C, D46.Z
	CPT codes: 99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215, 99241, 99242, 99243, 99244, 99245
Exclusions	For Registry:
	Documentation of medical reason(s) for not performing baseline cytogenetic testing (eg, no liquid bone marrow or fibrotic marrow)
	Documentation of patient reason(s) for not performing baseline cytogenetic testing (eg, at time of diagnosis receiving palliative care or not receiving treatment as defined above)
	Documentation of system reason(s) for not performing baseline cytogenetic testing (eg,

	0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow
	patient previously treated by another physician at the time cytogenetic testing performed)
Exclusion details	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For the measure Myelodysplastic Syndrome (MDS) and Acute Leukemias – Baseline Cytogenetic Testing Performed on Bone Marrow, exceptions may include medical reasons (eg, no liquid bone marrow or fibrotic marrow), patient reasons (eg, at time of diagnosis receiving palliative care or not receiving treatment as defined above), or system reasons (eg, patient previously treated by another physician at the time cytogenetic testing performed). Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.
	Additional details by data source are as follows:
	For Registry:  Documentation of medical reason(s) for not performing baseline cytogenetic testing on bone marrow (eg, no liquid bone marrow or fibrotic marrow) - Append modifier to CPT Category II code: 3155F-1P
	Documentation of patient reason(s) for not performing baseline cytogenetic testing on bone marrow (eg, at time of diagnosis receiving palliative care or not receiving treatment as defined above) - Append modifier to CPT Category II code: 3155F-2P
	Documentation of system reason(s) for not performing baseline cytogenetic testing on bone marrow (eg, patient previously treated by another physician at the time cytogenetic testing performed) - Append modifier to CPT Category II code: 3155F-3P
Risk Adjustment	No risk adjustment or risk stratification  No risk adjustment or risk stratification.
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.
Type Score	Rate/proportion better quality = higher score
Algorithm	<ol> <li>To calculate performance rates:</li> <li>Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).</li> <li>From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.</li> <li>From the patients within the denominator, find the patients who meet the</li> </ol>

	0377 Hematology: Myelodysplastic Syndrome (MDS) and Acute Leukemias: Baseline Cytogenetic Testing Performed on Bone Marrow
	numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: include medical reasons (eg, no liquid bone marrow or fibrotic marrow), patient reasons (eg, at time of diagnosis receiving palliative care or not receiving treatment as defined above), or system reasons (eg, patient previously treated by another physician at the time cytogenetic testing performed). If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculation, the exception rate (ie, percentage with valid exceptions) should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.
	If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value: No related or competing measures

	0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy
Steward	American Society of Hematology
Description	Percentage of patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) who are receiving erythropoietin therapy with documentation of iron stores within 60 days prior to initiating erythropoietin therapy
Туре	Process
Data Source	Electronic Clinical Data: Registry Not Applicable  No data collection instrument provided Attachment NQF0378I9toI10_conversion.xlsx
Level	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Ambulatory Care : Clinician Office/Clinic
Numerator Statement	Patients with documentation of iron stores within 60 days prior to initiating erythropoietin therapy
Numerator Details	Numerator Definition:  Documentation of Iron Stores – Includes either: 1) bone marrow examination including iron stain OR 2) serum iron measurement including ferritin, serum iron and total iron-binding capacity (TIBC)  For Registry:  Report the CPT Category II code: 3160F - Documentation of iron stores prior to initiating erythropoietin therapy
Denominator Statement	All patients aged 18 years and older with a diagnosis of myelodysplastic syndrome (MDS) who are receiving erythropoietin therapy
Denominator Details	Denominator Note:  This measure is to be reported a minimum of once per reporting period for all myelodysplastic syndrome (MDS) patients seen during the reporting period, regardless of when erythropoietin therapy is initiated; the quality action being measured is that iron stores were documented for each MDS patient receiving erythropoietin therapy within 60 days of starting erythropoietin therapy, regardless of how far back the erythropoietin therapy initiated.  Denominator Definition:  Erythropoietin Therapy – Includes the following medications: epoetin and darbepoetin for the purpose of this measure  For Registry:  Patients aged >= 18 years  AND  Diagnosis for MDS (ICD-9-CM) [reportable through 9/30/2015]: 238.72, 238.73, 238.74, 238.75  Diagnosis for MDS (ICD-10-CM) [reportable beginning 10/01/2015]: D46.0, D46.1, D46.20, D46.21, D46.22, D46.4, D46.9, D46.A, D46.B, D46.C, D46.Z  AND  Patient encounter during the reporting period (CPT): 99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215, 99241, 99242, 99243, 99244, 99245  AND  CPT Category II 4090F: Patient receiving erythropoietin therapy
Exclusions	Documentation of system reason(s) for not documenting iron stores prior to initiating erythropoietin therapy
Exclusion details	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not

	T
	0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy
	be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. For the measure Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy, exceptions may include system reasons. Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.  Additional details by data source are as follows:
	For Registry:  Documentation of system reason(s) for not documenting iron stores prior to initiating erythropoietin therapy - Append modifier to CPT Category II code: 3160F-3P
Risk Adjustment	No risk adjustment or risk stratification  No risk adjustment or risk stratification.
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.
Type Score	Rate/proportion better quality = higher score
Algorithm	<ol> <li>To calculate performance rates:</li> <li>Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).</li> <li>From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.</li> </ol>
	3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified for this measure: include system reasons. If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculation, the exception rate (ie, percentage with valid exceptions) should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.
	If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided
Copyright /	5.1 Identified measures:

	0378 Hematology: Myelodysplastic Syndrome (MDS): Documentation of Iron Stores in Patients Receiving Erythropoietin Therapy
Disclaimer	
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value: No related or competing measures.

	0389 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
Steward	PCPI
Description	Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer
Туре	Process
Data Source	Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Electronic Clinical Data: Registry Not applicable.
	No data collection instrument provided Attachment EP_eCQM_ValueSets_CMS129v6_NQF0389_02182016.xls
Level	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other Radiation Oncology Clinic/Department
Numerator Statement	Patients who did not have a bone scan performed at any time since diagnosis of prostate cancer
Numerator	For Registry:
Details	To submit the numerator option for patients who did not have a bone scan performed at any time since diagnosis of prostate cancer, report the following CPT Category II code:
	3270F – Bone scan not performed prior to initiation of treatment nor at any time since diagnosis of prostate cancer
	For EHR Specifications:
	HQMF eMeasure developed and is included in this submission.
Denominator Statement	All patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence, receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy
Denominator	Definitions:
Details	Risk Strata Definitions: Very Low, Low, Intermediate, High, or Very High-
	Very Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1c; AND presence of disease in fewer than 3 biopsy cores; AND <= 50% prostate cancer involvement in any core; AND PSA density <= 0.15 ng/mL/cm3.
	Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1 to T2a.
	Intermediate Risk - PSA 10 to 20 ng/mL; OR Gleason score 7; OR clinical stage T2b to T2c. Note: Patients with multiple adverse factors may be shifted into the high risk category.
	High Risk - PSA > 20 ng/mL; OR Gleason score 8 to 10; OR clinically localized stage T3a. Note: Patients with multiple adverse factors may be shifted into the very high risk category.
	Very High Risk - Clinical stage T3b to T4; OR primary Gleason pattern 5; OR more than 4 cores with Gleason score 8 to 10. (NCCN, 2016)
	External beam radiotherapy - external beam radiotherapy refers to 3D conformal radiation therapy (3D-CRT), intensity modulated radiation therapy (IMRT), stereotactic body radiotherapy (SBRT), and proton beam therapy.
	Note: Only patients with prostate cancer with low risk of recurrence will be counted in the denominator of this measure
	For Registry:
	Any male patient, regardless of age

	0389 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
	Diagnosis for prostate cancer (ICD-9-CM): 185
	Diagnosis for prostate cancer (ICD-10-CM): C61
	AND
	Patient encounter during the reporting period (CPT): 55810, 55812, 55815, 55840, 55842, 55845, 55866, 55873, 55875, 77427, 77435, 77772, 77778, 77799
	AND
	Report the following CPT Category II Code to identify the risk of recurrence:
	3271F: Low risk of recurrence, prostate cancer
	For EHR:
	HQMF eMeasure developed and is included in this submission.
Exclusions	Documentation of medical reason(s) for having a bone scan performed (including documented pain, salvage therapy, other medical reasons)
	Documentation of system reason(s) for having a bone scan performed (including bone scan ordered by someone other than reporting physician)
Exclusion details	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For measure Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients, exceptions may include medical reason(s) (eg, documented pain, salvage therapy, other medical reasons) or system reason(s) (eg, bone scan ordered by someone other than reporting physician). Where examples of exceptions are included in the measure language, value sets for these examples are developed and included in the eMeasure. Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each
	physician's exceptions data to identify practice patterns and opportunities for quality improvement.  Additional details by data source are as follows:
	For Registry:
	Append modifier to CPT Category II code:
	3269F with 1P - Documentation of medical reason(s) for performing a bone scan (including documented pain, salvage therapy, other medical reasons)
	Append modifier to CPT Category II code:
	3269F with 3P - Documentation of system reason(s) for performing a bone scan (including bone scan ordered by someone other than reporting physician)
	For EHR:
	HQMF eMeasure developed and is included in this submission.
Risk Adjustment	No risk adjustment or risk stratification

	0389 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
	No risk adjustment or risk stratification
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer and have included these variables as recommended data elements to be collected.
Type Score	Rate/proportion better quality = higher score
Algorithm	To calculate performance rates:  1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).  2. From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.  3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator  4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: medical reason(s) (eg, documented pain, salvage therapy, other medical reasons) or system reason(s) (eg, bone scan ordered by someone other than reporting physician)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculation, the exception
	rate (ie, percentage with valid exceptions) should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.  If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided  5.1 Identified measures: 0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very
Copyright / Disclaimer	High Risk Prostate Cancer Patients  1853 : Radical Prostatectomy Pathology Reporting
	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: The related measure 1853, Radical Prostatectomy Pathology Reporting, addresses the percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status, which is a different action than measure 0389. The two measures do not share similar target populations and address different aspects of prostate cancer care. The related measure 0390, Prostate Cancer: Adjuvant Hormonal Therapy for High Risk or Very High Risk Prostate Cancer Patients addresses the use of adjuvant hormonal therapy and external beam radiation therapy in high-risk prostate cancer patients which is a different quality action from measure 0389. The two measures do not share similar target populations and address different aspects of prostate cancer care.
	5b.1 If competing, why superior or rationale for additive value:

	0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients
Steward	American Urological Association
Description	Percentage of patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)
Туре	Process
Data Source	Electronic Clinical Data, Electronic Clinical Data: Registry Not applicable. Not a PRO.  No data collection instrument provided Attachment NQF0390_I9toI10_conversion.xlsx
Level	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other Radiation Oncology Clinic/Department
Numerator Statement	Patients who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)
Numerator	Definition:
Details	Prescribed – Includes patients who are currently receiving medication(s) that follow the treatment plan recommended at an encounter during the reporting period, even if the prescription for that medication was ordered prior to the encounter.
	For Registry:
	To submit the numerator option for patients who were prescribed adjuvant hormonal therap (GnRH agonist or antagonist), report the following CPT Category II code:
	4164F - Adjuvant (ie, in combination with external beam radiotherapy to the prostate for prostate cancer) hormonal therapy (gonadotropin-releasing hormone [GnRH] agonist or antagonist) prescribed/administered
Denominator Statement	All patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate
Denominator	Definitions:
Details	Risk Strata - Very Low, Low, Intermediate, High, or Very High—
	Very Low Risk – PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1c; AND presence of disease in fewer than 3 biopsy cores; AND = 50% prostate cancer involvement in any core; AND PSA density = 0.15 ng/mL/cm3.
	Low Risk – PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1 to T2a.
	Intermediate Risk – PSA 10 to 20 ng/mL; OR Gleason score 7; OR clinical stage T2b to T2c. Note: patients with multiple adverse factors may be shifted into the high risk category.
	High Risk – PSA > 20 ng/mL; OR Gleason score 8 to 10; OR clinically localized stage T3a. Note: Patients with multiple adverse factors may be shifted into the very high risk category.
	Very High Risk – Clinical stage T3b to T4; OR primary Gleason pattern 5; OR more than 4 cores with Gleason score 8 to 10. (NCCN, 2016)
	External beam radiotherapy – external beam radiotherapy refers to 3D conformal radiation therapy (3D-CRT), intensity modulated radiation therapy (IMRT), stereotactic body radiotherapy (SBRT), and proton beam therapy.
	Note: Only male patients with prostate cancer with high or very high risk of recurrence will be counted in the performance denominator of this measure.
	For Registry:

	0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients
	AND
	Diagnosis for prostate cancer (ICD-9-CM): 185
	Diagnosis for prostate cancer (ICD-10-CM): C61 AND NOT
	Diagnosis for metastatic cancer (ICD-9-CM): 196.0, 196.1, 196.2, 196.3, 196.5, 196.6, 196.8, 196.9, 197.0, 197.1, 197.2, 197.3, 197.4, 197.5, 197.6, 197.7, 197.8, 198.0, 198.1, 198.2, 198.3, 198.4, 198.5, 198.6, 198.7, 198.81, 198.82, 198.89
	Diagnosis for metastatic cancer (ICD-10-CM): C77.0, C77.1, C77.2, C77.3, C77.4, C77.5, C77.8, C77.9, C78.00, C78.01, C78.02, C78.1, C78.2, C78.30, C78.39, C78.4, C78.5, C78.6, C78.7, C78.80, C78.89, C79.00, C79.01, C79.02, C79.10, C79.11, C79.19, C79.2, C79.31, C79.32, C79.40, C79.49, C79.51, C79.52, C79.60, C79.61, C79.62, C79.70, C79.71, C79.72, C79.81, C79.82, C79.89, C79.9
	AND
	Patient encounter during the reporting period (CPT): 77427, 77435
	AND
	Report the following quality-data code (G-code) to identify the risk of recurrence:
	G8465: High or very high risk of recurrence of prostate cancer
Exclusions	AUA methodology uses three categories of reasons for which a patient may be excluded from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For this measure, exceptions for not prescribing/administering adjuvant hormonal therapy may include medical reason(s) (eg, salvage therapy) or patient reason(s). Although this methodology does not require the external reporting of more detailed exception data, the AUA recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The AUA also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement. For example, it is possible for implementers to calculate the percentage of patients that physicians have identified as meeting the criteria for exception. Additional details by data source are as follows:  Documentation of medical reason(s) for not prescribing/administering adjuvant hormonal therapy (eg, salvage therapy)  Documentation of patient reason(s) for not prescribing/administering adjuvant hormonal therapy
Exclusion details	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The AUA exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For measure Adjuvant Hormonal Therapy for High Risk or Very High Risk Prostate Cancer Patients, exceptions may include medical reason(s) (eg, salvage therapy) or patient

	0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients
	reason(s) for not prescribing/administering adjuvant hormonal therapy. Although this methodology does not require the external reporting of more detailed exception data, the AUA recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The AUA also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.  Additional details by data source are as follows:  For Registry:
	Documentation of medical reason(s) for not prescribing/administering adjuvant hormonal therapy (eg, salvage therapy)  Append modifier to CPT Category II code: 4164F with 1P
	Documentation of patient reason(s) for not prescribing/administering adjuvant hormonal therapy
	Append modifier to CPT Category II code: 4164F with 2P
Risk Adjustment	No risk adjustment or risk stratification  No risk adjustment or risk stratification
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.
Type Score	Rate/proportion better quality = higher score
Algorithm	To calculate performance rates:
	1) Find the patients who meet the initial patient population (ie, the general group of patients that the performance measure is designed to address).
	2) From the patients within the initial patient population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.
	3) From the patients within the denominator, find the patients who qualify for the Numerator (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	4) From the patients who did not meet the numerator criteria, determine if the physician has documented that the patient meets any criteria for denominator exception when exceptions have been specified [for this measure: medical reason(s) for not prescribing adjuvant hormonal therapy (eg, salvage therapy) or patient reason(s)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculation.
	-Although the exception cases are removed from the denominator population for the performance calculation, the number of patients with valid exceptions should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.
	If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided
Copyright / Disclaimer	5.1 Identified measures: 0220 : Adjuvant hormonal therapy

# 0390 Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients

0389 : Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients

1853: Radical Prostatectomy Pathology Reporting

5a.1 Are specs completely harmonized? No

5a.2 If not completely harmonized, identify difference, rationale, impact: For measure 0220, Adjuvant Hormonal Therapy, the related measure focuses on adjuvant hormonal therapy for breast cancer patients, which is not consistent with the target population addressed in measure 0390. While this is the same action, it is a different drug and target population addressed in each measure. The related measure 0389, Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients addresses the use of bone scan in low-risk prostate cancer patients which is a different quality action from measure 0390. The two measures do not share similar target populations and address different aspects of prostate cancer care. The related measure 1853, Radical Prostatectomy Pathology Reporting, addresses the percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status, which is a different action than measure 0390. The two measures do not share similar target populations and address different aspects of prostate cancer care.

5b.1 If competing, why superior or rationale for additive value:

	0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer
Steward	The Society of Thoracic Surgeons
Description	Percentage of patients aged 18 years and order undergoing elective lobectomy for lung cancer who had a prolonged length of stay >14 days
Туре	Outcome
Data Source	Electronic Clinical Data: Registry STS General Thoracic Surgery Database (GTSD) Version 2.2; STS GTSD Version 2.3 went live on January 1, 2015.
	Available at measure-specific web page URL identified in S.1 Attachment S.15Detailed_risk_model_specifications0459_Lobectomy_LOS.docx
Level	Facility, Clinician : Group/Practice
Setting	Hospital/Acute Care Facility
Numerator Statement	Number of patients aged 18 years and older undergoing elective lobectomy for lung cancer who had a prolonged length of stay >14 days
Numerator Details	Prolonged Postoperative Length of Stay (PLOS) is defined as a Yes/No variable indicating postoperative hospital stay of greater than 14 days, using surgery date (SurgDt- STS General Thoracic Surgery Database (GTSD) v 2.2, sequence number 1340) and discharge date (DischDt-STS GTSD v 2.2, sequence number 2190) to calculate PLOS.
Denominator Statement	Number of patients aged 18 years and older undergoing elective lobectomy for lung cancer
Denominator Details	1. Lung cancer (LungCancer - STS GTS Database, v 2.2, sequence number 830) is marked "yes" and Category of Disease – Primary (CategoryPrim - STS GTS Database, v 2.2, sequence number 1300) is marked as one of the following: (ICD-9, ICD-10)
	Lung cancer, main bronchus, carina (162.2, C34.00)
	Lung cancer, upper lobe (162.3, C34.10)
	Lung cancer, middle lobe (162.4, C34.2)
	Lung cancer, lower lobe (162.5, C34.30)
	Lung cancer, location unspecified (162.9, C34.90)
	2. Primary procedure is one of the following CPT codes:
	Thoracoscopy, surgical; with lobectomy (32663)
	Thoracoscopy with removal of two lobes (bilobectomy) (32670)
	Removal of lung, single lobe (lobectomy) (32480)
	Removal of lung, two lobes (bilobectomy) (32482)
	Removal of lung, sleeve lobectomy (32486)
	3. Status of Operation (Status - STS GTS Database, v 2.2, sequence number 1420) is marked as "Elective"
	4. Gender (Gender -STS GTS Database, v 2.2, sequence number 190) is marked "Male" or "Female," surgery date (SurgDt - sequence number 1340), and discharge date (DischDt – sequence number 2190) are provided
	5. Only analyze first operation of hospitalization meeting criteria 1-4.
Exclusions	None
Exclusion details	N/A
Risk Adjustment	Statistical risk model  The model was developed by multivariate stepwise logistic regression. The details of risk adjustment model development were published in 2008:

	0459 Risk-Adjusted Length of Stay >14 Days after Elective Lobectomy for Lung Cancer
	Wright CD, Gaissert HA, Grab JD, O'Brien SM, Peterson ED, Allen MS. Predictors of prolonged length of stay afte
	Available in attached Excel or csv file at S.2b
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	Please refer to numerator and denominator sections for detailed information. No diagram provided
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact: N/A
	5b.1 If competing, why superior or rationale for additive value: N/A

	0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer
Steward	The Society of Thoracic Surgeons
Description	Percentage of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer who developed any of the following postoperative conditions: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality
Туре	Outcome
Data Source	Electronic Clinical Data: Registry STS General Thoracic Surgery Database (GTSD) Version 2.2; STS GTSD Version 2.3 went live on January 1, 2015.  Available at measure-specific web page URL identified in S.1 Attachment S.15Detailed_risk_model_specifications0460_MM_for_Esophagectomy_for_Cancer.docx
Level	Facility, Clinician : Group/Practice
Setting	Hospital/Acute Care Facility
Numerator Statement	Number of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer who developed any of the following postoperative conditions: bleeding requiring reoperation, anastomosis leak requiring medical or surgical treatment, reintubation, ventilation >48 hours, pneumonia, or discharge mortality.
Numerator Details	Number of patients undergoing elective esophagectomy for esophageal cancer for whom:  1. Unexpected return to the operating room (ReturnOR - STS General Thoracic Surgery Database (GTSD), Version 2.2, sequence number 1720) is marked "yes" and primary reason for return to OR (ReturnORRsn – STS GTSD, Version 2.2, sequence number 1730) is marked "bleeding" or "anastomatic leak following esophageal surgery" or  2. Postoperative events (POEvents - STS GTSD v 2.2, sequence number 1710) is marked "Yes" and one of the following items is marked:  a. Anastomotis requiring medical treatment only (i.e., interventional radiation drainage NPO, antibiotics) (AnastoMed- STS GTSD v 2.2, sequence number 1950)  b. Reintubate, Reintube (STS GTSD v 2.2, sequence number 1850)  c. Initial ventilator support > 48 hours (Vent- STS GTSD v 2.2, sequence number 1840)  d. Pneumonia (Pneumonia- STS GTSD v 2.2, sequence number 1780)  e. Discharge Status (MtDCStat - STS GTSD v 2.2, sequence number 2200) is marked as "Dead"
Denominator Statement	Number of patients aged 18 years and older undergoing elective esophagectomy for esophageal cancer
Denominator Details	<ol> <li>Esophageal cancer (EsophCancer- STS GTSD v 2.2, sequence number 1140) is marked "yes" and Category of Disease – Primary (CategoryPrim- STS GTSD v 2.2, sequence number 1300) is marked as one of the following:     (ICD-9, ICD-10)     Esophageal cancer, lower third(150.5, C15.5)     Esophageal cancer, middle third (150.4, C15.4)</li> </ol>
	Esophageal cancer, upper third (150.3, C15.3)
	Malignant other part esophagus (150.8, C15.8)
	Esophageal cancer, esophagogastric junction (cardia) (151.0, C16.0)
	2. Primary procedure (Primary- STS GTSD v 2.2, sequence number 1500) is marked as one of the following:

	0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer
	Transhiatal-Total esophagectomy, without thoracotomy, with cervical esophagogastrostomy (43107)
	Three hole-Total esophagectomy with thoracotomy; with cervical esophagogastrostomy (43112)
	Ivor Lewis-Partial esophagectomy, distal two-thirds, with thoracotomy and separate abdominal incision (43117)
	Thoracoabdominal-Partial esophagectomy, thoracoabdominal approach (43122)
	Minimally invasive three hole esophagectomy (43XXX)
	Minimally invasive esophagectomy, Ivor Lewis approach (43XXX)
	Minimally invasive esophagectomy, Abdominal and neck approach (43XXX)
	Total esophagectomy without thoracotomy; with colon interposition or small intestine reconstruction (43108)
	Total esophagectomy with thoracotomy; with colon interposition or small intestine reconstruction (43113)
	Partial esophagectomy, cervical, with free intestinal graft, including microvascular anastomosis (43116)
	Partial esophagectomy, with thoracotomy and separate abdominal incision with colon interposition or small intestine (43118)
	Partial esophagectomy, distal two-thirds, with thoracotomy only (43121)
	Partial esophagectomy, thoracoabdominal with colon interposition or small intestine (43123)
	Total or partial esophagectomy, without reconstruction with cervical esophagostomy (43124)
	3. Status of operation (Status - STS General Thoracic Surgery Database v 2.2, sequence number 1420) is marked as "Elective"
	4. Gender and discharge mortality status information are provided; Gender (STS GTSD v 2.2, sequence number 190) is marked as "Male" or "Female, and discharge status (MtDCStat-STS GTSD v 2.2, sequence number 2200) is marked as "Alive" or "Dead"
	5. Only analyze first operation of hospitalization meeting criteria 1-4.
Exclusions	None
Exclusion details	N/A
Risk Adjustment	Statistical risk model
,	The model was developed by multivariate logistic regression. The details of risk adjustment model development were published in 2009:
	Wright CD, Kucharczuk JC, O'Brien SM, Grab JD, Allen MS. Society of Thoracic Surgeons General Thoracic Surgery Database
	Available in attached Excel or csv file at S.2b
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	Please refer to numerator and denominator sections for detailed information. No diagram provided
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact: N/A

0460 Risk-Adjusted Morbidity and Mortality for Esophagectomy for Cancer
5b.1 If competing, why superior or rationale for additive value: N/A

	0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms
Steward	American College of Radiology (ACR)
Description	Percentage of final reports for screening mammograms that are classified as "probably benign"
Туре	Process
Data Source	Administrative claims, Electronic Clinical Data: Registry Not applicable Available at measure-specific web page URL identified in S.1 No data dictionary
Level	Clinician : Individual
Setting	Ambulatory Care : Clinician Office/Clinic, Imaging Facility
Numerator Statement	Final reports classified as "probably benign"
Numerator Details	Numerator Definition:  Probably Benign Classification – Mammography Quality Standards Act (MQSA) assessment category of "probably benign"; Breast Imaging-Reporting and Data System (BI-RADS®) category 3; or Food and Drug Administration (FDA)-approved equivalent assessment category Numerator Instructions: For performance, a lower percentage, with a definitional target approaching 0%, indicates appropriate assessment of screening mammograms (eg, the proportion of screening mammograms that are classified as "probably benign").  FOR EHR SPECIFICATIONS:  No Current HQMF eCQM Available.  FOR ADMINISTRATIVE CLAIMS SPECIFICATIONS:  Report CPT Category II code: 3343F: Mammogram assessment category of "probably benign", documented
Denominator Statement	All final reports for screening mammograms
Denominator Details	FOR EHR SPECIFICATIONS:  No Current HQMF eCQM Available.  FOR ADMINISTRATIVE CLAIMS SPECIFICATIONS:  Diagnosis for screening mammogram (ICD-9-CM) [for use 1/1/2015-9/30/2015]: V76.11, V76.12  Diagnosis for screening mammogram (ICD-10-CM) [for use 10/01/2015-12/31/2015]: Z12.31  AND  Patient encounter during the reporting period (CPT or HCPCS): 77057, G0202
Exclusions	No Denominator Exclusions or Denominator Exceptions
Exclusion details	None
Risk Adjustment	No risk adjustment or risk stratification Not Applicable
Stratification	We encourage the results of this measure to be stratified by race, ethnicity, sex, and payer.
Type Score	Rate/proportion better quality = lower score
Algorithm	To calculate performance rates:
	<ol> <li>Find the patients who meet the initial patient population (ie, the general group of patients that the performance measure is designed to address).</li> <li>From the patients within the initial patient population criteria, find the patients who qualify</li> </ol>
	for the denominator (ie, the specific group of patients for inclusion in a specific performance

	0508 Diagnostic Imaging: Inappropriate Use of "Probably Benign" Assessment Category in Screening Mammograms
	measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.
	3) From the patients within the denominator, find the patients who qualify for the Numerator (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	If the patient does not meet the numerator, this case represents a quality failure. Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: The OP-9 measure is calculated using administrative claims data. The period of data collection for OP-9 is only 45 days, and most code 3 recall is 90 or 180 days.
	5b.1 If competing, why superior or rationale for additive value: There are no competing measures (conceptually both the same measure focus and same target population)

	0509 Diagnostic Imaging: Reminder System for Screening Mammograms
Steward	American College of Radiology
Description	Percentage of patients undergoing a screening mammogram whose information is entered into a reminder system with a target due date for the next mammogram
Туре	Process
Data Source	Administrative claims, Electronic Clinical Data : Registry N/A No data collection instrument provided No data dictionary
Level	Clinician : Individual
Setting	Hospital/Acute Care Facility, Imaging Facility
Numerator Statement	Patients whose information is entered into a reminder system with a target due date for the next mammogram
Numerator Details	Numerator Note: The reminder system should be linked to a process for notifying patients when their next mammogram is due and should include the following elements at a minimum patient identifier, patient contact information, dates(s) of prior screening mammogram(s) (if known), and the target due date for the next mammogram FOR ELECTRONIC SPECIFICATIONS:
	Not Applicable
	FOR ADMINISTRATIVE CLAIMS SPECIFICATIONS:  Report CPT II Code 7025F: Patient information entered into a reminder system with a target
	due date for the next mammogram
Denominator Statement	All patients undergoing a screening mammogram
Denominator	FOR ELECTRONIC SPECIFICATIONS:
Details	Not Applicable
	FOR ADMINISTRATIVE CLAIMS SPECIFICATIONS:
	Diagnosis for mammogram screening (ICD-9-CM) [for use 1/1/2015-9/30/2015]: V76.11, V76.12
	Diagnosis for mammogram screening (ICD-10-CM) [for use 10/01/2015-12/31/2015]: Z12.31 AND
	Patient encounter during the reporting period (CPT or HCPCS): 77057, G0202
Exclusions	Documentation of medical reason(s) for not entering patient information into a reminder system [(eg, further screening mammograms are not indicated, such as patients with a limited life expectancy, other medical reason(s)]
Exclusion details	FOR ELECTRONIC SPECIFICATIONS:
	Not Applicable
	FOR ADMINISTRATIVE CLAIMS SPECIFICATIONS:
	Report CPT II Code 7025F-1P: Documentation of medical reason(s) for not entering patient information into a reminder system [(eg, further screening mammograms are not indicated, such as patients with a limited life expectancy, other medical reason(s)]
Risk Adjustment	No risk adjustment or risk stratification
	Not Applicable
Stratification	We encourage the results of this measure to be stratified by race, ethnicity, sex, and payer.
Type Score	Rate/proportion better quality = higher score
Algorithm	To calculate performance rates:
	1) Find the patients who meet the initial patient population (ie, the general group of patients

	1
	0509 Diagnostic Imaging: Reminder System for Screening Mammograms
	that the performance measure is designed to address).
	2) From the patients within the initial patient population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.
	3) From the patients within the denominator, find the patients who qualify for the Numerator (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	If the patient does not meet the numerator, this case represents a quality failure. Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures: 2372 : Breast Cancer Screening
	5a.1 Are specs completely harmonized? Yes
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value: There are no competing measures (conceptually both the same measure focus and same target population).

	0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.
Steward	Commission on Cancer, American College of Surgeons
Description	Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0 (tumor greater than 1 cm), or Stage IB III, whose primary tumor is progesterone and estrogen receptor negative recommended for multiagent chemotherapy (recommended or administered) within 4 months (120 days) of diagnosis.
Туре	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base. Data is collected in accordance with the North American Association of Central Cancer Registries (NAACCR) coding http://www.naac  No data collection instrument provided No data dictionary
Level	Facility
Setting	Hospital/Acute Care Facility
Numerator Statement	Combination chemotherapy is administered within 4 months (120 days) of the date of diagnosis or it is recommended and not received.
Numerator Details	Chemotherapy [NAACCR Item#1390]=3, and Date Chemotherapy Started (NAACCR Item#1220 <=120 days following Date of Diagnosis [NAACCR Item# 340] OR Chemotherapy recommended and not received [NAACCR Item#1390]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not administered because the patient died prior to planned or recommended therapy,86:lt was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record) OR;  OR For patients ER/PR negative; Her2 Positive disease Chemotherapy [NAACCR Item#1390]=2,3 and Date Chemotherapy Started (NAACCR Item#1220] <=120 days following Date of Diagnosis [NAACCR Item# 340]  AND Immunotherapy/BRM recommended and not received [NAACCR Item#1410]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not
	administered because the patient died prior to planned or recommended therapy,86: recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)  OR; Immunotherapy/BRM [NAACCR Item#1410]=1 and Date Immunotherapy Started (NAACCR Item#1240] <=120 days following Date of Diagnosis [NAACCR Item# 340]
Denominator Statement	Women under the age of 70 with AJCC T1cN0M0, or Stage IB-III hormone receptor negative breast cancer:
	• Women
	Age 18-69 at time of diagnosis
	Known or assumed first or only cancer diagnosis
	Primary tumors of the breast

	0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.
	Epithelial invasive malignanc
Denominator Details	Sex [NAACCR Item#220]=2; Age at Diagnosis [NAACCR Item#230] =18-69; Sequence number [NAACCR Item # 560] = 00-01;
	Tumor Size [NAACCR Item#2800] = 011-898, 992-995 and AJCC pN [NAACCR Item#890]=0,0i-, 0I=, 0M-, 0M+; OR AJCC pN [NAACCR Item#890]=1,1a, 1b, 1c, 2,2a, 2b, or 3, 3a, 3b,3c; AND CS SSF1 (ERA) [NAACCR Item#2880]=020, 30; AND CS SSF2 (PRA) [NAACCR Item#2890]=020 or 030; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–90
Exclusions	Exclude, if any of the following characteristics are identified:  Men;  Age <18 and >=70;  not a first or only cancer diagnosis;
	non-epithelial and non-invasive tumors;
	phyllodes tumor histology; rare histology not supported by clinical trials: 8940 - Mixed tumor, malignant, NOS, 8950 - Mullerian mixed tumor, 8980 - Carcinosarcoma, 8981 - Carcinosarcoma, embryonal
	Tumor size <=1cm and AJCC pN=0;  ERA positive;
	PRA positive;
	Evidence of in situ or metastatic disease;
	Not treated surgically; Died within 4 months (120 days) of diagnosis;
	Participation in a clinical trial which directly impacts the delivery of the standard of care
Exclusion details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx
Risk Adjustment	No risk adjustment or risk stratification  NA
Stratification	No stratification applied
	Rate/proportion better quality = higher score
Type Score	
Algorithm	This measure score is calculated by dividing the numerator cases by denominator eligible
	cases.
	cases.  Denominator eligible cases are assessed in a step-wise fashion:
	cases.  Denominator eligible cases are assessed in a step-wise fashion: - Include breast cancer case
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only  - inlcude patients 18-69  - Include epithelial tumors which can be staged according to the AJCC 7th Ed (8000-8199,
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only  - include patients 18-69  - Include epithelial tumors which can be staged according to the AJCC 7th Ed (8000-8199, 8201-5876, 8941-8949)
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only  - inlcude patients 18-69  - Include epithelial tumors which can be staged according to the AJCC 7th Ed (8000-8199, 8201-5876, 8941-8949)  - Include invasive tumors only
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only  - inlcude patients 18-69  - Include epithelial tumors which can be staged according to the AJCC 7th Ed (8000-8199, 8201-5876, 8941-8949)  - Include invasive tumors only  - Exclude patients with pathologic evidence of in situ or metastatic disease
	cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include breast cancer case  - Exclude patients enrolled in a clinical trial that directly impacts the delivery of the standard of care.  - Include female patients only  - inlcude patients 18-69  - Include epithelial tumors which can be staged according to the AJCC 7th Ed (8000-8199, 8201-5876, 8941-8949)  - Include invasive tumors only

	0559 Combination chemotherapy is recommended or administered within 4 months (120 days) of diagnosis for women under 70 with AJCC T1cN0M0, or Stage IB - III hormone receptor negative breast cancer.
	- Includes patients reported living withing 120 days from diagnosis
	- Include AJCC T1cN0M0 or AJCC Stage IB -III tumor
	- Hormone receptor negative cases
	Numerator cases are then assessed from denominator eligible cases:
	- Cases with HER2 negative disease: Combination chemotherapy administered within 120 following diagnosis or Chemotherapy recommended but not administered
	- Cases with HER2 positive disease: Chemotherapy and Her2 targeted therapy (immunotherapy) both administered within 120 days following diagnosis or chemotherapy administered within 120 days and Her 2 targeted therapy (immunotherapy) recommended
	The measure score is calculated with the numerator divided by the denominator.
	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx Available at measure-specific web page URL identified in S.1
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value:

	1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies
Steward	American Society of Clinical Oncology
Description	Proportion of female patients (aged 18 years and older) with breast cancer who are human epidermal growth factor receptor 2 (HER2)/neu negative who are not administered HER2-targeted therapies
Туре	Process
Data Source	Electronic Clinical Data: Registry ASCO Quality Oncology Practice Initiative (QOPI®)  No data collection instrument provided No data dictionary
Level	Clinician : Group/Practice
Setting	Ambulatory Care : Clinician Office/Clinic
Numerator Statement	HER2-targeted therapies not administered during the initial course of treatment.
Numerator Details	HER2 targeted therapy administered during initial treatment course = HER2 targeted therapy NOT administered  OR  HER2 targeted therapy administered during initial treatment course = HER2 targeted therapy administered
	AND
	HER2 targeted therapy administered according to clinical trial protocol = Yes)
<u> </u>	'HER2 targeted therapies' include trastuzumab, pertuzumab, T-DM1.
Denominator Statement	Adult women with breast cancer that are HER2 negative or HER2 undocumented.
Denominator	Female
Details	And
	2 or more encounters at the reporting site
	And
	Age at diagnosis greater than or equal to 18 years
	And
	Initial breast cancer diagnosis [C50.01-, C50.11-, C50.21-, C50.31-, C50.41-, C50.51-, C50.61-, C50.81-, C50.91-]
	AND
	(HER-2/neu status = HER2 negative
	OR
	HER-2/neu status = Test ordered, results not yet documented
	OR
	HER-2/neu status = Test NOT ordered/no documentation
	OR
	HER-2/neu status=Test ordered, insufficient sample for results
	Or HER 2/nou status = HER2 oquivosal)
	HER-2/neu status= HER2 equivocal)  Definitions
	Encounter: Patients must have been first seen in the office by a medical oncology or
	hematology oncology practitioner for the cancer diagnosis eligible for inclusion within the 1- year time frame of the reporting period. Enter the most recent visit that occurred during the 6-month visit window before the abstraction date. This can include visits to other office sites

## **1857 HER2** negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies

within the practice only if the practice uses a common medical record and shares management of care for the patient. This does not include visits during which a practitioner wasn't seen (e.g., laboratory testing), inpatient consults/visits, phone or email consults, or visits to a surgeon or radiation oncologist.

#### HER2 status:

Select 'Test ordered, results not yet documented' only if there is documentation in the chart that a test that included HER2 analyses was ordered.

In the absence of any documentation regarding HER-2/neu status, select 'Test not ordered/no documentation.'

Enter information from the most recent test report. If the most recent report indicates insufficient sample, select 'Test ordered, insufficient sample for results.'

If a physician note and the HER-2/neu report differ in results, report the status in the physician note if the note explains the discrepancy. Otherwise, report the status from the HER-2/neu report.

Use the following definitions to determine HER-2/neu status:

#### **Positive**

IHC 3+ based on circumferential membrane staining that is complete, intense

- ISH positive based on:
- Single-probe average HER2 copy number =6.0 signals/cell
- Dual-probe HER2/CEP17 ratio =2.0 with an average HER2 copy number =4.0 signals/cell
- Dual-probe HER2/CEP17 ratio =2.0 with an average HER2 copy number <4.0 signals/cell
- Dual-probe HER2/CEP17 ratio < 2.0 with an average HER2 copy number =6.0 signals/cell Equivocal:
- IHC 2+ based on circumferential membrane staining that is incomplete and/or weak/moderate and within > 10% of the invasive tumor cells or complete and circumferential membrane staining that is intense and within = 10% of the invasive tumor cells
- ISH equivocal based on:
- Single-probe ISH average HER2 copy number = 4.0 and < 6.0 signals/cell
- Dual-probe HER2/CEP17 ratio < 2.0 with an average HER2 copy number = 4.0 and < 6.0 signals/cell

#### Negative:

IHC 1+ as defined by incomplete membrane staining that is faint/barely perceptible and within > 10% of the invasive tumor cells or

IHC 0 as defined by no staining observed or membrane staining that is incomplete and is faint/barely perceptible and within = 10% of the invasive tumor cells

ISH negative based on:

- Single-probe average HER2 copy number < 4.0 signals/cell
- Dual-probe HER2/CEP17 ratio < 2.0 with an average HER2 copy number < 4.0 signals/cell Indeterminate:

Indeterminate if technical issues prevent one or both tests (IHC and ISH) from being reported as positive, negative, or equivocal. Conditions may include:

- Inadequate specimen handling,
- Artifacts (crush or edge artifacts) that make interpretation difficult
- Analytic testing failure.

Exclusions Patient transfer to practice during or after initial course.

1857 HER2 negative or undocumented breast cancer patients spared treatment with HER2-targeted therapies
Transfer-in Status does not equal Reporting practice has/had primary responsibility for the initial course of the patient's medical oncology care
No risk adjustment or risk stratification  Not applicable
Not applicable
Rate/proportion better quality = higher score
Performance is calculated as:  1. Identify those patients that meet the denominator criteria defined in the measure.  2. Subtract those patients with a denominator exclusion from the denominator if applicable.  3. From the patients who qualify for the denominator (after any exclusions are removed), identify those who meet the numerator criteria.  4. Calculation: Numerator/Denominator-Denominator Exclusions No diagram provided
<ul> <li>5.1 Identified measures:</li> <li>5a.1 Are specs completely harmonized?</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact:</li> <li>5b.1 If competing, why superior or rationale for additive value:</li> </ul>

	1878 HER2 testing for overexpression or gene amplification in patients with breast cancer
Steward	American Society of Clinical Oncology
Description	Proportion of female patients (aged 18 years and older) with breast cancer who receive human epidermal growth factor receptor 2 (HER2) testing for overexpression or gene amplification
Туре	Process
Data Source	Electronic Clinical Data: Registry ASCO Quality Oncology Practice Initiative (QOPI®)  No data collection instrument provided No data dictionary
Level	Clinician : Group/Practice
Setting	Ambulatory Care : Clinician Office/Clinic
Numerator Statement	HER2 testing performed
Numerator Details	HER-2/neu status = HER2 positive OR
	HER-2/neu status = HER2 negative OR
	HER-2/neu status = Test ordered, results not yet documented OR
	HER-2/neu status = Test ordered, insufficient sample for results OR
	(HER-2 equivocal AND New test ordered within 10 days of report = Yes or N/A (patient died of transferred out of practice))
	Practices are required to order tests within 31 days from first office visit (HER2 test date – first office visit date = 31 days) and if a new test is ordered, it must be within 10 days of original report
	Numerator definitions:
	Select 'Test ordered, results not yet documented' only if there is documentation in the chart that a test that reports HER-2/neu analyses was ordered.
	In the absence of any documentation regarding HER-2/neu status, select 'Test not ordered/no documentation.'
	Enter information from the most recent test report.
	Patients are classified as having HER-2 positive disease based on positive results with either test.
	If the most recent report indicates insufficient sample, select 'Test ordered, insufficient sample for results.'
	If a physician note and the HER-2/neu report differ in results, report the status in the physician note if the note explains the discrepancy. Otherwise, report the status from the HER 2/neu report.
	Use the following definitions to determine HER-2/neu status:  Positive:
	<ul> <li>IHC 3+ cell surface protein expression (defined as uniform intense membrane staining of &gt;30% of invasive tumor cells) or</li> </ul>
	FISH ratio >2.2 or
	HER2 gene copy >6.0
	Equivocal:

	1878 HER2 testing for overexpression or gene amplification in patients with breast cancer
	<ul> <li>Not positive according to any of the criteria above, AND</li> <li>(IHC with scores 2+ AND FISH ratio 1.8-2.2) or</li> <li>HER2 gene copy 4.0-6.0</li> <li>Negative:</li> </ul>
	<ul> <li>Not positive according to any of the criteria above, AND</li> <li>IHC 0 or 1+ or</li> <li>FISH ratio 1.8 or</li> <li>HER2 gene copy &lt;4.0</li> <li>If the results indicate 'non-amplified', choose HER-2/neu negative.</li> <li>If the results indicate 'weakly positive', choose HER-2/neu positive.</li> <li>New test ordered within 10 days of report of equivocal result: Respond 'Yes' if a new test was ordered within 10 days of oncologist review of the report with inconclusive results. Choose 'N/A' if the patient died or transferred out of the practice within 10 days of review of the report with inconclusive results or fewer than 10 days have passed.</li> </ul>
Denominator	If the chart documents that the pathologist has ordered a new test, respond 'Yes.'  Adult women with breast cancer
Statement  Denominator  Details	Female And 2 or more encounters at the reporting site And Age at diagnosis greater than or equal to 18 years And Breast cancer diagnosis [C50.01-, C50.11-, C50.21-, C50.31-, C50.41-, C50.51-, C50.61-, C50.81-, C50.91-] Definitions Encounter: Patients must have been first seen in the office by a medical oncology or hematology oncology practitioner for the cancer diagnosis eligible for inclusion within the 1-year time frame of the reporting period. Enter the most recent visit that occurred during the 6-month visit window before the abstraction date. This can include visits to other office sites within the practice only if the practice uses a common medical record and shares management of care for the patient. This does not include visits during which a practitioner wasn't seen (e.g., laboratory testing), inpatient consults/visits, phone or email consults, or visits to a surgeon or radiation oncologist.
Exclusions	None
Exclusion details Risk Adjustment	None  No risk adjustment or risk stratification  Not applicable
Stratification	Not applicable
Type Score	Rate/proportion better quality = higher score
Algorithm	Performance is calculated as:  1. Identify those patients that meet the denominator criteria defined in the measure.  2. Subtract those patients with a denominator exclusion from the denominator. Note: this measure does not have exclusions.

	1878 HER2 testing for overexpression or gene amplification in patients with breast cancer
	3. From the patients who qualify for the denominator (after any exclusions are removed), identify those who meet the numerator criteria.
	4. Calculation: Numerator/Denominator-Denominator Exclusions No diagram provided
Copyright / Disclaimer	5.1 Identified measures: 1855 : Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines
	5a.1 Are specs completely harmonized? Yes
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value: Measure #1878 assesses whether HER2 testing was completed within 31 days of a breast cancer diagnosis. Meanwhile, NQF endorsed measure #1855 focuses on whether HER2 testing was completed according to current ASCO/CAP standards in the laboratory setting. A

	2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy
Steward	RAND Corporation
Description	Percentage of patients with a solid malignant tumor or lymphoma who had a febrile neutropenia (FN) risk assessment completed and documented in the medical record prior to the first cycle of intravenous chemotherapy
Туре	Process
Data Source	Electronic Clinical Data: Electronic Health Record, Paper Medical Records The data source for the measure is medical records in electronic or paper form. The instrument used to abstract the information from the medical record was developed for this measure and is attached as a file called "Measure Data Collection Tool" to the A
	No data collection instrument provided Attachment NQF_2930_Code_Sets_3-11-16_To_NQF.xls
Level	Clinician : Group/Practice
Setting	Ambulatory Care : Clinician Office/Clinic, Other Outpatient chemotherapy clinic
Numerator Statement	Number of patients who had an FN risk assessment documented in the medical record prior to the first cycle of intravenous chemotherapy.
Numerator Details	The numerator is defined as patients with an FN risk assessment documented in the medical record within 30 days before the first cycle of intravenous chemotherapy. An FN risk assessment is defined as at least one of the following:
	• Template in the record or evidence that an online tool was used to assess FN risk (e.g., a Febrile Neutropenia Risk Assessment Tool similar to that described in the study by O'Brien et al. [2014])
	• FN risk of the planned regimen was noted as a percentage (e.g., >20%) OR noted qualitatively (e.g., "high FN risk")
	<ul> <li>Patient factor(s) was noted as a contributor to elevated FN risk (e.g., "high FN risk du to advanced age and comorbidity")</li> </ul>
	• Justification for USE of CSF was documented (e.g., "high risk regimen, CSF support wi be used;" "due to the presence of expanders and risk of infection, CSF will be used")
	<ul> <li>Justification for NOT using CSF was documented (e.g., "due to patient's youth and excellent health, CSF support will not be used")</li> <li>Citation</li> </ul>
	O'Brien, C., Dempsey, O., & Kennedy, M. J. (2014). Febrile neutropenia risk assessment tool: improving clinical outcomes for oncology patients. Eur J Oncol Nurs, 18(2), 167-174.
Denominator Statement	Number of patients 18 years of age or older with a solid malignant tumor or lymphoma receiving the first cycle of intravenous chemotherapy.
Denominator Details	IDENTIFICATION OF PATIENTS WITH SOLID MALIGNANT TUMOR OR LYMPHOMA IN MEDICAL RECORDS
	The time period is defined as any time during the measurement period (12 consecutive months). The denominator includes patients treated for a solid malignant tumor or lymphoma with first cycle of intravenous chemotherapy who meet the following conditions:
	1. Patient was 18 years of age or older when first-cycle intravenous chemotherapy of the current regimen was initiated.
	2. Patient's first-cycle intravenous chemotherapy was initiated any time during months 2 through 12 of the 12-month measurement period.
	3. The treatment ordered was intravenous chemotherapy (see sheet labeled "IV Chemotherapy" in the attached Excel file for a list of CPT procedure codes for chemotherapy).
	4. Patient was being treated for a solid malignant tumor or lymphoma (see sheets labeled "Denom Diagnoses ICD9," "Denom Diagnoses ICD10," and "Denom Diagnoses ICD9-

	2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy
	ICD10" in the attached Excel file for a list of ICD-9-CM diagnosis codes, ICD-10 CM diagnosis codes, and a conversion table between ICD-9-CM and ICD-10-CM diagnosis codes, respectively).
	5. Patient did not receive chemotherapy in the 12 months prior to the first cycle of chemotherapy.
	6. Patients receiving experimental therapy or participating in clinical trials are not eligible because the trial protocol dictates CSF prophylaxis decisions.
	7. Patients on weekly chemotherapy regimens are not eligible because the intervals between treatments are not long enough for CSF prophylaxis to have an effect.
	8. Patients receiving concurrent radiation therapy (see sheet labeled "Radiation Therapy" in the attached Excel file for CPT codes) are not eligible because CSF prophylaxis is contraindicated for those patients due to the risk of irreversible stem cell damage. Patients who receive palliative local radiation for pain control are eligible.
	9. Record of care was complete (e.g., provider notes prior to cycle #1 of chemotherapy are available).
Exclusions	There are no denominator exclusions.
Exclusion details	Not applicable.
Risk Adjustment	No risk adjustment or risk stratification
Stratification	Measure results may be stratified by:
	• Age – Divided into five categories: 18-44, 45-64, 65-74, 75-84, and 85+ years
	Race/Ethnicity
	• Gender
	Curative/adjuvant and palliative chemotherapy
	Periodicity of chemotherapy (2-, 3- and 4-week cycles)
Type Score	Rate/proportion better quality = higher score
Algorithm	Denominator: Number of patients 18 years of age or older with a solid malignant tumor or lymphoma receiving the first cycle of intravenous chemotherapy.  Create Denominator:
	1. Identify patients who received intravenous chemotherapy in an outpatient setting during the measurement year (see sheet labeled "IV Chemotherapy" in the attached Excel file for CPT procedure codes for chemotherapy).
	2. Of patients identified in Step 1, keep only patients who were being treated for a solid malignant tumor or lymphoma (see sheets labeled "Denom Diagnoses ICD9," "Denom Diagnoses ICD10," and "Denom Diagnoses ICD9-ICD10" in the attached Excel file for a list of ICD-9-CM diagnosis codes, ICD-10 CM diagnosis codes, and a conversion table between ICD-9-CM and ICD-10-CM diagnosis codes, respectively).
	3. Of patients identified in Step 2, keep patients who initiated the first cycle of intravenous chemotherapy between February 1 and December 31 of the measurement year.
	4. Of patients identified in Step 3, keep those who were 18 years of age or older when first-cycle intravenous chemotherapy was initiated.
	5. Of patients identified in Step 4, keep patients who did not receive chemotherapy in the 12 months prior to the initiation of the first cycle of chemotherapy. This is the denominator of the measure.
	Numerator: Number of patients who had an FN risk assessment documented in the medical record prior to the first cycle of intravenous chemotherapy.
	Create Numerator:
	For patients in the denominator, identify those with an FN risk assessment documented in the

	2930 Febrile Neutropenia Risk Assessment Prior to Chemotherapy
	medical record prior to the first cycle of intravenous chemotherapy. This is the numerator of the measure. Any of the following can be counted as evidence that a risk assessment for FN was performed:
	• Template in the record or online tool was used to assess FN risk (e.g., a Febrile Neutropenia Risk Assessment Tool similar to that described in the study by O'Brien et al. [2014])
	• FN risk of the planned regimen was noted as a percentage (e.g., >20%) OR noted qualitatively (e.g., "high FN risk")
	• Patient factor(s) was noted as a contributor to elevated FN risk (e.g., "high FN risk due to advanced age and comorbidity")
	• Justification for USE of CSF was documented (e.g., "high risk regimen, CSF support will be used;" "due to the presence of expanders and risk of infection, CSF will be used")
	• Justification for NOT using CSF was documented (e.g., "due to patient's youth and excellent health, CSF support will not be used")
	The measure is calculated as the numerator divided by the denominator.  Citation
	O'Brien, C., Dempsey, O., & Kennedy, M. J. (2014). Febrile neutropenia risk assessment tool: improving clinical outcomes for oncology patients. Eur J Oncol Nurs, 18(2), 167-174. No diagram provided
Copyright / Disclaimer	5.1 Identified measures:
	5a.1 Are specs completely harmonized?
	5a.2 If not completely harmonized, identify difference, rationale, impact:
	5b.1 If competing, why superior or rationale for additive value: Not applicable; there are no competing NQF-endorsed measures.

	2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
Steward	PCPI
Description	Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer
Туре	Process
Data Source	Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Electronic Clinical Data: Registry Not applicable.
	No data collection instrument provided Attachment EP_eCQM_ValueSets_CMS129v6_NQF0389_02182016-635948416089607351.xls
Level	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other Radiation Oncology Clinic/Department
Numerator Statement	Patients who did not have a bone scan performed at any time since diagnosis of prostate cancer
Numerator	For Registry:
Details	To submit the numerator option for patients who did not have a bone scan performed at any time since diagnosis of prostate cancer, report the following CPT Category II code:
	3270F – Bone scan not performed prior to initiation of treatment nor at any time since diagnosis of prostate cancer
	For EHR Specifications:
	HQMF eMeasure developed and is included in this submission.
Denominator Statement	All patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence, receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy
Denominator	Definitions:
Details	Risk Strata Definitions: Very Low, Low, Intermediate, High, or Very High-
	Very Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1c; AND presence of disease in fewer than 3 biopsy cores; AND <= 50% prostate cancer involvement in any core; AND PSA density <= 0.15 ng/mL/cm3.
	Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1 to T2a.
	Intermediate Risk - PSA 10 to 20 ng/mL; OR Gleason score 7; OR clinical stage T2b to T2c. Note: Patients with multiple adverse factors may be shifted into the high risk category.
	High Risk - PSA > 20 ng/mL; OR Gleason score 8 to 10; OR clinically localized stage T3a. Note: Patients with multiple adverse factors may be shifted into the very high risk category.
	Very High Risk - Clinical stage T3b to T4; OR primary Gleason pattern 5; OR more than 4 cores with Gleason score 8 to 10. (NCCN, 2016)
	External beam radiotherapy - external beam radiotherapy refers to 3D conformal radiation therapy (3D-CRT), intensity modulated radiation therapy (IMRT), stereotactic body radiotherapy (SBRT), and proton beam therapy.
	Note: Only patients with prostate cancer with low risk of recurrence will be counted in the denominator of this measure
	For Registry:
	Any male patient, regardless of age

	2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
	Diagnosis for prostate cancer (ICD-9-CM): 185
	Diagnosis for prostate cancer (ICD-10-CM): C61
	AND
	Patient encounter during the reporting period (CPT): 55810, 55812, 55815, 55840, 55842, 55845, 55866, 55873, 55875, 77427, 77435, 77772, 77778, 77799
	AND
	Report the following CPT Category II Code to identify the risk of recurrence:
	3271F: Low risk of recurrence, prostate cancer
	For EHR:
	HQMF eMeasure developed and is included in this submission.
Exclusions	Documentation of medical reason(s) for having a bone scan performed (including documented pain, salvage therapy, other medical reasons)
	Documentation of system reason(s) for having a bone scan performed (including bone scan ordered by someone other than reporting physician)
Exclusion details	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For measure Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients, exceptions may include medical reason(s) (eg, documented pain, salvage therapy, other medical reasons) or system reason(s) (eg, bone scan ordered by someone other than reporting physician). Where examples of exceptions are included in the measure language, value sets for these examples are developed and included in the eMeasure. Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and
	audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.
	Additional details by data source are as follows:
	For Registry:
	Append modifier to CPT Category II code:
	3269F with 1P - Documentation of medical reason(s) for performing a bone scan (including documented pain, salvage therapy, other medical reasons)
	Append modifier to CPT Category II code:
	3269F with 3P - Documentation of system reason(s) for performing a bone scan (including bone scan ordered by someone other than reporting physician)
	For EHR: HQMF eMeasure developed and is included in this submission.
Risk Adjustment	No risk adjustment or risk stratification
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	2963 Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients
	No risk adjustment or risk stratification
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer and have included these variables as recommended data elements to be collected.
Type Score	Rate/proportion better quality = higher score
Algorithm	To calculate performance rates:  1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).  2. From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.  3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator  4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: medical reason(s) (eg, documented pain, salvage)
	therapy, other medical reasons) or system reason(s) (eg, bone scan ordered by someone other than reporting physician)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculation, the exception rate (ie, percentage with valid exceptions) should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI. If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided
Copyright / Disclaimer	5.1 Identified measures: 0390 : Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients 1853 : Radical Prostatectomy Pathology Reporting
	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: The related measure 1853, Radical Prostatectomy Pathology Reporting, addresses the percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status, which is a different action than measure 0389. The two measures do not share similar target populations and address different aspects of prostate cancer care. The related measure 0390, Prostate Cancer: Adjuvant Hormonal Therapy for High Risk or Very High Risk Prostate Cancer Patients addresses the use of adjuvan hormonal therapy and external beam radiation therapy in high-risk prostate cancer patients which is a different quality action from measure 0389. The two measures do not share similar target populations and address different aspects of prostate cancer care.
	5b.1 If competing, why superior or rationale for additive value:

## **Appendix F: Related and Competing Measures**

### Comparison of NQF 0220 and NQF 0387

	0220: Adjuvant hormonal therapy	0387: Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer
Steward	Commission on Cancer, American College of Surgeons	AMA-convened Physician Consortium for Performance Improvement
Description	Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0,IB to III, who's primary tumor is progesterone or estrogen receptor positive with tamoxifen or third generation aromatase inhibitor (recommended or administered) within 1 year (365 days) of diagnosis.	Percentage of female patients aged 18 years and older with Stage IC through IIIC, estrogen receptor (ER) or progesterone receptor (PR) positive breast cancer who were prescribed tamoxifen or aromatase inhibitor (AI) during the 12 month reporting period
Туре	Process	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base  No data collection instrument provided No data dictionary	Administrative claims, Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Paper Medical Records, Electronic Clinical Data: Registry Not applicable. Zip file for data dictionary/code table to be sent separately (cannot be attached to 2a1.30).  No data dictionary
Level	Facility	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Hospital/Acute Care Facility	Ambulatory Care : Clinician Office/Clinic, Other Oncology/Outpatient Clinic
Numerator Statement	Hormone therapy is administered within 1 year (365 days) of the date of diagnosis or it is recommended but not received	Patients who were prescribed tamoxifen or aromatase inhibitor (AI) during the 12 month reporting period
Numerator Details	Hormone Therapy recommended and not received [NAACCR Item#1400]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not administered because the patient died prior to planned or recommended therapy,86:It was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)	Definition: Prescribed may include prescription given to the patient for tamoxifen or aromatase inhibitor (AI) at one or more visits in the 12-month period OR patient already taking tamoxifen or aromatase inhibitor (AI) as documented in the current medication list.  FOR EHR SPECIFICATIONS: For HQMF eCQM, see reference attachment in field S2a. For value sets, please reference the VSAC.  Administrative claims: Report the CPT Category II code: 4179F - Tamoxifen or aromatase inhibitor (AI) prescribed

enominator tatement	OR; Hormone Therapy administered [NAACCR Item#1400]=1, AND Date Hormone Therapy Started (NAACCR Item#710] <=365 days following Date of Diagnosis [NAACCR Item# 340] Include if all of the following characteristics are identified: Women Age >=18 at time of diagnosis Known or assumed to be first or only cancer diagnosis Epithelial malignancy only Primary tumors of the breast AJCC T1cNOM0 or Stage IB - III Primary tumor	All female patients aged 18 years and older with Stage IC through IIIC, estrogen receptor (ER) or progesterone receptor (PR) positive breast cancer
enominator	Sex [NAACCR Item#220]=2; and Age [NAACCR Item# 230] >=18; and Stageable Epithelial tumors histology [NAACCR Item# 522] 8000- 8576, 8941-8949 and Invasive tumor behavior [NAACCR Item# 522] =3 and AJCC T1c or Stage IB-III:Tumor Size [NAACCR Item#2800]= 11-989, 992-995 and AJCC pN [NAACCR Item#890]=0, I-, I+, 0M-, M=, 0M+ OR AJCC pN [NAACCR Item#890]=1,1M, 1M1, 1A, 1B, 1C,2, 2A, 2B, 3, 3A, 3B, or 3C; and CS SSF1 (ERA) [NAACCR Item#2880]=010 or 030; AND CS SSF2 (PRA) [NAACCR Item#2890]=010 or 030; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–90	FOR EHR SPECIFICATIONS:  For HQMF eCQM, see reference attachment in field S2a.  For value sets, please reference the VSAC.  Administrative claims:  AGE:>= 18 years and older  Gender:>Female  Diagnosis: Breast Cancer with Stage IC through IIIC, estrogen receptor (ER) or progesterone receptor (PR)  AND  ICD-9-CM diagnosis codes: 174.0, 174.1, 174.2, 174.3, 174.4, 174.5, 174.6, 174.8, 174.9 (malignant neoplasm of female breast ICD-10-CM diagnosis codes: C50.011, C50.012, C50.019, C50.111, C50.112, C50.119, C50.211, C50.212, C50.219, C50.311, C50.312, C50.319, C50.411, C50.412, C50.419, C50.511, C50.512, C50.519, C50.611, C50.612, C50.619, C50.811, C50.812, C50.819, C50.912, C50.919  AND  CPT® Codes:  99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215,

Enduring		documented OR CPT II 3376F: AJCC Breast Cancer Stage II, documented OR CPT II 3378F: AJCC Breast Cancer Stage III, documented AND CPT II 3315F: Estrogen receptor (ER) or progesterone receptor (PR) positive breast cancer
Exclusions	Exclude, if any of the following characteristics are identified:  Men  Under age 18 at time of diagnosis  Second or subsequent cancer diagnosis  Tumor not originating in the breast  Non-epithelial malignancies, exclude malignant phyllodes tumors,  8940 - Mixed tumor, malignant, NOS, 8950 - Mullerian mixed tumor ,  8980 - Carcinosarcoma,8981 - Carcinosarcoma, embryona  Stage 0, in-situ tumor  AJCC T1mic, or T1a tumor  Stage IV, metastatic tumor  Primary tumor is estrogen receptor negative and progesterone receptor negative  None of 1st course therapy performed at reporting facility  Died within 1 year (365 days) of diagnosis,  Patient enrolled in a clinical trial that directly impacts delivery of the standard of care	Documentation of medical reason(s) for not prescribing tamoxifen or aromatase inhibitor (eg, patient's disease has progressed to metastatic, patient is receiving a gonadotropin-releasing hormone analogue, patient has received oophorectomy, patient is currently receiving radiation or chemotherapy, patient's diagnosis date was >= 5 years from reporting date, patient's diagnosis date is within 120 days of the end of the 12 month reporting period)  Documentation of patient reason(s) for not prescribing tamoxifen or aromatase inhibitor (eg, patient refusal)  Documentation of system reason(s) for not prescribing tamoxifen or aromatase inhibitor (eg, patient is currently enrolled in a clinical trial)
Exclusion Details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/quality%20breast.ashx	The PCPI methodology uses three categories of reasons for which a patient may be excluded from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For this measure, exceptions may include medical reason(s) (eg, patient's disease has progressed to metastatic, patient is receiving a gonadotropin-releasing hormone analogue, patient has received

	lity%20breast.ashx Available at measure-specific web page URL identified in S.1	general group of patients that the performance measure is designed to address).  2) From the patients within the initial patient population criteria, find the patients who qualify for the denominator (ie, the specific group
Algorithm	See: https://www.facs.org/~/media/files/quality%20programs/cancer/qua	To calculate performance rates:  1) Find the patients who meet the initial patient population (ie, the
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Stratification	No stratification applied	We encourage the results of this measure to be stratified by race, ethnicity, payer and administrative sex, and have included these variables as recommended data elements to be collected.
Risk Adjustment	No risk adjustment or risk stratification	No risk adjustment or risk stratification None
	No risk adjustment or risk stratification	
		oophorectomy, patient is currently receiving radiation or chemotherapy, patient's diagnosis date was = 5 years from reporting date, patient's diagnosis date is within 120 days of the end of the 12 month reporting period), patient reason(s) (eg, patient refusal) or system reason(s) for not

		of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.  3) From the patients within the denominator, find the patients who qualify for the Numerator (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator  4) From the patients who did not meet the numerator criteria, determine if the physician has documented that the patient meets any criteria for denominator exception when exceptions have been specified [for this measure: medical reason(s) ((eg, patient's disease has progressed to metastatic, patient is receiving a gonadotropin-releasing hormone analogue, patient has received oophorectomy, patient is currently receiving radiation or chemotherapy, patient's diagnosis date was = 5 years from reporting date, patient's diagnosis date is within 120 days of the end of the 12 month reporting period), patient reason(s) (eg, patient refusal), or system reason(s) (eg, patient is currently enrolled in a clinical trial)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculation. — Although the exception cases are removed from the denominator population for the performance calculation, the number of patients with valid exceptions should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.  If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure.  See calculation algorithm in attachment 2a1.21.
Submission items	5.1 Identified measures: 0387 : Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer	5.1 Identified measures:
	5a.1 Are specs completely harmonized? No	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: These measures are related but assess different levels of analysis and different data systems are used to determine eligibility and compliance.	5a.2 If not completely harmonized, identify difference, rationale, impact: No related measures; See competing measures section below regarding the harmonization of measure specifications.

5b.1 If competing, why superior or rationale for additive value: 0387 assesses hormone therapy for patients with stage Ic through III hormone receptor positive cancer. 0387 assesses if hormone therapy was prescribed within a 12 month period while our measure (0220) assesses if hormone therapy was administered within on

5b.1 If competing, why superior or rationale for additive value: Measure 0220 is similarly limited to stage I through III breast cancer patients whose primary tumor is progesterone or estrogen receptor positive. Measure 0220 requires that the agents be considered or administered within 1 year of diagnosis while our measure looks at the receipt of adjuvant endocrine therapy over time, specifically whether the agents were prescribed once within a 12 month reporting period. Since the recommended treatment duration of adjuvant endocrine therapy is 5 years, our measure includes medical reason exceptions to allow physicians to exclude patients who have already received the agents for the recommended duration and for other medical reasons.

Our measure assess performance at the individual physician level while measure 0220 was designed to assess performance at the facility level.

### Comparison of NQF 0223 and NQF 0385

	0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
Steward	Commission on Cancer, American College of Surgeons	AMA-convened Physician Consortium for Performance Improvement
Description	Percentage of patients under the age of 80 with AJCC III (lymph node positive) colon cancer for whom adjuvant chemotherapy is recommended and not received or administered within 4 months (120 days) of diagnosis.	Percentage of patients aged 18 years through 80 years with AJCC stage III colon cancer who are referred for adjuvant chemotherapy, prescribed adjuvant chemotherapy or have previously received adjuvant chemotherapy within the 12 month reporting period
Туре	Process	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base  No data collection instrument provided No data dictionary	Administrative claims, Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Paper Medical Records, Electronic Clinical Data: Registry Not applicable. Zip file for data dictionary/code table to be sent separately (cannot be attached to 2a1.30).  No data dictionary
Level	Facility	Clinician : Group/Practice, Clinician : Individual, Clinician : Team
Setting	Hospital/Acute Care Facility	Ambulatory Care: Clinician Office/Clinic, Other Oncology/Outpatient Clinic; Radiation Oncology Dept/Clinic
Numerator Statement	Chemotherapy is administered within 4 months (120 days) of diagnosis or it is recommended and not received	Patients who are referred for adjuvant chemotherapy, prescribed adjuvant chemotherapy, or who have previously received adjuvant chemotherapy within the 12 month reporting period
Numerator Details	Chemotherapy Recommended and not received [NAACCR Item#1390]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not administered because the patient died prior to planned or recommended therapy,86:lt was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)  OR; Chemotherapy [NAACCR Item#1390]=3, and Date Chemotherapy Started (NAACCR Item#1220] <=120 days following Date of Diagnosis [NAACCR Item# 340]	Definition: Adjuvant Chemotherapy: According to current NCCN guidelines, the following therapies are recommended: 5-FU/LV /oxaliplatin (mFOLFOX6) as the standard of care (Category 1); bolus 5-FU/LV/oxaliplatin (FLOX, Category 1), capecitabine/oxaliplatin (CapeOx, Category 1); or single agent capecitabine (Category 2A) or 5-FU/LV (Category 2A) in patients felt to be inappropriate for oxaliplatin therapy. Due to the leucovorin shortage in the United States, levo-leucovorin used in its place may also satisfy the measure.  Prescribed – may include prescription ordered for the patient for adjuvant chemotherapy at one or more visits in the 12-month period OR patient already receiving adjuvant chemotherapy as documented in the current medication list

	0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
		FOR EHR SPECIFICATIONS:  For HQMF eCQM, see reference attachment in field S2a.  For value sets, please reference the VSAC.  For Administrative claims:  Report the CPT Category II code: 4180F - Adjuvant chemotherapy referred, prescribed, or previously received for Stage III colon cancer
Denominator Statement	Include, if all of the following characteristics are identified: Age 18-79 at time of diagnosis Known or assumed to be first or only cancer diagnosis Primary tumors of the colon Epithelial malignancy only At least one pathologically examined regional lym	All patients aged 18 through 80 years with AJCC stage III colon cancer
Denominator Details	Age at Diagnosis [NAACCR Item#230] 18-79 AND Male or female [NAACCR item #220] = 1,2; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 30–90, AND Regional Lymph Nodes Positive [NAACCR Item#820] = 1-90, 95, 97	FOR EHR SPECIFICATIONS:  For HQMF eCQM, see reference attachment in field S2a.  For value sets, please reference the VSAC.  Administrative claims data:  AGE: >= 18 years and <= 80 years  AND  Diagnosis: Colon Cancer  ICD-9-CM diagnosis codes: 153.0, 153.1, 153.2, 153.3, 153.4, 153.6, 153.7, 153.8, 153.9 (malignant neoplasm of colon).  ICD-10-CM diagnosis codes: C18.0, C18.2, C18.3, C18.4, C18.5, C18.6, C18.7, C18.8, C18.9  AND  CPT® Codes: 99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215
Exclusions	Exclude, if any of the following characteristics are identified:  Age <18 and >=80; not a first or only cancer diagnosis; non-epithelial and non-invasive tumors; no regional lymph nodes pathologically examined; metastatic disease (AJCC Stage IV); not treated surgically;	Documentation of medical reason(s) for not referring for or prescribing adjuvant chemotherapy (eg, medical comorbidities, diagnosis date more than 5 years prior to the current visit date, diagnosis date is within 120 days of the end of the 12 month reporting period, patient's cancer has

	0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
	died within 4 months (120 days) of diagnosis; Patient participating in clinical trial which directly impacts receipt of standard of care.	metastasized, medical contraindication/allergy, poor performance status)  Documentation of patient reason(s) for not referring for or prescribing adjuvant chemotherapy (eg, patient refusal)  Documentation of system reason(s) for not referring for or prescribing adjuvant chemotherapy (eg, patient is currently enrolled in a clinical trial that precludes prescription of chemotherapy)
Exclusion Details	See: https://www.facs.org/~/media/files/quality%20programs/cancer/ncd b/measure%20specs%20colon_03312015.ashx	The PCPI methodology uses three categories of reasons for which a patient may be excluded from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For this measure, exceptions may include medical reason(s) (eg, medical comorbidities, patient over the age of 80, diagnosis date more than 5 years prior to the current visit date, diagnosis date is within 120 days of the end of the 12 month reporting period, patient's cancer has metastasized, medical contraindication/allergy, poor performance status), patient reason(s) (eg, patient refusal) or system reason(s) for not referring for or prescribing adjuvant chemotherapy (eg, patient is currently enrolled in a clinical trial that precludes prescription of chemotherapy). Where examples of exceptions are included in the measure language, these examples are coded and included in the eSpecifications. Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement. For example, it is possible for implementers to calculate the percentage of patients that physicians have identified as meeting the criteria for exception. Additional details by data source are as follows:  For EHR: eMeasure (See attached)

	0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
Risk Adjustment	No risk adjustment or risk stratification	Administrative claims:  Denominator Exceptions:  Append modifier to CPT Category II code: 4180F-1P  Append modifier to CPT Category II code: 4180F-2P  Append modifier to CPT Category II code: 4180F-3P  No risk adjustment or risk stratification  None
Stratification	No stratification applied	We encourage the results of this measure to be stratified by race, ethnicity, payer and administrative sex, and have included these variables as recommended data elements to be collected.
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorithm	This measure score is calculated by dividing the numerator cases by denominator eligible cases.  Denominator eligible cases are assessed in a step-wise fashion:  - Include all colon cancer cases  - Adult patients 18 and over and under 80  - Males and female cases only  - Include first or only primaries  - Include epithelial tumors based on AJCC 7th Ed.  - Include invasive tumors only  - Exclude cases with clinical or pathologic evidence of in situ disease  - Exclude cases with clinical or pathologic evidence of metastatic disease  - Include only cases where all or part of first course treatment was performed at the reporting facility  - Include only surgically treated cases	To calculate performance rates:  1) Find the patients who meet the initial patient population (ie, the general group of patients that the performance measure is designed to address).  2) From the patients within the initial patient population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.  3) From the patients within the denominator, find the patients who qualify for the numerator (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator.  4) From the patients who did not meet the numerator criteria, determine if the physician has documented that the patient meets any criteria for denominator exception when exceptions have been specified.
	<ul> <li>Include only patients which were alive for at least 120 days following diagnosis</li> <li>Include only lymph node positive disease</li> <li>Numerator cases are then assessed from denominator eligible cases:</li> </ul>	If the patient meets any exception criteria, they should be removed from the denominator for performance calculation. —Although exception cases are removed from the denominator population for the performance calculation, the number of patients with valid exceptions should be

	0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
	- Cases are included in the numerator if:  a) Chemotherapy is administered the number of days between diagnosis and start of chemotherapy within 120 days are included in the numerator or  b) Chemotherapy is recommended but not administered based on: -Chemotherapy was not recommended/administered because it was contraindicated due to patient risk factors, -Chemotherapy was not administered because the patient died prior to planned or recommended therapy, -Chemotherapy was not administered. It was recommended by the patient's physician but was not administered as part of the first course of therapyChemotherapy was not administered, it was recommended by the patients' physician but refused by the patient, patient's family member or guardian. The refusal was noted in patient record. The measure score is calculated with the numerator divided by the denominator.  Detailed steps are found here: https://www.facs.org/~/media/files/quality%20programs/cancer/ncd b/measure%20specs%20colon_03312015.ashx Available at measure-	calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.  If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure.
Submission items	specific web page URL identified in S.1  5.1 Identified measures: 0385 : Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients	5.1 Identified measures:
	5a.1 Are specs completely harmonized? No  5a.2 If not completely harmonized, identify difference, rationale, impact: The measures assess different levels of data analysis, 0385 assesses clinical group practice while 0223 assesses facility level performance. The data sources are also different for the two measures increasing the burden of collection for harmonization.	<ul> <li>5a.1 Are specs completely harmonized? No</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact: No related measures; See competing measures section below regarding the harmonization of measure specifications.</li> <li>5b.1 If competing, why superior or rationale for additive value: Measure 0223 is limited to Stage III colon cancer patients under the age of 80 following surgical treatment. Although our measure focuses on stage III</li> </ul>

0223: Adjuvant chemotherapy is recommended or administered within 4 months (120 days) of diagnosis to patients under the age of 80 with AJCC III (lymph node positive) colon cancer	0385: Oncology: Chemotherapy for AJCC Stage III Colon Cancer Patients
5b.1 If competing, why superior or rationale for additive value: The target populations of these measures and the level of analysis are sufficiently different to warrant both measures. Measure 0223 assesses adjuvant chemotherapy on surgically treated patients to be reported at the facility level for CoC-accredited can	colon cancer patients, it does not focus only on patients following surgical treatment. However, the numerator of the measure allows for current OR PREVIOUS receipt of adjuvant chemotherapy as well as a referral for adjuvant chemotherapy. This approach offers a great likelihood of achieving a sufficient sample size to measure performance at the individual physician level. Additionally, patients over the age of 80 can be excluded from the patient population through the use of a medical reason exception.
	Our measure assesses performance at the individual physician level while measure 0223 was designed to assess performance at the facility level.

# Comparison of NQF 0220, NQF 0389, NQF 0390. and NQF 0387

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
Steward	Commission on Cancer, American College of Surgeons	PCPI	American Urological Association	College of American Pathologists
Descripti on	Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage T1cN0M0,IB to III, who's primary tumor is progesterone or estrogen receptor positive with tamoxifen or third generation aromatase inhibitor (recommended or administered) within 1 year (365 days) of diagnosis.	Percentage of patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy who did not have a bone scan performed at any time since diagnosis of prostate cancer	Percentage of patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)	Percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status.
Туре	Process	Process	Process	Process
Data Source	Paper Medical Records, Electronic Clinical Data: Registry Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base No data collection instrument provided No data dictionary	Electronic Clinical Data, Electronic Clinical Data: Electronic Health Record, Electronic Clinical Data: Registry Not applicable.  No data collection instrument provided Attachment EP_eCQM_ValueSets_CMS129v6_NQF0389_02182016.xls	Electronic Clinical Data, Electronic Clinical Data: Registry Not applicable. Not a PRO. No data collection instrument provided Attachment NQF0390_I9toI10_conversion.xlsx	Administrative claims, Other, Paper Records Medical records/Pathology Report and Claims forms are used as the specific data sources.
Level	Facility	Clinician : Group/Practice, Clinician : Individual, Clinician : Team	Clinician : Group/Practice, Clinician : Individual, Clinician : Team	Clinician : Group/Practice, Clinician : Individual
Setting	Hospital/Acute Care Facility	Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other Radiation Oncology	Ambulatory Care: Ambulatory Surgery Center (ASC), Ambulatory Care: Clinician Office/Clinic, Other Radiation Oncology	Laboratory

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
Numerat or Stateme nt	Hormone therapy is administered within 1 year (365 days) of the date of diagnosis or it is recommended but not received	Patients who did not have a bone scan performed at any time since diagnosis of prostate cancer	Clinic/Department  Patients who were prescribed adjuvant hormonal therapy (GnRH [gonadotropin-releasing hormone] agonist or antagonist)	Numerator: Radical prostatectomy pathology reports that include the pT category, the pN category, Gleason score and a statement about margin status
				? Report the following CPT Category II code to confirm the inclusion of the designated elements in a radical prostatectomy pathology report: 3267F –pathology report
Numerat or Details	Hormone Therapy recommended and not received [NAACCR Item#1400]=82-87 (82:not recommended/administered because it was contraindicated due to patient risk factors, 85:not administered because the patient died prior to planned or recommended therapy,86:It was recommended by the patient's physician, but was not administered as part of first-course therapy. No reason was stated in the patient record. 87: it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in the patient record)  OR; Hormone Therapy	For Registry:  To submit the numerator option for patients who did not have a bone scan performed at any time since diagnosis of prostate cancer, report the following CPT Category II code:  3270F – Bone scan not performed prior to initiation of treatment nor at any time since diagnosis of prostate cancer  For EHR Specifications:  HQMF eMeasure developed and is included in this submission.	Definition:  Prescribed – Includes patients who are currently receiving medication(s) that follow the treatment plan recommended at an encounter during the reporting period, even if the prescription for that medication was ordered prior to the encounter. For Registry: To submit the numerator option for patients who were prescribed adjuvant hormonal therapy (GnRH agonist or antagonist), report the following CPT Category II code: 4164F - Adjuvant (ie, in combination with external beam radiotherapy to the prostate for prostate cancer) hormonal therapy (gonadotropin-releasing hormone [GnRH] agonist or antagonist)	Report the following CPT Category II code to confirm the inclusion of the designated elements in a radical prostatectomy pathology report: 3267F –pathology report

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
	administered [NAACCR Item#1400]=1, AND Date Hormone Therapy Started (NAACCR Item#710] <=365 days following Date of Diagnosis [NAACCR Item# 340]		prescribed/administered	
Denomin ator Stateme nt	Include if all of the following characteristics are identified: Women Age >=18 at time of diagnosis Known or assumed to be first or only cancer diagnosis Epithelial malignancy only Primary tumors of the breast AJCC T1cN0M0 or Stage IB - III Primary tumor	All patients, regardless of age, with a diagnosis of prostate cancer at low (or very low) risk of recurrence, receiving interstitial prostate brachytherapy, OR external beam radiotherapy to the prostate, OR radical prostatectomy, OR cryotherapy	All patients, regardless of age, with a diagnosis of prostate cancer at high or very high risk of recurrence receiving external beam radiotherapy to the prostate	All radical prostatectomy pathology reports
Denomin ator Details	Sex [NAACCR Item#220]=2; and Age [NAACCR Item#230] >=18; and Stageable Epithelial tumors histology [NAACCR Item# 522] 8000-8576, 8941-8949 and Invasive tumor behavior [NAACCR Item# 522] =3 and AJCC T1c or Stage IB-III:Tumor Size [NAACCR Item#2800]= 11-989, 992-995 and AJCC pN [NAACCR Item#890]=0, I-, I+, OM-, M=, OM+ OR AJCC pN [NAACCR Item#890]=1,1M, 1M1, 1A, 1B, 1C,2, 2A, 2B, 3, 3A, 3B, or 3C; and CS SSF1 (ERA) [NAACCR	Definitions: Risk Strata Definitions: Very Low, Low, Intermediate, High, or Very High- Very Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1c; AND presence of disease in fewer than 3 biopsy cores; AND <= 50% prostate cancer involvement in any core; AND PSA density <= 0.15 ng/mL/cm3. Low Risk - PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1 to T2a. Intermediate Risk - PSA 10 to 20	Definitions: Risk Strata - Very Low, Low, Intermediate, High, or Very High— Very Low Risk — PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1c; AND presence of disease in fewer than 3 biopsy cores; AND = 50% prostate cancer involvement in any core; AND PSA density = 0.15 ng/mL/cm3. Low Risk — PSA < 10 ng/mL; AND Gleason score 6 or less; AND clinical stage T1 to T2a. Intermediate Risk — PSA 10 to 20 ng/mL; OR Gleason score 7; OR clinical stage T2b to T2c. Note:	Denominator (Eligible Population): All radical prostatectomy pathology reports CPT code: 88309 - Level VI - Surgical pathology, gross and microscopic examination AND ICD-9 code: 185 - malignant neoplasm of prostate

0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
Item#2880]=010 or 030; AND CS SSF2 (PRA) [NAACCR Item#2890]=010 or 030; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–90	ng/mL; OR Gleason score 7; OR clinical stage T2b to T2c. Note: Patients with multiple adverse factors may be shifted into the high risk category.  High Risk - PSA > 20 ng/mL; OR Gleason score 8 to 10; OR clinically localized stage T3a. Note: Patients with multiple adverse factors may be shifted into the very high risk category.  Very High Risk - Clinical stage T3b to T4; OR primary Gleason pattern 5; OR more than 4 cores with Gleason score 8 to 10. (NCCN, 2016)  External beam radiotherapy - external beam radiotherapy refers to 3D conformal radiation therapy (3D-CRT), intensity modulated radiation therapy (IMRT), stereotactic body radiotherapy (SBRT), and proton beam therapy. Note: Only patients with prostate cancer with low risk of recurrence will be counted in the denominator of this measure  For Registry:  Any male patient, regardless of age  AND  Diagnosis for prostate cancer (ICD-9-CM): 185	patients with multiple adverse factors may be shifted into the high risk category.  High Risk – PSA > 20 ng/mL; OR Gleason score 8 to 10; OR clinically localized stage T3a. Note: Patients with multiple adverse factors may be shifted into the very high risk category.  Very High Risk – Clinical stage T3b to T4; OR primary Gleason pattern 5; OR more than 4 cores with Gleason score 8 to 10. (NCCN, 2016)  External beam radiotherapy – external beam radiotherapy refers to 3D conformal radiation therapy (3D-CRT), intensity modulated radiation therapy (IMRT), stereotactic body radiotherapy (SBRT), and proton beam therapy.  Note: Only male patients with prostate cancer with high or very high risk of recurrence will be counted in the performance denominator of this measure.  For Registry:  Any male patient, regardless of age  AND  Diagnosis for prostate cancer (ICD-9-CM): 185	

0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
	Diagnosis for prostate cancer (ICD-10-CM): C61 AND Patient encounter during the reporting period (CPT): 55810, 55812, 55815, 55840, 55842, 55845, 55866, 55873, 55875, 77427, 77435, 77772, 77778, 77799 AND Report the following CPT Category II Code to identify the risk of recurrence: 3271F: Low risk of recurrence, prostate cancer For EHR: HQMF eMeasure developed and is included in this submission.	Diagnosis for prostate cancer (ICD-10-CM): C61 AND NOT Diagnosis for metastatic cancer (ICD-9-CM): 196.0, 196.1, 196.2, 196.3, 196.5, 196.6, 196.8, 196.9, 197.0, 197.1, 197.2, 197.3, 197.4, 197.5, 197.6, 197.7, 197.8, 198.0, 198.1, 198.2, 198.3, 198.4, 198.5, 198.6, 198.7, 198.81, 198.82, 198.89 Diagnosis for metastatic cancer (ICD-10-CM): C77.0, C77.1, C77.2, C77.3, C77.4, C77.5, C77.8, C77.9, C78.00, C78.01, C78.02, C78.1, C78.2, C78.30, C78.39, C78.4, C78.5, C78.6, C78.7, C78.80, C79.10, C79.11, C79.19, C79.2, C79.31, C79.32, C79.40, C79.49, C79.51, C79.52, C79.60, C79.61, C79.62, C79.70, C79.71, C79.72, C79.81, C79.82, C79.89, C79.9 AND Patient encounter during the reporting period (CPT): 77427, 77435 AND Report the following quality-data code (G-code) to identify the risk of recurrence: G8465: High or very high risk of recurrence of prostate cancer	

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
Exclusion	Exclude, if any of the following characteristics are identified: Men Under age 18 at time of diagnosis Second or subsequent cancer diagnosis Tumor not originating in the breast Non-epithelial malignancies, exclude malignant phyllodes tumors, 8940 - Mixed tumor, malignant, NOS, 8950 - Mullerian mixed tumor , 8980 - Carcinosarcoma, 8981 - Carcinosarcoma, embryona Stage 0, in-situ tumor AJCC T1mic, or T1a tumor Stage IV, metastatic tumor Primary tumor is estrogen receptor negative and progesterone receptor negative None of 1st course therapy performed at reporting facility Died within 1 year (365 days) of diagnosis, Patient enrolled in a clinical trial that directly impacts delivery of the standard of care	Documentation of medical reason(s) for having a bone scan performed (including documented pain, salvage therapy, other medical reasons)  Documentation of system reason(s) for having a bone scan performed (including bone scan ordered by someone other than reporting physician)	AUA methodology uses three categories of reasons for which a patient may be excluded from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For this measure, exceptions for not prescribing/administering adjuvant hormonal therapy may include medical reason(s) (eg, salvage therapy) or patient reason(s). Although this methodology does not require the external reporting of more detailed exception data, the AUA recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and auditreadiness. The AUA also advocates the systematic review and analysis of each physician's exceptions data to identify	Documentation of medical reason for exclusion (e.g. specimen originated from other malignant neoplasms, secondary site prostatic carcinomas, and transurethral resections of the prostate (TURP)

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
			practice patterns and opportunities for quality improvement. For example, it is possible for implementers to calculate the percentage of patients that physicians have identified as meeting the criteria for exception. Additional details by data source are as follows:  Documentation of medical reason(s) for not prescribing/administering adjuvant hormonal therapy (eg, salvage therapy)  Documentation of patient reason(s) for not prescribing/administering adjuvant hormonal therapy	
Exclusion Details	See: https://www.facs.org/~/media/fil es/quality%20programs/cancer/q uality%20breast.ashx	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The AUA exception methodology uses three categories of reasons for which a patient may be removed from the	Documentation of medical reason for exclusion (e.g. specimen originated from other malignant neoplasms, secondary site prostatic carcinomas, or transurethral resections of the prostate (TURP) [For patient with appropriate exclusion criteria, report 3267F with modifier 1P.]

0220 A II	0200 0 1 1 0	0200 0 1 1 0 11 11	4052 D. II. I.B
0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance	0390: Prostate Cancer: Adjuvant	1853: Radical Prostatectomy
	of Overuse of Bone Scan for	Hormonal Therapy for High or	Pathology Reporting
	Staging Low Risk Prostate Cancer	Very High Risk Prostate Cancer	
	Patients	Patients	
	denominator of an individual	denominator of an individual	
	measure. These measure	measure. These measure	
	exception categories are not	exception categories are not	
	uniformly relevant across all	uniformly relevant across all	
	measures; for each measure,	measures; for each measure,	
	there must be a clear rationale to	there must be a clear rationale to	
	permit an exception for a medical,	permit an exception for a medical,	
	patient, or system reason.	patient, or system reason.	
	Examples are provided in the	Examples are provided in the	
	measure exception language of	measure exception language of	
	instances that may constitute an	instances that may constitute an	
	exception and are intended to	exception and are intended to	
	serve as a guide to clinicians. For	serve as a guide to clinicians. For	
	measure Prostate Cancer:	measure Adjuvant Hormonal	
	Avoidance of Overuse of Bone	Therapy for High Risk or Very High	
	Scan for Staging Low Risk Prostate	Risk Prostate Cancer Patients,	
	Cancer Patients, exceptions may	exceptions may include medical	
	include medical reason(s) (eg,	reason(s) (eg, salvage therapy) or	
	documented pain, salvage	patient reason(s) for not	
	therapy, other medical reasons)	prescribing/administering	
	or system reason(s) (eg, bone scan	adjuvant hormonal therapy.	
	ordered by someone other than	Although this methodology does	
	reporting physician). Where	not require the external reporting	
	examples of exceptions are	of more detailed exception data,	
	included in the measure language,	the AUA recommends that	
	value sets for these examples are	physicians document the specific	
	developed and included in the	reasons for exception in patients'	
	eMeasure. Although this	medical records for purposes of	
	methodology does not require the	optimal patient management and	
	external reporting of more	audit-readiness. The AUA also	
	detailed exception data, the PCPI	advocates the systematic review	
	recommends that physicians	and analysis of each physician's	
	document the specific reasons for	exceptions data to identify	
	exception in patients' medical	practice patterns and	

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
		records for purposes of optimal patient management and auditreadiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.  Additional details by data source are as follows: For Registry: Append modifier to CPT Category II code: 3269F with 1P - Documentation of medical reason(s) for performing a bone scan (including documented pain, salvage therapy, other medical reasons) Append modifier to CPT Category II code: 3269F with 3P - Documentation of system reason(s) for performing a bone scan (including bone scan ordered by someone other than reporting physician) For EHR: HQMF eMeasure developed and is included in this submission.	opportunities for quality improvement.  Additional details by data source are as follows: For Registry: Documentation of medical reason(s) for not prescribing/administering adjuvant hormonal therapy (eg, salvage therapy) Append modifier to CPT Category II code: 4164F with 1P  Documentation of patient reason(s) for not prescribing/administering adjuvant hormonal therapy Append modifier to CPT Category II code: 4164F with 2P	
Risk Adjustm ent	No risk adjustment or risk stratification	No risk adjustment or risk stratification No risk adjustment or risk	No risk adjustment or risk stratification No risk adjustment or risk	No risk adjustment or risk stratification Not applicable

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
Stratifica tion	No stratification applied	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer and have included these variables as recommended data elements to be collected.	stratification  Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF to standardize the collection of race and ethnicity data, we encourage the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.	Not applicable
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorith	See: https://www.facs.org/~/media/fil es/quality%20programs/cancer/q uality%20breast.ashx Available at measure-specific web page URL identified in S.1	To calculate performance rates:  1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).  2. From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.  3. From the patients within the denominator, find the patients	To calculate performance rates:  1) Find the patients who meet the initial patient population (ie, the general group of patients that the performance measure is designed to address).  2) From the patients within the initial patient population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial patient population and denominator are identical.  3) From the patients within the denominator, find the patients	Performance Measure: 3267F/Claims using CPT code 88309 and ICD-9 code 185

0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance	0390: Prostate Cancer: Adjuvant	1853: Radical Prostatectomy
	of Overuse of Bone Scan for	Hormonal Therapy for High or	Pathology Reporting
	Staging Low Risk Prostate Cancer	Very High Risk Prostate Cancer	
	Patients	Patients	
	who meet the numerator criteria	who qualify for the Numerator (ie,	
	(ie, the group of patients in the	the group of patients in the	
	denominator for whom a process	denominator for whom a process	
	or outcome of care occurs).	or outcome of care occurs).	
	Validate that the number of	Validate that the number of	
	patients in the numerator is less	patients in the numerator is less	
	than or equal to the number of	than or equal to the number of	
	patients in the denominator	patients in the denominator	
	4. From the patients who did not	4) From the patients who did not	
	meet the numerator criteria,	meet the numerator criteria,	
	determine if the provider has	determine if the physician has	
	documented that the patient	documented that the patient	
	meets any criteria for exception	meets any criteria for	
	when denominator exceptions	denominator exception when	
	have been specified [for this	exceptions have been specified	
	measure: medical reason(s) (eg,	[for this measure: medical	
	documented pain, salvage	reason(s) for not prescribing	
	therapy, other medical reasons)	adjuvant hormonal therapy (eg,	
	or system reason(s) (eg, bone scan	salvage therapy) or patient	
	ordered by someone other than	reason(s)]. If the patient meets	
	reporting physician)]. If the	any exception criteria, they should	
	patient meets any exception	be removed from the	
	criteria, they should be removed	denominator for performance	
	from the denominator for	calculation.	
	performance calculation	–Although the exception cases are	
	Although the exception cases are	removed from the denominator	
	removed from the denominator	population for the performance	
	population for the performance	calculation, the number of	
	calculation, the exception rate (ie,	patients with valid exceptions	
	percentage with valid exceptions)	should be calculated and reported	
	should be calculated and reported	along with performance rates to	
	along with performance rates to	track variations in care and	
	track variations in care and	highlight possible areas of focus	
	highlight possible areas of focus	for QI.	

	0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
		for QI.  If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided	If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure. No diagram provided	
Submissi on items	5.1 Identified measures: 0387: Oncology: Hormonal therapy for stage IC through IIIC, ER/PR positive breast cancer  5a.1 Are specs completely harmonized? No	5.1 Identified measures: 0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients 1853: Radical Prostatectomy Pathology Reporting	5.1 Identified measures: 0220: Adjuvant hormonal therapy 0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients 1853: Radical Prostatectomy Pathology Reporting	5.1 Identified measures:  5a.1 Are specs completely harmonized?  5a.2 If not completely harmonized, identify difference, rationale, impact:
	5a.2 If not completely harmonized, identify difference, rationale, impact: These measures are related but assess different levels of analysis and different data systems are used to determine eligibility and compliance.	5a.1 Are specs completely harmonized? No  5a.2 If not completely harmonized, identify difference, rationale, impact: The related measure 1853, Radical Prostatectomy Pathology Reporting, addresses the	5a.1 Are specs completely harmonized? No  5a.2 If not completely harmonized, identify difference, rationale, impact: For measure 0220, Adjuvant Hormonal Therapy, the related measure	5b.1 If competing, why superior or rationale for additive value:
	5b.1 If competing, why superior or rationale for additive value: 0387 assesses hormone therapy for patients with stage Ic through III hormone receptor positive cancer. 0387 assesses if hormone therapy was prescribed within a 12 month period while our measure (0220) assesses if hormone therapy was administered within on	percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status, which is a different action than measure 0389. The two measures do not share similar target populations and address different aspects of prostate	focuses on adjuvant hormonal therapy for breast cancer patients, which is not consistent with the target population addressed in measure 0390. While this is the same action, it is a different drug and target population addressed in each measure. The related measure 0389, Prostate Cancer: Avoidance of Overuse of Bone	

0220: Adjuvant hormonal therapy	0389: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	1853: Radical Prostatectomy Pathology Reporting
	cancer care. The related measure 0390, Prostate Cancer: Adjuvant Hormonal Therapy for High Risk or Very High Risk Prostate Cancer Patients addresses the use of adjuvant hormonal therapy and external beam radiation therapy in high-risk prostate cancer patients which is a different quality action from measure 0389. The two measures do not share similar target populations and address different aspects of prostate cancer care.  5b.1 If competing, why superior or rationale for additive value:	Scan for Staging Low Risk Prostate Cancer Patients addresses the use of bone scan in low-risk prostate cancer patients which is a different quality action from measure 0390. The two measures do not share similar target populations and address different aspects of prostate cancer care. The related measure 1853, Radical Prostatectomy Pathology Reporting, addresses the percentage of radical prostatectomy pathology reports that include the pT category, the pN category, the Gleason score and a statement about margin status, which is a different action than measure 0390. The two measures do not share similar target populations and address different aspects of prostate cancer care.	
		5b.1 If competing, why superior or rationale for additive value:	

# Comparison of NQF 1855 and NQF 1878

	1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	1878: HER2 testing for overexpression or gene amplification in patients with breast cancer
Steward	College of American Pathologists	American Society of Clinical Oncology
Description	Percentage of patients with quantitative breast tumor HER2 IHC evaluation using the ASCO/CAP recommended manual system or a computer-assisted system consistent with the optimal algorithm for HER2 testing as described in the current ASCO/CAP guidelines.	Proportion of female patients (aged 18 years and older) with breast cancer who receive human epidermal growth factor receptor 2 (HER2) testing for overexpression or gene amplification
Туре	Process	Process
Data Source	Administrative claims, Other, Paper Medical Records Data can be collectected from Pathology Report/Medical Records, Laboratory procedures and claims forms.	Electronic Clinical Data : Registry ASCO Quality Oncology Practice Initiative (QOPI®)  No data collection instrument provided No data dictionary
Level	Clinician : Group/Practice, Clinician : Individual	Clinician : Group/Practice
Setting	Laboratory	Ambulatory Care : Clinician Office/Clinic
Numerator Statement	Breast cancer patients receiving quantitative breast tumor HER2 IHC evaluation using the ASCO/CAP recommended manual system or a computer-assisted system consistent with the optimal algorithm for HER2 testing as described in the ASCO/CAP guideline *	HER2 testing performed
Numerator Details	Breast cancer patients receiving quantitative breast tumor HER2 IHC evaluation using the ASCO/CAP recommended manual system or a computer-assisted system consistent with the optimal algorithm for HER2 testing as described in the current ASCO/CAP guideline  Report one of the following CPT Category II codes to confirm the use of the recommended scoring system:  3394F —Quantitative HER2 IHC evaluation consistent with scoring system defined in the ASCO/CAP guidelines  3395F—Quantitative non-HER2 IHC evaluation (eg, testing for estrogen or progesterone receptors, [ER/PR]) performed	HER-2/neu status = HER2 positive OR HER-2/neu status = HER2 negative OR HER-2/neu status = Test ordered, results not yet documented OR HER-2/neu status = Test ordered, insufficient sample for results OR (HER-2/neu status = Test ordered, insufficient sample for results OR (HER-2 equivocal AND New test ordered within 10 days of report = Yes or N/A (patient died or transferred out of practice)) Practices are required to order tests within 31 days from first office visit (HER2 test date – first office visit date = 31 days) and if a new test is ordered, it must be within 10 days of original report Numerator definitions:

1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	1878: HER2 testing for overexpression or gene amplification in patients with breast cancer
	Select 'Test ordered, results not yet documented' only if there is documentation in the chart that a test that reports HER-2/neu analyses was ordered.
	In the absence of any documentation regarding HER-2/neu status, select 'Test not ordered/no documentation.'
	Enter information from the most recent test report.
	Patients are classified as having HER-2 positive disease based on positive results with either test.
	If the most recent report indicates insufficient sample, select 'Test ordered, insufficient sample for results.'
	If a physician note and the HER-2/neu report differ in results, report the status in the physician note if the note explains the discrepancy. Otherwise, report the status from the HER-2/neu report.
	Use the following definitions to determine HER-2/neu status:
	Positive:
	IHC 3+ cell surface protein expression (defined as uniform intense membrane staining of >30% of invasive tumor cells) or
	FISH ratio >2.2 or
	HER2 gene copy >6.0
	Equivocal:
	<ul> <li>Not positive according to any of the criteria above, AND</li> <li>(IHC with scores 2+ AND FISH ratio 1.8-2.2) or</li> </ul>
	• HER2 gene copy 4.0-6.0
	Negative:
	Not positive according to any of the criteria above, AND
	• IHC 0 or 1+ or
	FISH ratio 1.8 or
	HER2 gene copy <4.0
	If the results indicate 'non-amplified', choose HER-2/neu
	negative.
	If the results indicate 'weakly positive', choose HER-2/neu
	positive.

	1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	1878: HER2 testing for overexpression or gene amplification in patients with breast cancer
		New test ordered within 10 days of report of equivocal result: Respond 'Yes' if a new test was ordered within 10 days of oncologist review of the report with inconclusive results. Choose 'N/A' if the patient died or transferred out of the practice within 10 days of review of the report with inconclusive results or fewer than 10 days have passed.  If the chart documents that the pathologist has ordered a new test, respond 'Yes.'
Denominator Statement	All breast cancer patients with quantitative breast tumor evaluation by HER2 IHC  ICD-10 diagnosis codes for breast cancer: C50.011, C50.012, C50.019, C50.021, C50.022, C50.029, C50.111, C50.112, C50.119, C50.121, C50.122, C50.129, C50.211, C50.212, C50.219, C50.221, C50.222, C50.229, C50.311, C50.312, C50.319, C50.321, C50.322, C50.329, C50.411, C50.412, C50.419, C50.421, C50.422, C50.429, C50.511, C50.512, C50.519, C50.521, C50.522, C50.529, C50.611, C50.612, C50.619, C50.621, C50.622, C50.629, C50.811, C50.812, C50.819, C50.821, C50.822, C50.829, C50.911, C50.912, C50.921, C50.922, C50.929  AND  CPT codes: Quantitative IHC Evaluation – 88360 or 88361 (The CPT descriptor for 88360 and 88361 is, "Morphometric analysis, tumor immunohistochemistry (eg, Her-2/neu, estrogen receptor/progesterone receptor), quantitative or semi-quantitative, each antibody.")	Adult women with breast cancer
Denominator Details	ICD-10 diagnosis codes for breast cancer: C50.011, C50.012, C50.019, C50.021, C50.022, C50.029, C50.111, C50.112, C50.119, C50.121, C50.122, C50.129, C50.211, C50.212, C50.219, C50.221, C50.222, C50.229, C50.311, C50.312, C50.319, C50.321, C50.322, C50.329, C50.411, C50.412, C50.419, C50.421, C50.422, C50.429, C50.511, C50.512, C50.519, C50.521, C50.522, C50.529, C50.611, C50.612, C50.619, C50.621, C50.622, C50.629, C50.811, C50.812, C50.819, C50.821, C50.822, C50.829, C50.911, C50.912, C50.919, C50.921, C50.922, C50.929	Female And 2 or more encounters at the reporting site And Age at diagnosis greater than or equal to 18 years And Breast cancer diagnosis [C50.01-, C50.11-, C50.21-, C50.31-, C50.41-, C50.51-, C50.61-, C50.81-, C50.91-] Definitions

### 1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines

CPT codes: Quantitative IHC Evaluation - 88360 or 88361 (The CPT descriptor for 88360 and 88361 is, "Morphometric analysis, tumor

Wolff, A.C., et al. American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Update Recommendations for Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer. Arch Pathol Lab Med. 31:3997 -4014, 2013)

Positive HER2 test. (p.3998)

quantitative, each antibody.")

immunohistochemistry (eg. Her-2/neu, estrogen

receptor/progesterone receptor), quantitative or semi-

Must report a HER2 test result as positive if: (a) IHC 3+ positive or (b) ISH positive using either a single-probe ISH or dual-probe ISH (Table 1; Figs 1 to 3). This assumes that there is no apparent histopathologic discordance observed by the pathologist (Table 2).

Equivocal HER2 test. (p. 3998)

Must report a HER2 test result as equivocal and order reflex test on the same specimen (unless the pathologist has concerns about the specimen) using the alternative test if: (a) IHC 2+ equivocal or (b) ISH equivocal using single-probe ISH or dual-probe ISH (Table 1; Figs 1 to 3). This assumes that there is no apparent histopathologic discordance observed by the pathologist (Table 2). Note that there are some rare breast cancers (eg, gland-forming tumors, micropapillary carcinomas) that show IHC 1+ staining that is intense but incomplete (basolateral or U shaped) and that are found to be HER2 amplified. The pathologist should consider also reporting these specimens equivocal and request reflex testing using the alternative test.

Negative HER2 test. (p. 3998)

Must report a HER2 test result as negative if a single test (or all tests) performed on a tumor specimen show: (a) IHC 1+ negative or IHC 0 negative or (b) ISH negative using single-probe ISH or dual-probe ISH (Table 1; Figs 1 to 3). This assumes that there is no apparent histopathologic discordance observed by the pathologist (Table 2).

## 1878: HER2 testing for overexpression or gene amplification in patients with breast cancer

Encounter: Patients must have been first seen in the office by a medical oncology or hematology oncology practitioner for the cancer diagnosis eligible for inclusion within the 1-year time frame of the reporting period. Enter the most recent visit that occurred during the 6-month visit window before the abstraction date. This can include visits to other office sites within the practice only if the practice uses a common medical record and shares management of care for the patient. This does not include visits during which a practitioner wasn't seen (e.g., laboratory testing), inpatient consults/visits, phone or email consults, or visits to a surgeon or radiation oncologist.

	1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	1878: HER2 testing for overexpression or gene amplification in patients with breast cancer
	• Indeterminate HER2 test (p.3999)  _ Must report a HER2 test result as indeterminate if technical issues prevent one or both tests (IHC and ISH) performed on a tumor specimen from being reported as positive, negative, or equivocal. This may occur if specimen handling was inadequate, if artifacts (crush or edge artifacts) make interpretation difficult, or if the analytic testing failed. Another specimen should be requested for testing, if possible, and a comment should be included in the pathology report documenting intended action.	
Exclusions	None	None
Exclusion Details	Not applicable	None
Risk	No risk adjustment or risk stratification	No risk adjustment or risk stratification
Adjustment	Not applicable	Not applicable
Stratification	Not applicable	Not applicable
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorithm	Performance Measure: 3394F + 3395F/ Claims identified by CPT code 88360 or 88361 and breast cancer ICD- 9 codes	Performance is calculated as:  1. Identify those patients that meet the denominator criteria defined in the measure.  2. Subtract those patients with a denominator exclusion from the denominator. Note: this measure does not have exclusions.  3. From the patients who qualify for the denominator (after any exclusions are removed), identify those who meet the numerator criteria.  4. Calculation: Numerator/Denominator-Denominator Exclusions No diagram provided
Submission items	5.1 Identified measures:  5a.1 Are specs completely harmonized? No	5.1 Identified measures: 1855 : Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines  5a.1 Are specs completely harmonized? Yes
	5a.2 If not completely harmonized, identify difference, rationale, impact: The CPT codes used to identify the denominator of the	5a.2 If not completely harmonized, identify difference, rationale,

1855: Quantitative HER2 evaluation by IHC uses the system recommended by the ASCO/CAP guidelines	1878: HER2 testing for overexpression or gene amplification in patients with breast cancer
measure are different; the measures apply to differnet tests on the same target population.	impact:
5b.1 If competing, why superior or rationale for additive value: No competing measures.	5b.1 If competing, why superior or rationale for additive value: Measure #1878 assesses whether HER2 testing was completed within 31 days of a breast cancer diagnosis. Meanwhile, NQF endorsed measure #1855 focuses on whether HER2 testing was completed according to current ASCO/CAP standards in the laboratory setting. A

# **Appendix G: Pre-Evaluation Comments**

Comments received as of April 26, 2016.

Topic	Commenter	Comment
0390: Prostate Cancer: Adjuvant Hormonal Therapy for High or Very High Risk Prostate Cancer Patients	Submitted by The American Urological Association	As the measure steward, the American Urological Association supports this important measure.
2963: Prostate Cancer: Avoidance of Overuse of Bone Scan for Staging Low Risk Prostate Cancer Patients	Submitted by The American Urological Association	The American Urological Association supports the continued use of this important measure.

# **Appendix H: Previous Gaps Identified in Cancer Care**

# The Current State of Cancer Quality Measurement White Paper (2008):

- Few measures focus on the following:
  - o Lung cancer
  - o Prostate cancer
  - o Pancreatic cancer
  - Ovarian cancer
  - o Lymphoma
  - o Follow-up care
  - Evaluation & treatment of later stage cancer
  - o End-of-life care
  - Non-physician providers/clinics
  - o Survivorship
  - Psychosocial needs of cancer patients
  - Care coordination
  - Patient-reported outcomes/quality-of-life
- Current measures tend to focus on:
  - o Initial diagnosis & treatment of early stage cancer
  - Structural aspects & processes that are weakly linked to high-impact outcomes (e.g. amount of active treatment received prior to death)

# National Voluntary Consensus Standards for Quality of Cancer Care 2009:

- Recommended measure development for breast and colorectal cancer:
  - o Safe Care:
    - Assessment of complications
  - o Follow-up:
    - Appropriate/inappropriate testing
  - Outcome measures:
    - 5-year survival
    - 30-day mortality
    - Percentage of early stage & stage of diagnosis
    - Rates of local recurrence
  - Functional status
  - Timely Care
  - Patient-Centered Care:
    - Coordination & professional communication
    - Decision support
    - Information/education
    - Emotional support
    - Respect for preferences & values
  - Efficient Care
  - o Equitable Care

#### **Cancer Endorsement Maintenance 2011:**

#### Disease specific gaps:

- Measures addressing:
  - hematological malignancies, particularly first line therapies
  - targeted therapies for kidney and lung cancer, as well as other solid tumor cancers
  - management of complications such as febrile neutropenia (FN)
- Measures capturing deviations in care for the CMS priority areas of prostate, lung, breast, and colon cancers
- o PSA screenings for patients diagnosed with prostate cancer
- Measures for pediatric patients, including measures in cross cutting areas such as pain assessment and palliative care

#### • Pathology and Treatment Reports:

- o Measures ensuring that:
  - reporting details in pathology reports are standardized across all tumor types
  - treatment summaries are standardized across medical and radiation oncologists

# • Appropriateness of Care:

- Measures capturing:
  - enrollment of patients in clinical trials at appropriate times
  - access of patients to high quality hospice care facilities
- Measures addressing:
  - whether appropriate patients are offered enrollment in clinical trials
  - readmissions and value-based care
- Measures of care coordination

#### • Patient Outcomes:

- o Measures capturing:
  - Patient Reported Outcomes
  - Cancer survival rate curve measures that can be reported by stage, identified as both overall survival (OS) and disease free survival (DFS).
- Measures applicable to patients with:
  - lung, pancreas, liver, esophagus and colon cancer: 5-year survival rates
  - breast cancer: 10 year survival rates
  - thyroid cancer: 20-25 year survival rates

#### Surgical Care:

 Measures capturing operating room procedures or processes that need to take place in the surgical theater

#### • Next Generation Measures:

- Measures capturing:
  - patient adherence to prescribed medications or therapies, including oral chemotherapies
  - treatment of negative side effects from prescribed medications or therapies
  - gene mutations and appropriate therapies
  - use of biological therapies

o Outcome measures rather than process measures

#### Quality of Care:

- Measures capturing:
  - surgical outcomes
  - surgical processes linked to outcomes
  - smoking cessation for patients with lung cancers
- Measures assessing the quality of:
  - laboratory methodologies
  - laboratory reports
- Measures related to predictive laboratory testing
- Measures addressing maintenance of nutritional status throughout the course of treatment
- Evidence-based measures related to surveillance of cancer survivors in order to minimize the probability of recurrence
- Measures related to cancer survival in specific areas, e.g., smoking cessation for lung cancer patients; maintaining nutritional status
- Measures related to the quality, value and effectiveness of surgical, radiation and medical therapies in cancer care over the course of treatment

# • Unique Patient Populations:

- Measures addressing:
  - pediatric patients with cancer
  - hematological cancers separately from other cancers
  - disparities stratified by race/ethnicity, gender, and language

#### • Other Measures:

- o Measures submitted by patient advocacy groups or other multidisciplinary stakeholders
- o Prevention measures
- Screening measures
- Combined measures to be used in "toolkits" to ensure a process is associated with an improved outcome

# <u>Performance Measure Coordination Strategy for PPS-Exempt Cancer Hospitals (2012):</u>

#### Patient Outcomes:

- o Cancer-and stage-specific survival
- o Patient-reported measures

# • Cost and efficiency of care:

o Total cost, underuse, and overuse

### Appropriateness of care:

o Expected clinical benefit vs. expected clinical risk

#### Health and well-being:

- Quality of life
- o Social and emotional health

#### Safety:

o Febrile neutropenia

- o Surgical site infection
- Person- and family-centered care:
  - o Shared decision making
  - o Patient experience
- Care Coordination:
  - o Transition communication between providers
  - o Medication reconciliation
- Prevention:
  - o Public outreach & education
- Disparities:
  - o Risk-stratified process/outcome measures
  - o Access measures
- Pediatrics:
  - o Hematologic cancers
  - o Transitions to adult care
- Treatment of lung, prostate, and gynecological cancers

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